

09/965981

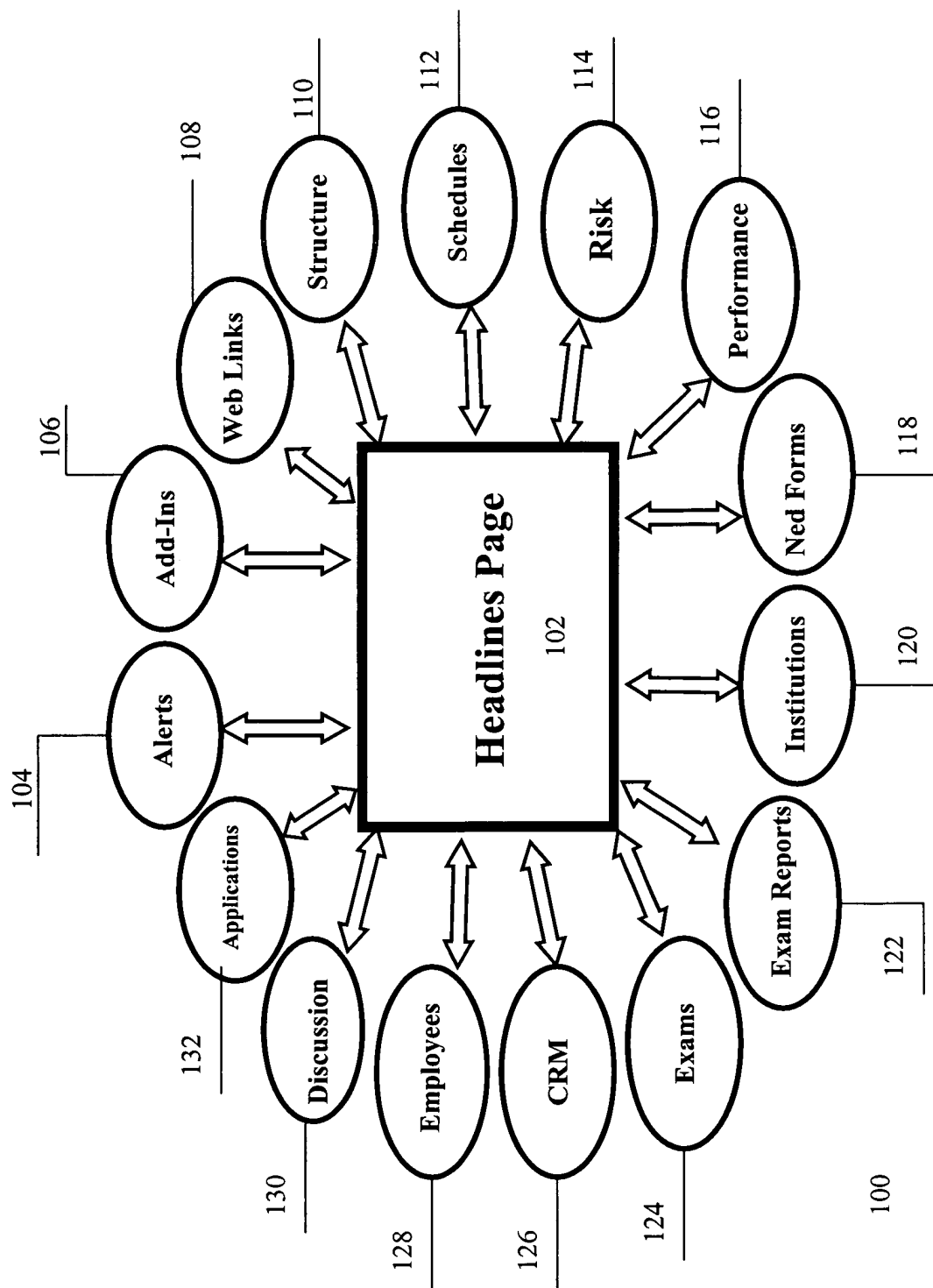


FIG. 1

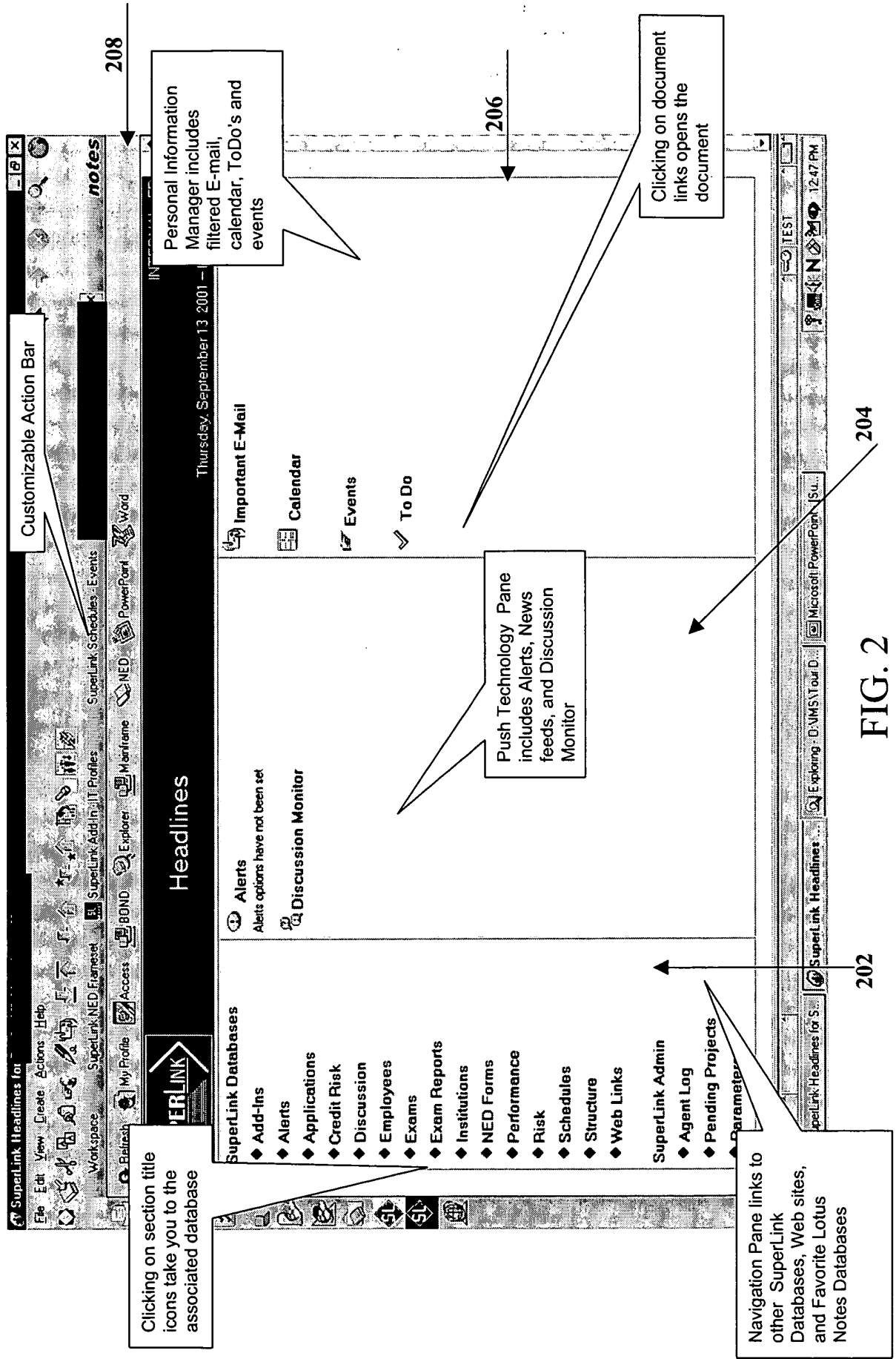


FIG. 2

FIG. 3

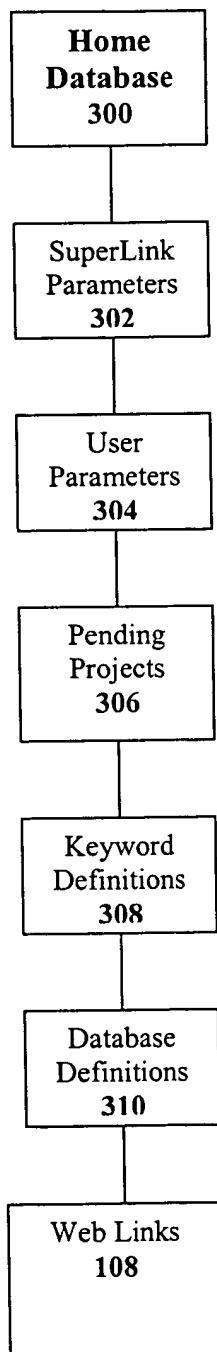
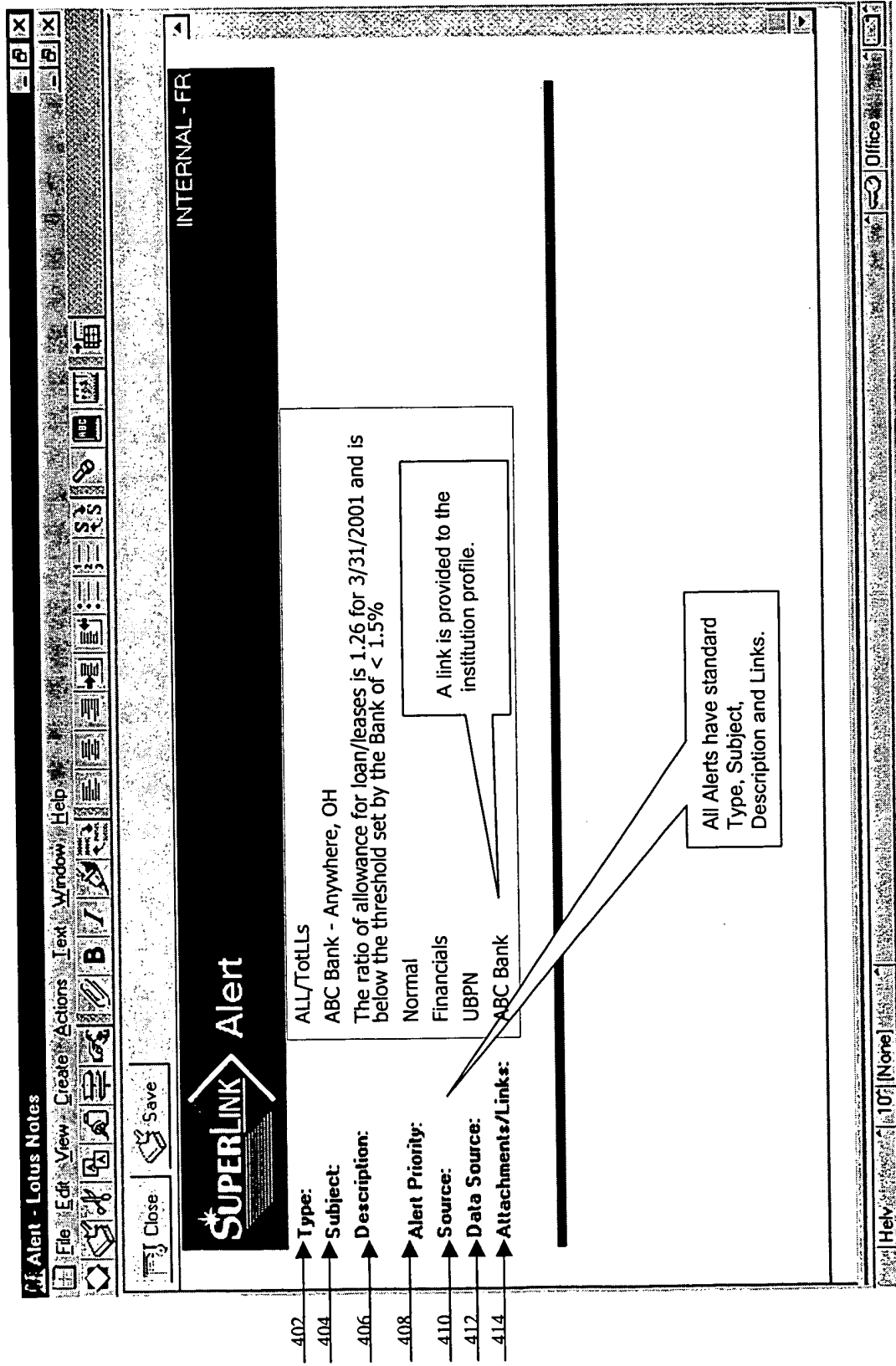


FIG 3





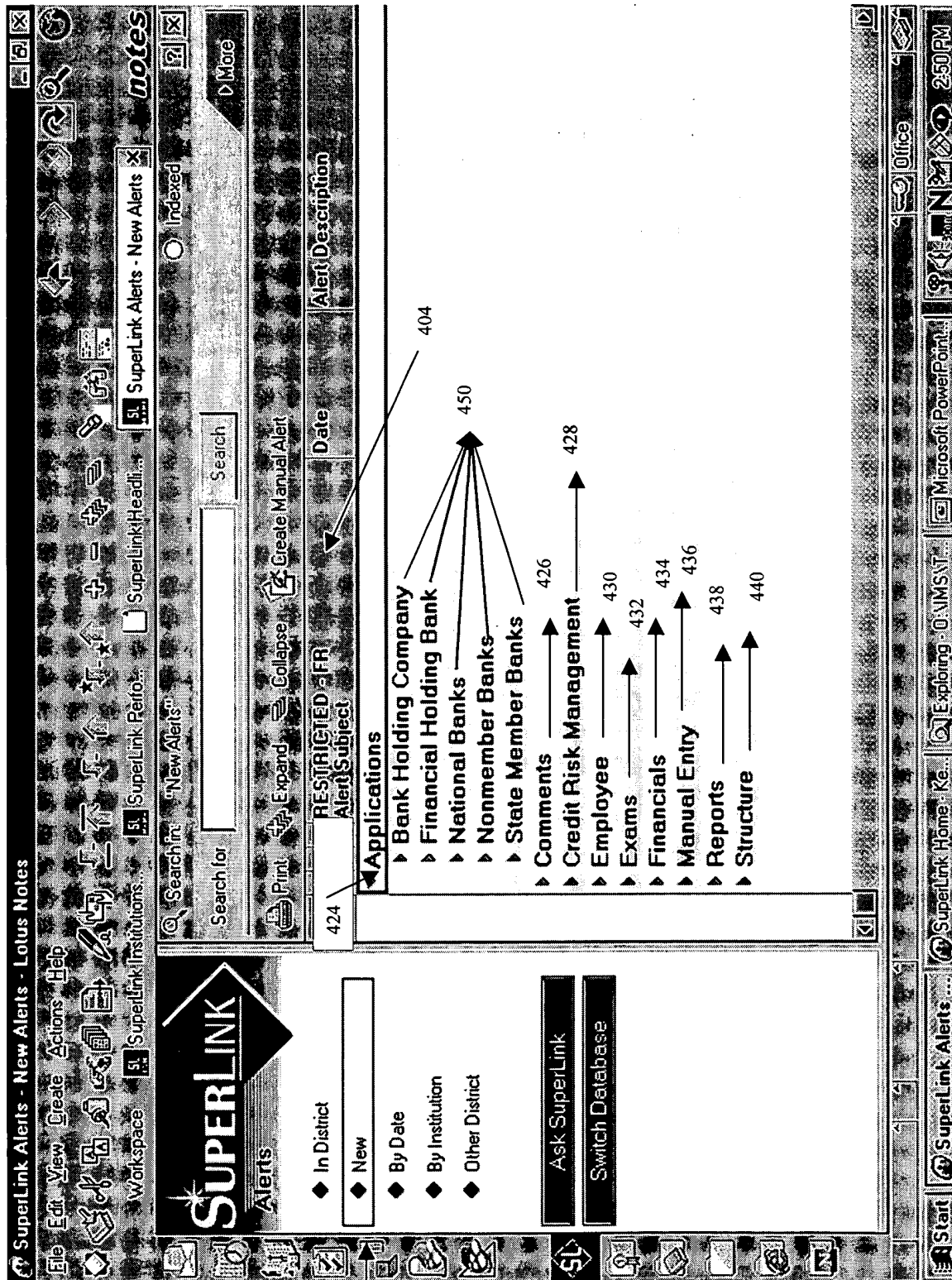


FIG. 4a

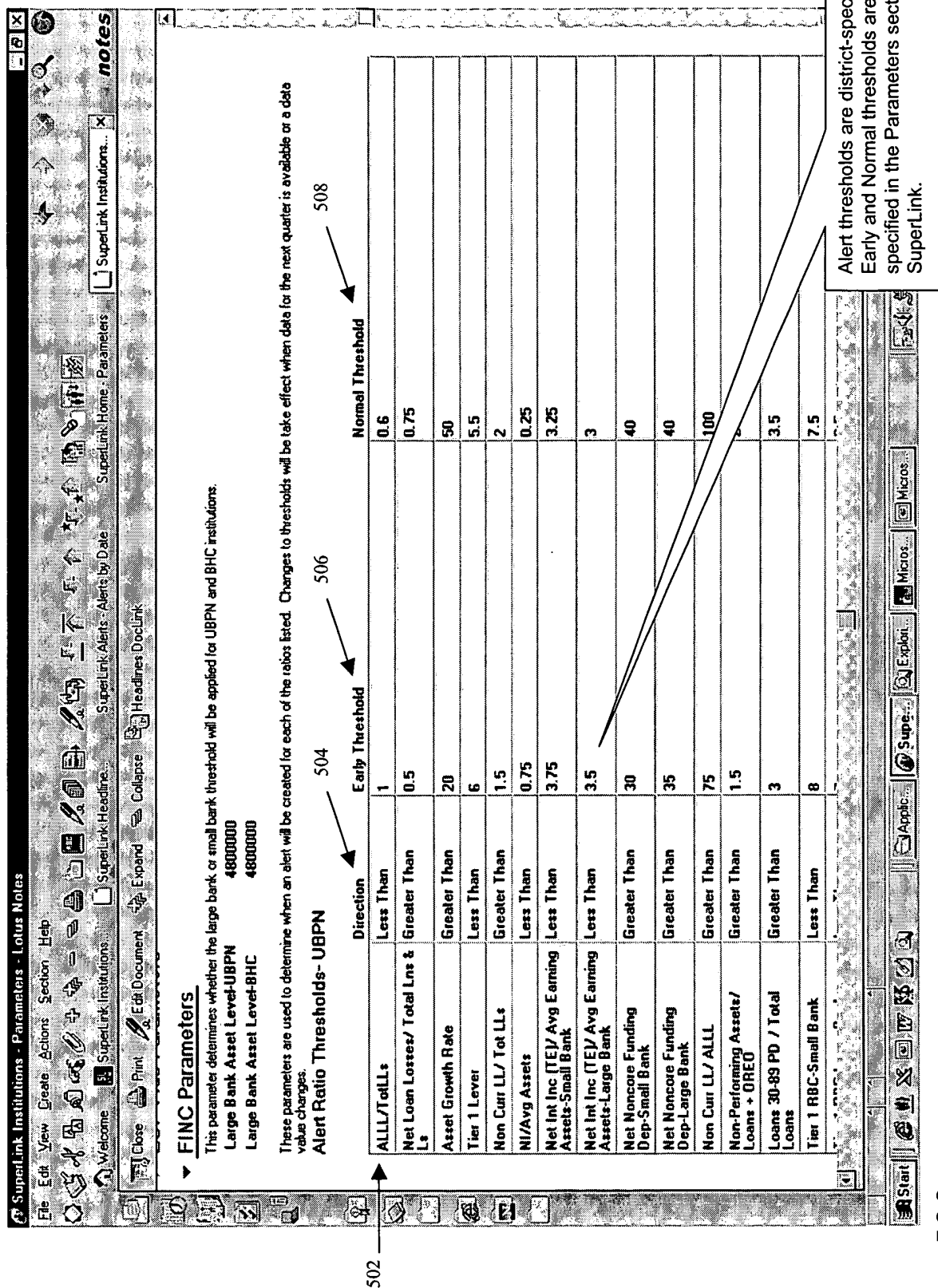


FIG. 5

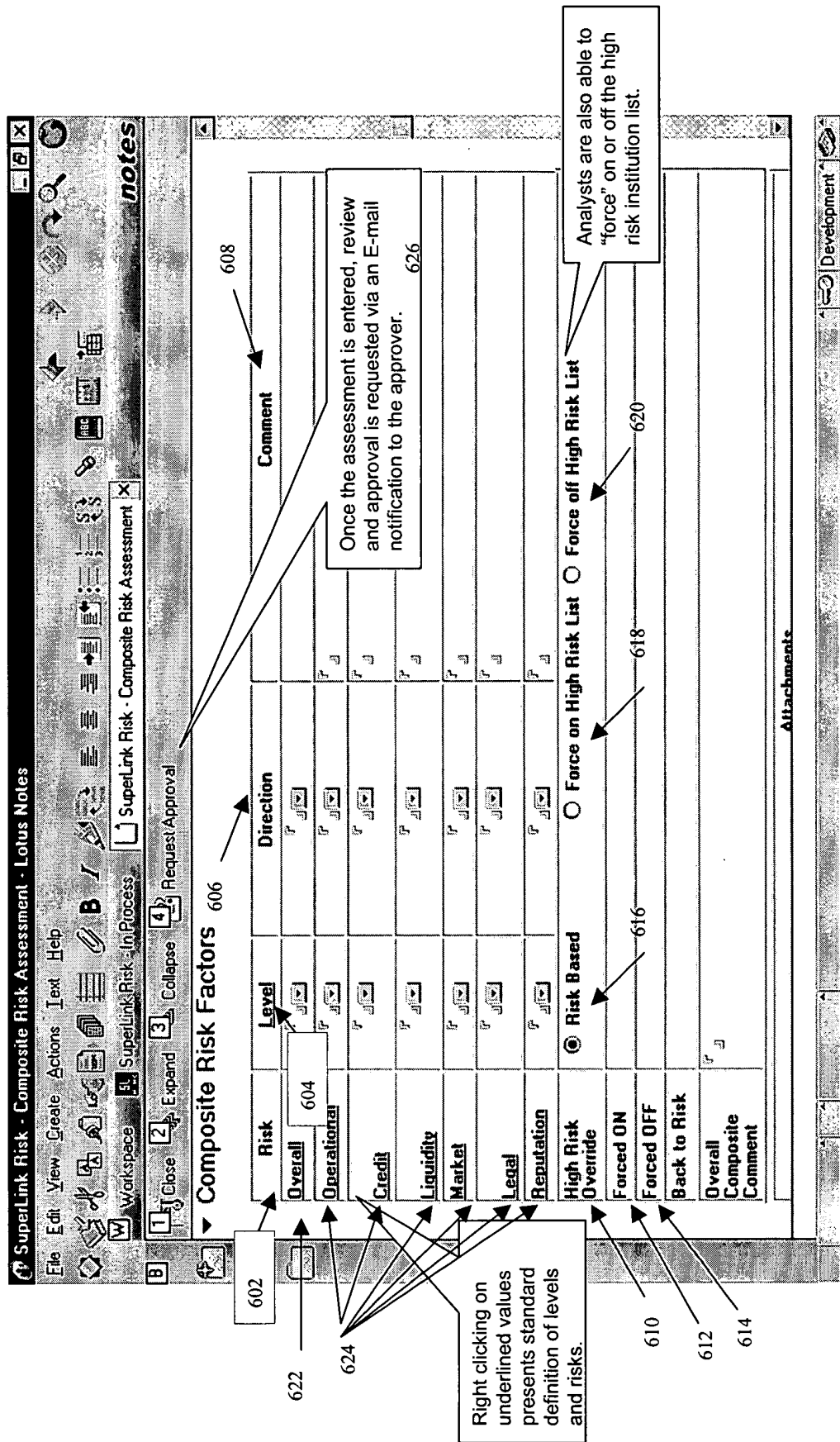
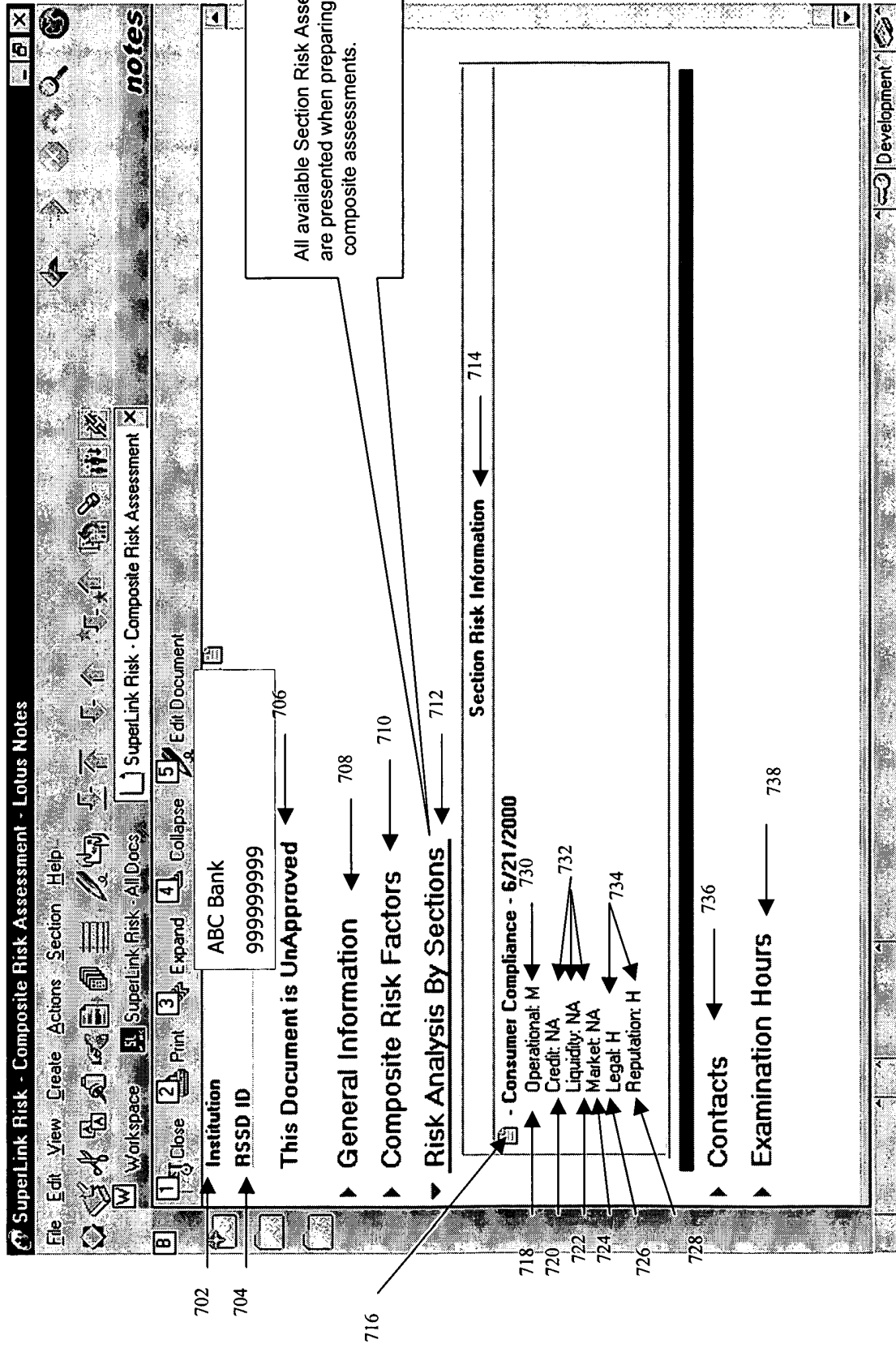


FIG. 6



802

804

806

808

810

812

ASSESSMENTS

	Level High/Medium/Low	Direction Incr/Decr/Stable	Status Prelim/Final	Start Date (CCYYMMDD)	End Date (CCYYMMDD)
Overall:			Final	01/01/2000	03/08/2000
Operational:	H	Stable	Final	01/01/2000	03/08/2000
Credit:	M	Stable	Final	01/01/2000	03/08/2000
Liquidity:	NA	NA	Final	01/01/2000	03/08/2000
Market:	NA	NA	Final	01/01/2000	03/08/2000
Legal:	NA	NA	Final	01/01/2000	03/08/2000
Reputation:	NA	NA	Final	01/01/2000	03/08/2000

820

822

824

826

840

STAFF RESOURCES FOR EACH AGENCY (Lead and/or Participant) (Last Name, First Name)

Agency (e.g. FRB Boston):	
Examiner in Charge:	Three C User3
Reviewer:	Three C User3

EXAM HOURS

	Lead Agency On-site	Budgeted Off-site	Actual
Preparation:			Commissioned:
Assessment:			Noncommissioned:
Total By Stage:	0		Training:
Travel:			Total By Category: 0
			GRAND TOTAL (+Travel): 0

800

FIG. 8

FIG. 9a

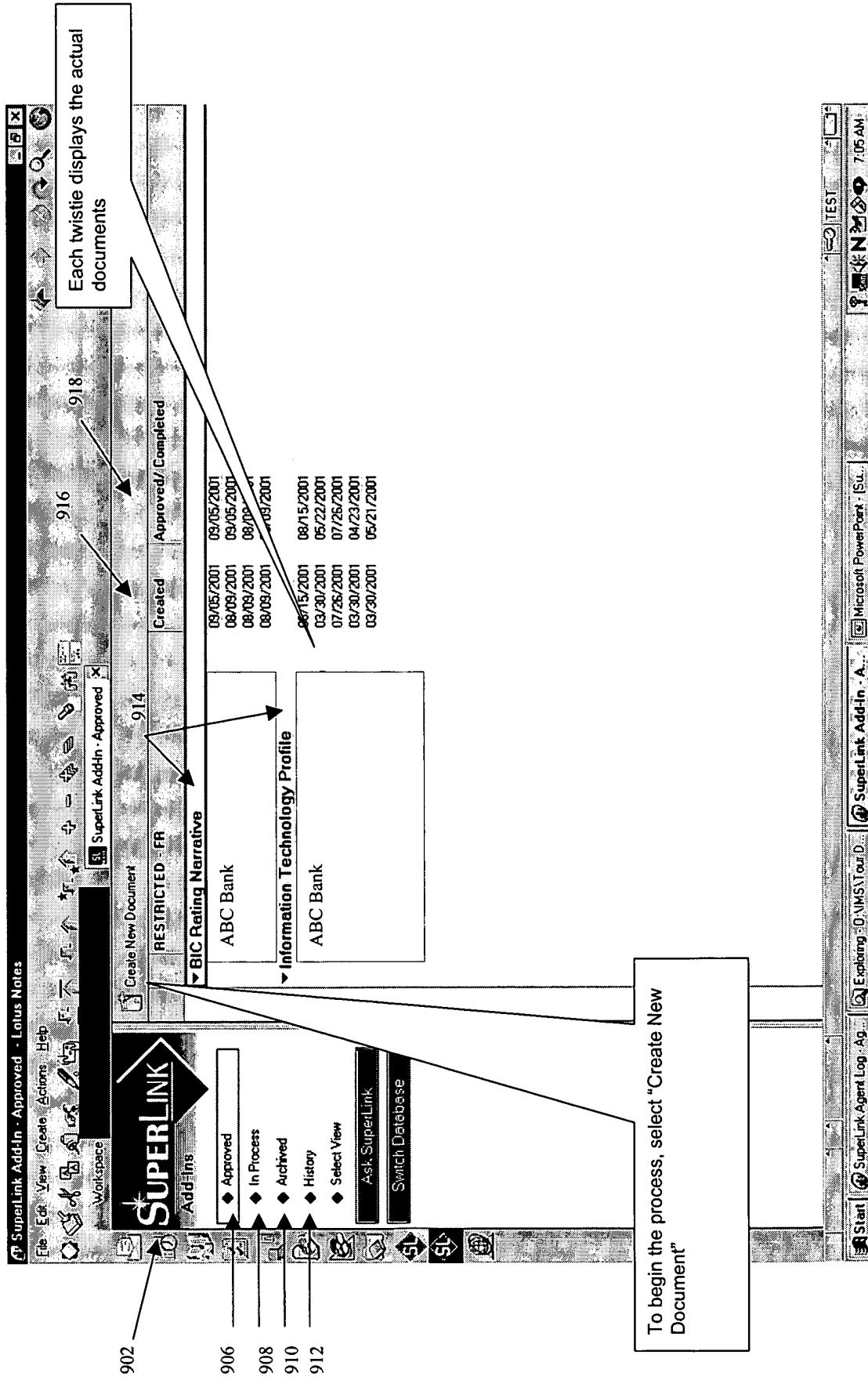


FIG. 9a

7:02:00 PM 7/25/2000

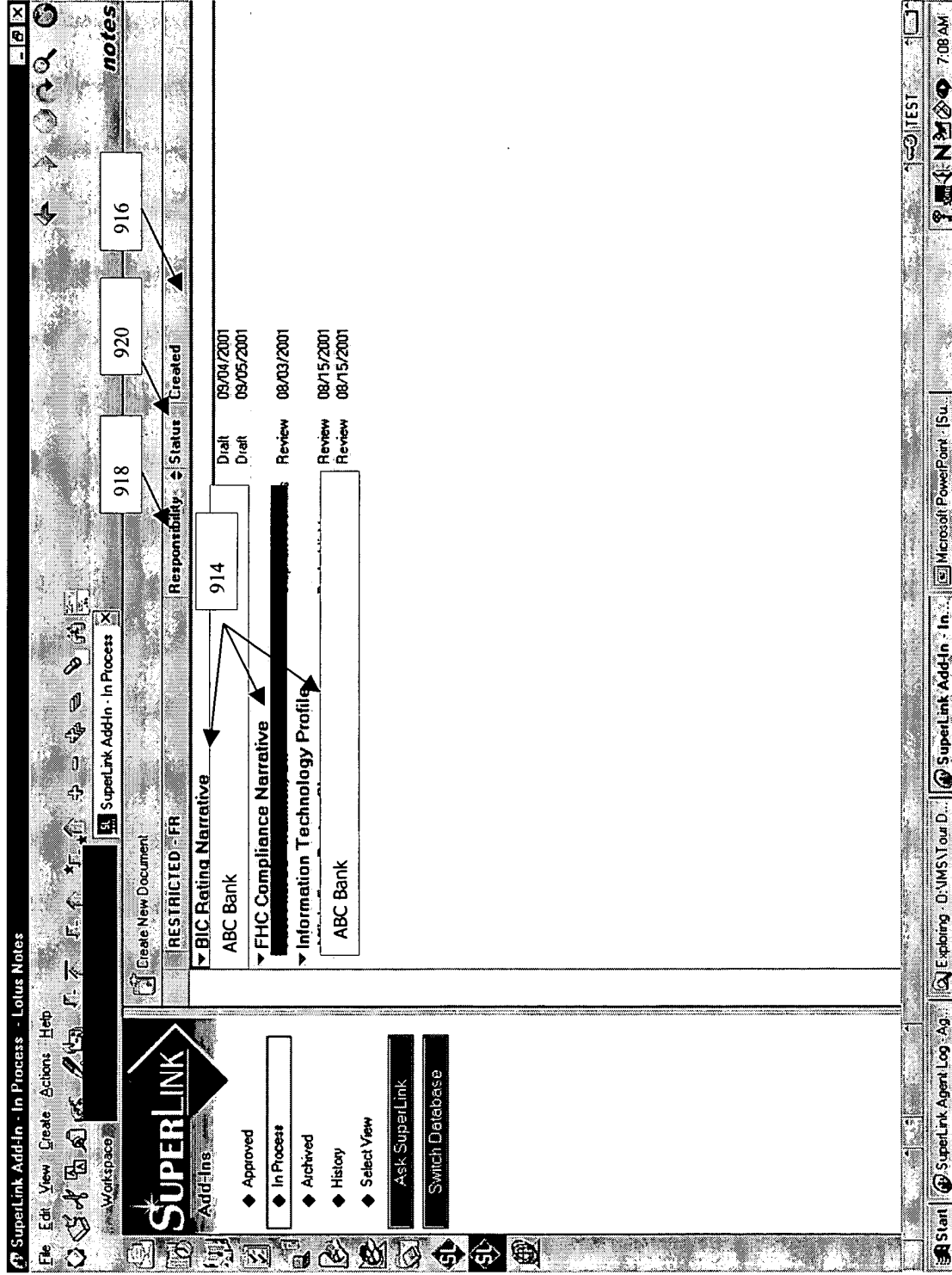


FIG. 9b



FIG. 9c

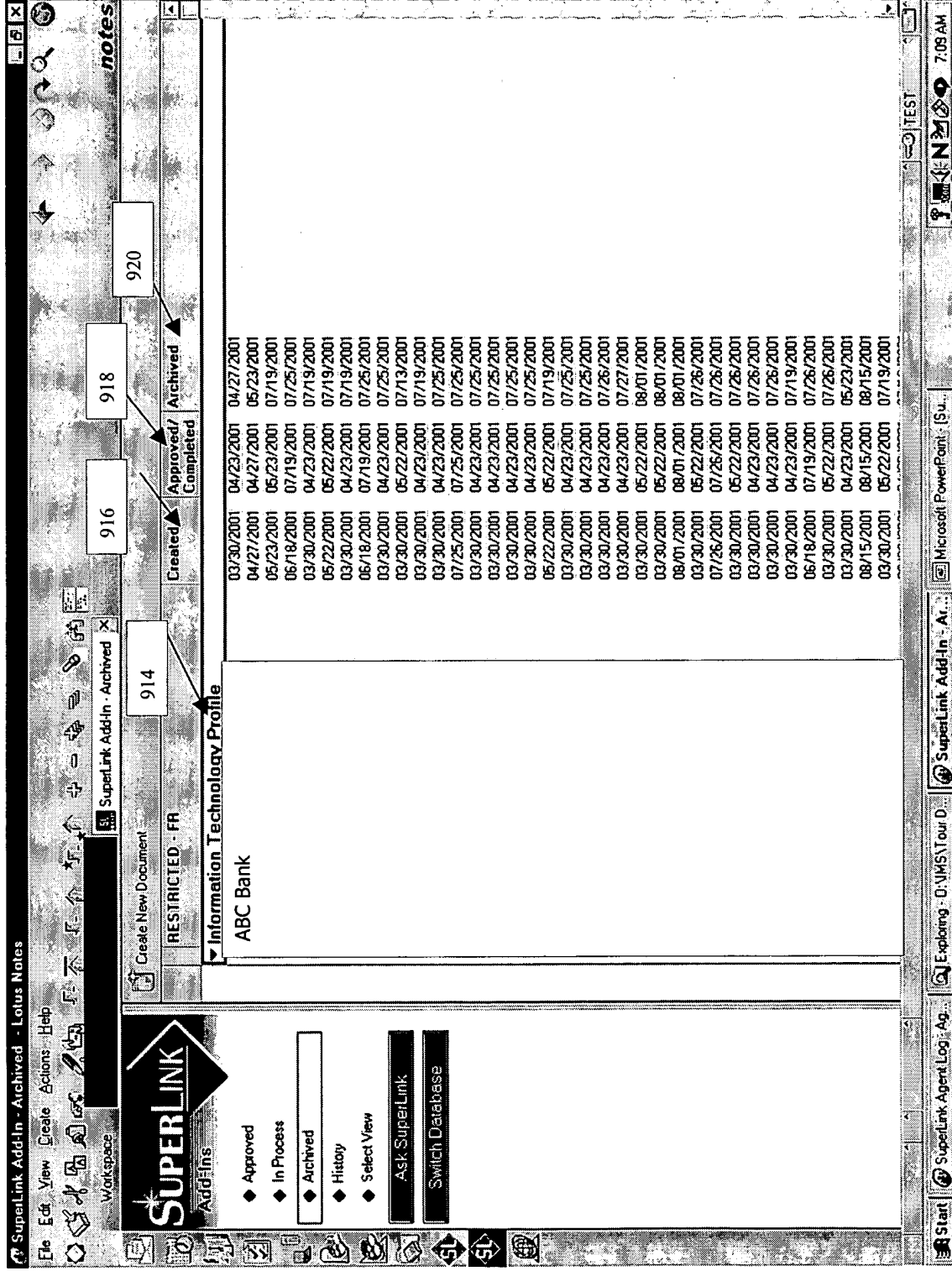


FIG. 9c



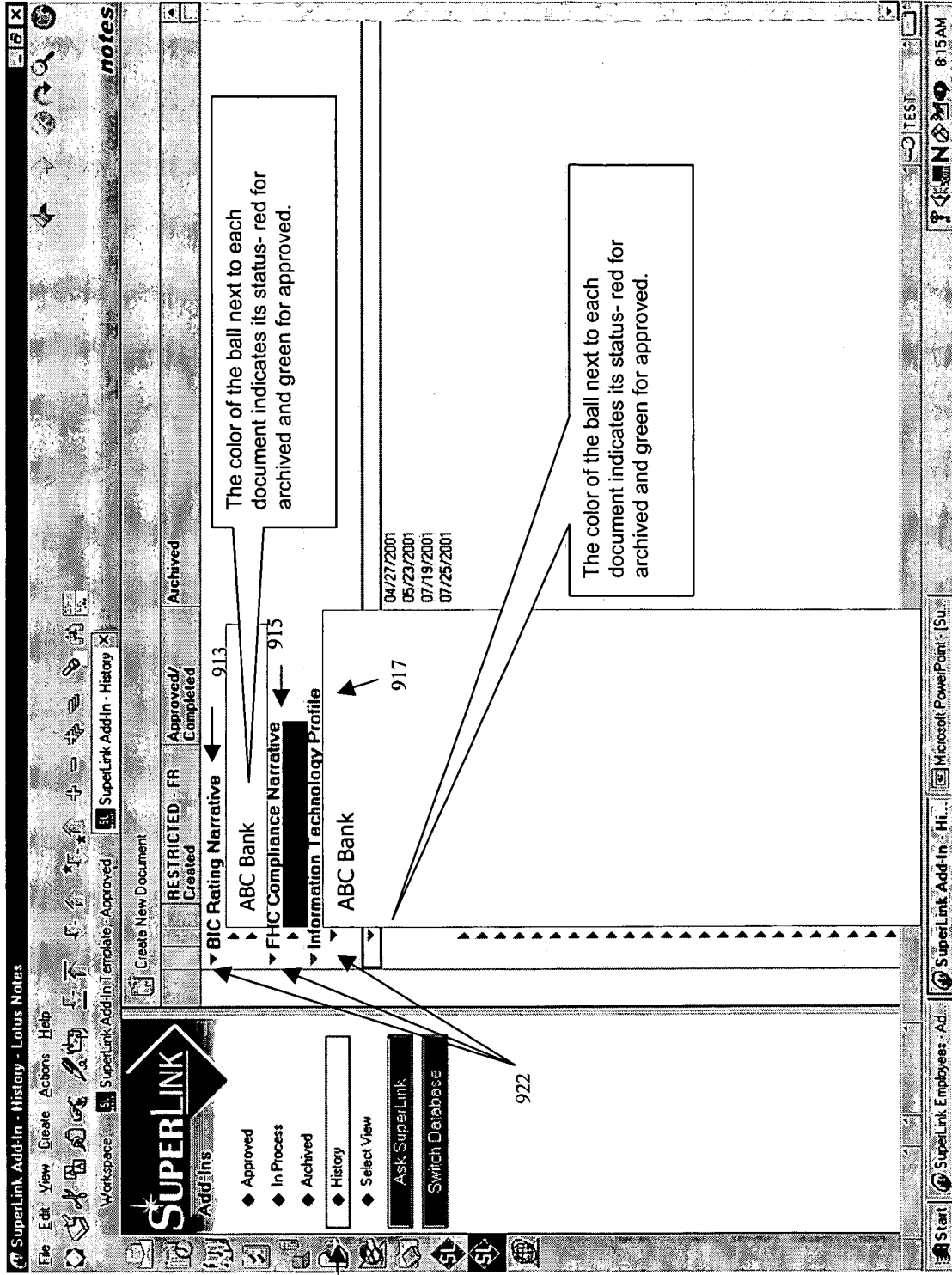
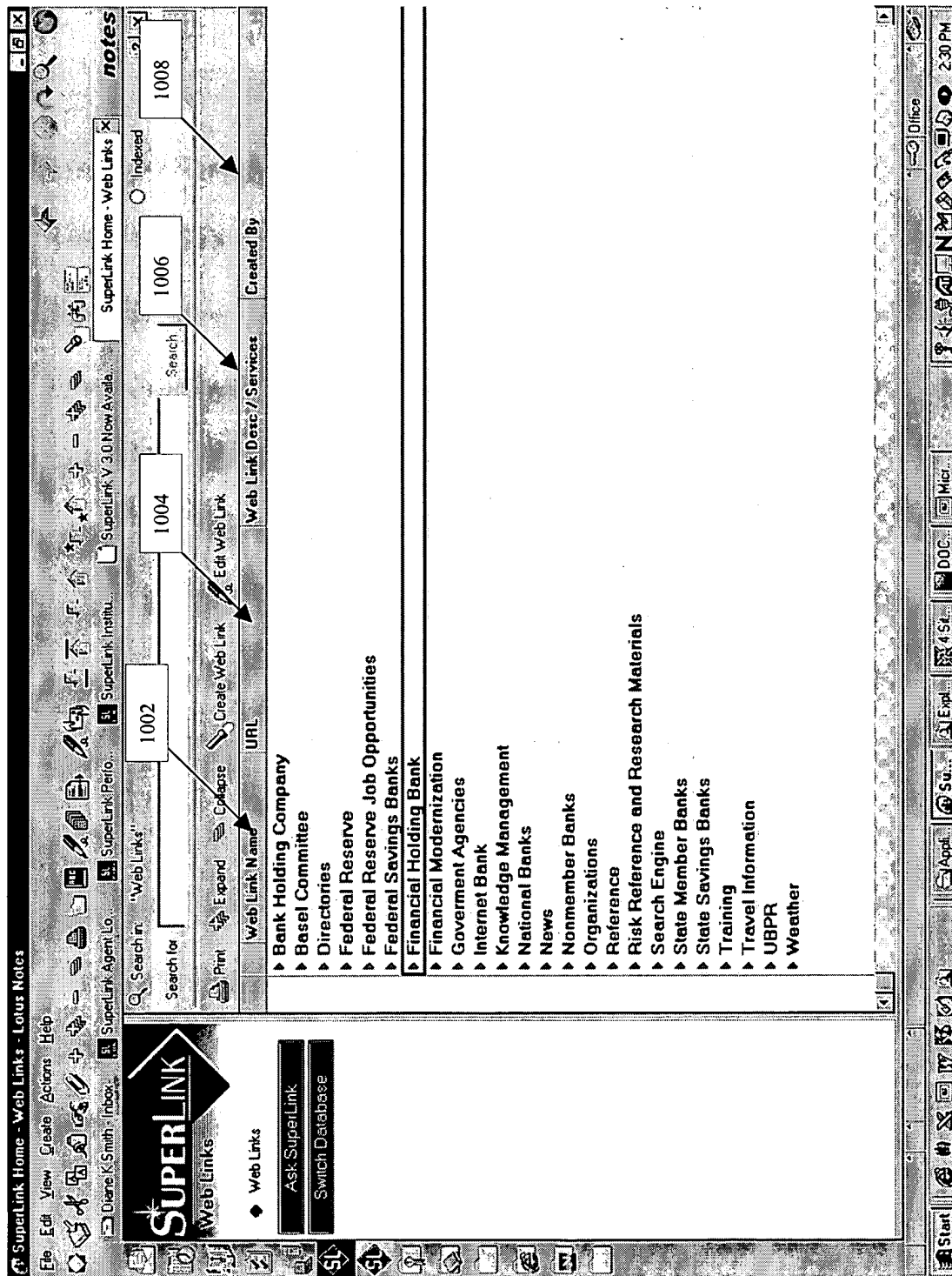


FIG. 9d



Web Link - Lotus Notes

File Edit View Create Action Help

Diane K Smith - Inbox SuperLink Agent Co SuperLink Perio SuperLink Institu SuperLink Home Web

notes

Web Link

Close Launch Web Link

**SUPERLINK**

Link Type ☒ Institution ☐ Web Site

Link Name

URL (Link)

Link Category

Services Provided ☒ Bid Pay ☐ Investments ☐ Corporate Services ☐ Loan Approval ☒ Deposit/Transactions ☐ Secure E-mail ☒ General Information

Contributed By

Detailed information on services available through institution Web sites are recorded in "Web Links" and used in IT Profiles.

Note: Institution web sites are linked to the corresponding institution profile.

FIG. 11

SuperLink Structure - All Structures - Lotus Notes

File Edit View Create Actions Help

SuperLink Discuss...

SuperLink Agent Lo...

SuperLink Perfo...

SuperLink Institu...

SuperLink V3.0...

SuperLink Structur...

notes

Search for

Search

More

Print

Create Structure

Export to Excel

Processing

Processing Status

By Analyst

All Structures

All Reports

Restricted Processing

Structure Tracking

Tracking Status

Pending Report

Structure History

Ask SuperLink

Switch Database

Institution Name	Transaction Type	Target Date	ID / RSSD	ABA Number	Entity Type	Report Received Date
ABC Bank	Merger - Depository Name Change	10/01/2000		083900428	Nonmember Banks	
				241273489	State Credit Union	
	Merger - Depository Name Change	03/31/2000		241273078	Federal Credit Union	
				241278361	State Credit Union	
	Effective FHC election	03/13/2000		0	Financial Holding Bank	05/22/2000
	Denovo Bank	04/03/2000		042108290	Nonmember Banks	
	Head Office Relocation	04/10/2000		042102115	Nonmember Banks	08/03/2000
	Merger - Depository Address Change (911 Reasons)	06/15/2000		042102115	Nonmember Banks	06/19/2000
		12/05/2000		042107770	Nonmember Banks	
	Merger - Depository Name Change	08/01/2000		243381816	Federal Credit Union	
			244077022	State Credit Union		
	Denovo Bank	04/30/2001			National Banks	
	Merger - Depository	04/15/2000		053101121	Nonmember Banks	
	Merger -	11/11/2000		053101121	Nonmember Banks	

Start

Applic...

Super...

Explor...

4 Site ...

DOCS...

Micros...

Office

1:36 PM

FIG. 12a

SuperLink Structure - All Reports - Lotus Notes

File Edit View Create Actions Help

Workspace

Print

Create Report

Export to Excel

SuperLink Structure - All Reports

notes

Processing

Processing Status

By Analyst

All Structures

All Reports

Restricted Processing

Structure Tracking

Tracking Status

Pending Report

Structure History

Ask SuperLink

Switch Database

Institution Name	Report Type	ID	RSSD	ABA Number	Entity Type	Report Received	Analyst	FRB Compl Date
ABC Bank	FRY6A			0	Financial Holding Bank	03/24/2000		03/28/
	FRY6A			0	Financial Holding Bank	03/24/2000		03/27/
	FRY6A			0	Bank Holding Company	03/24/2000		03/27/
	FRY6A			0	Bank Holding Company	03/27/2000		03/29/
	FRY6A			0	Bank Holding Company	03/31/2000		03/31/
	FRY6A			0	Bank Holding Company	03/31/2000		03/31/
	FRY10			0	Financial Holding Bank	03/31/2000		03/31/
	FRY6A			0	Financial Holding Bank	03/31/2000		03/31/
	FRY6A			0	Financial Holding Bank	04/03/2000		04/03/
	FRY6A			0	Bank Holding Company	04/05/2000		04/05/
	FRY6A			0	Financial Holding Bank	04/07/2000		04/07/
	FRY6A			0	Bank Holding Company	04/10/2000		04/10/
	FRY6A			0	Financial Holding Bank	04/14/2000		04/14/
	FRY6A			0	Bank Holding Company	04/24/2000		04/28/
	FRY6A			0	Financial Holding Bank	04/25/2000		04/25/

Start

SuperLink Agent Log - Ag...

Exploring - ONIMS\Tour D...

SuperLink Structure - ...

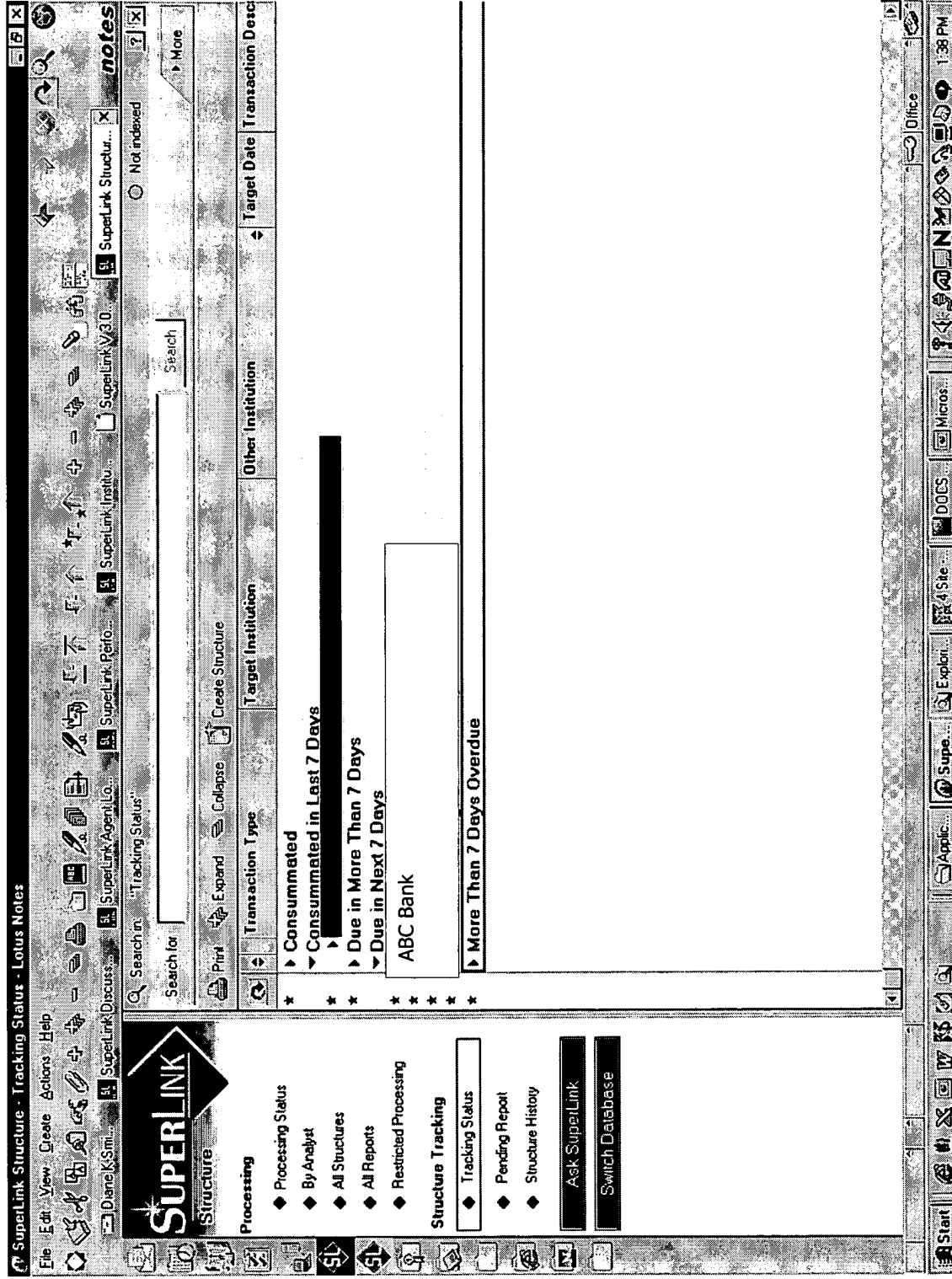
Microsoft PowerPoint - Su...

TEST

8:24 AM

FIG. 12b

1202260" T 3653660



1202

FIG. 12c

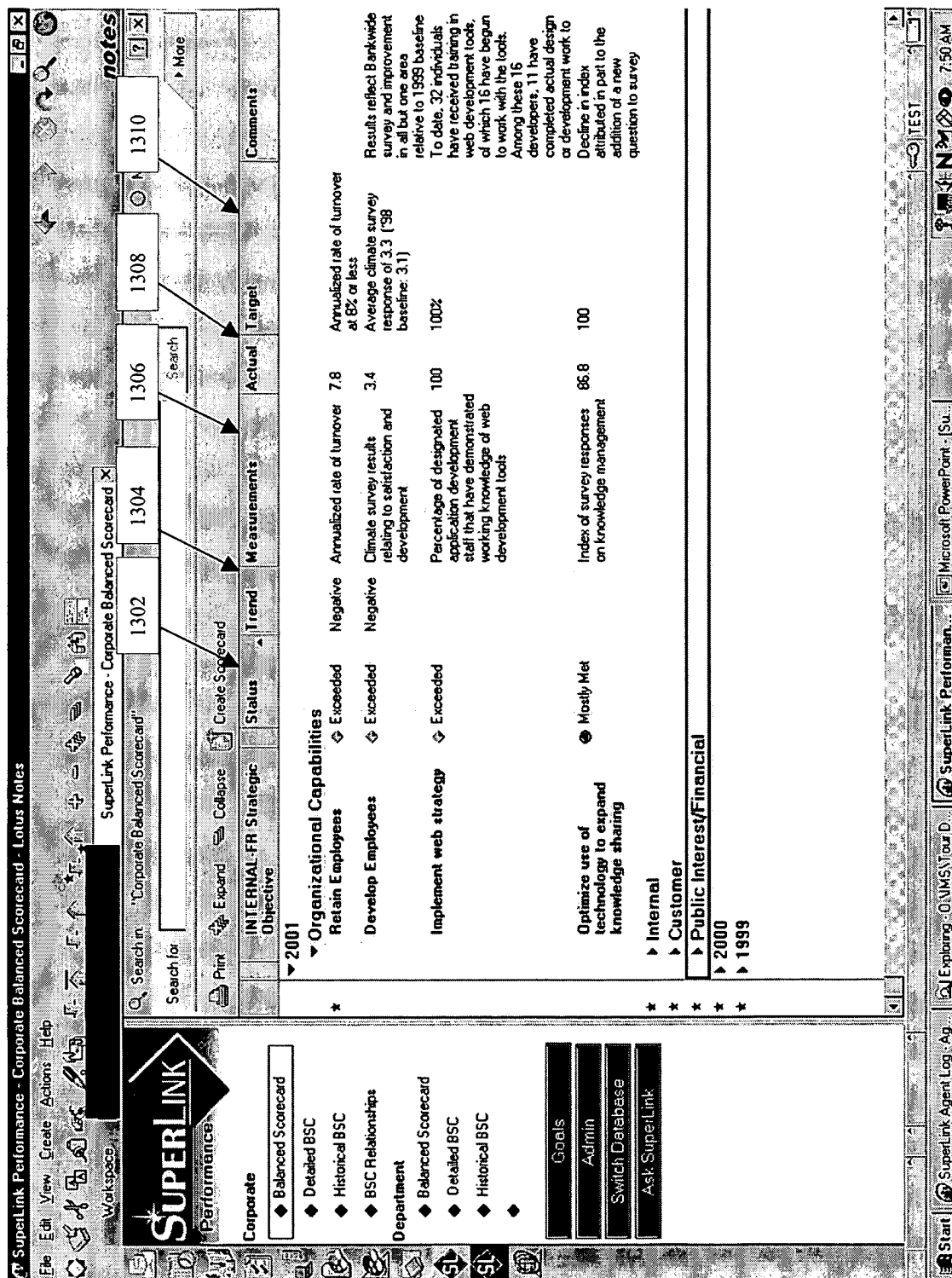


FIG. 13



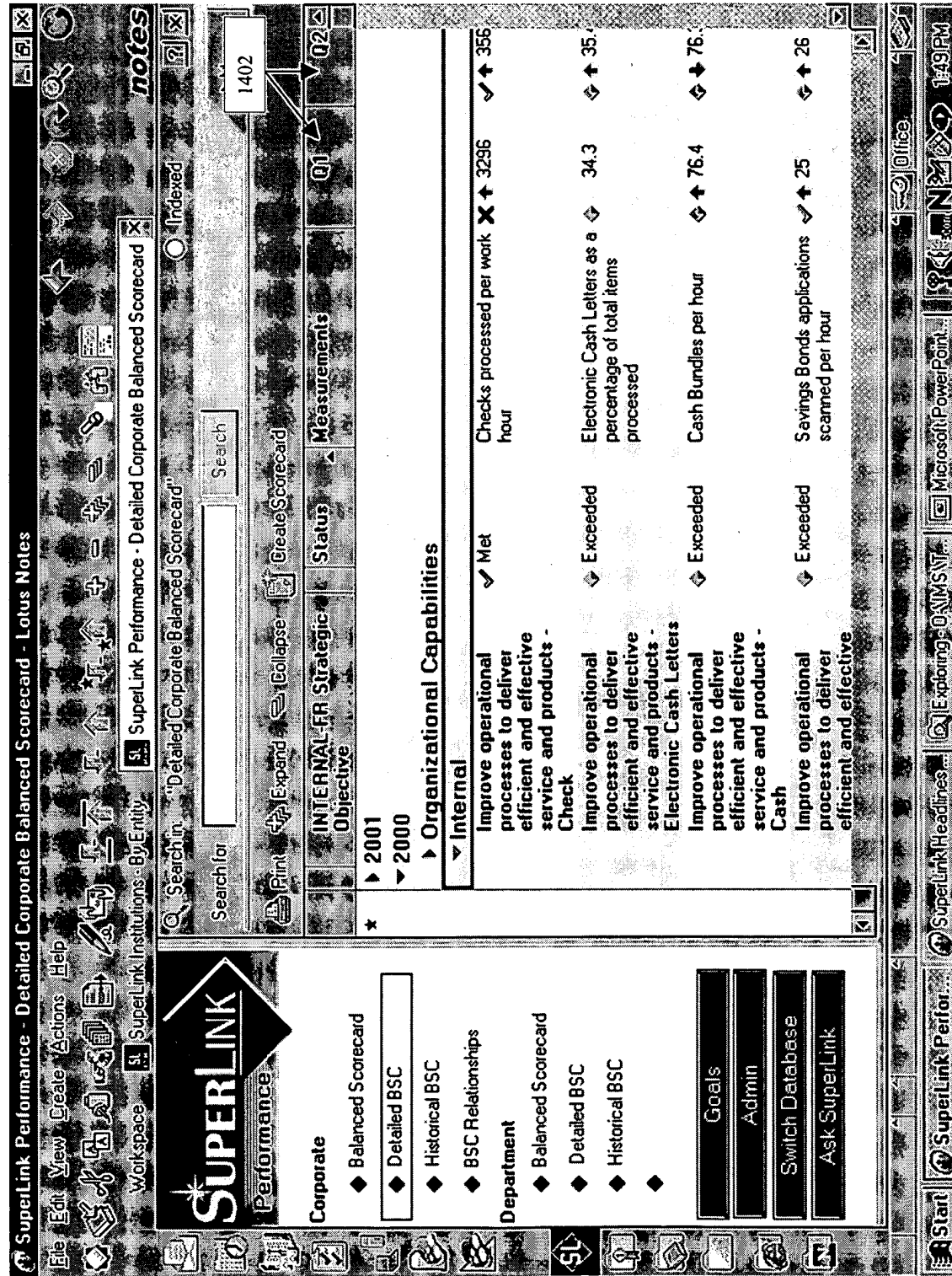


FIG. 14



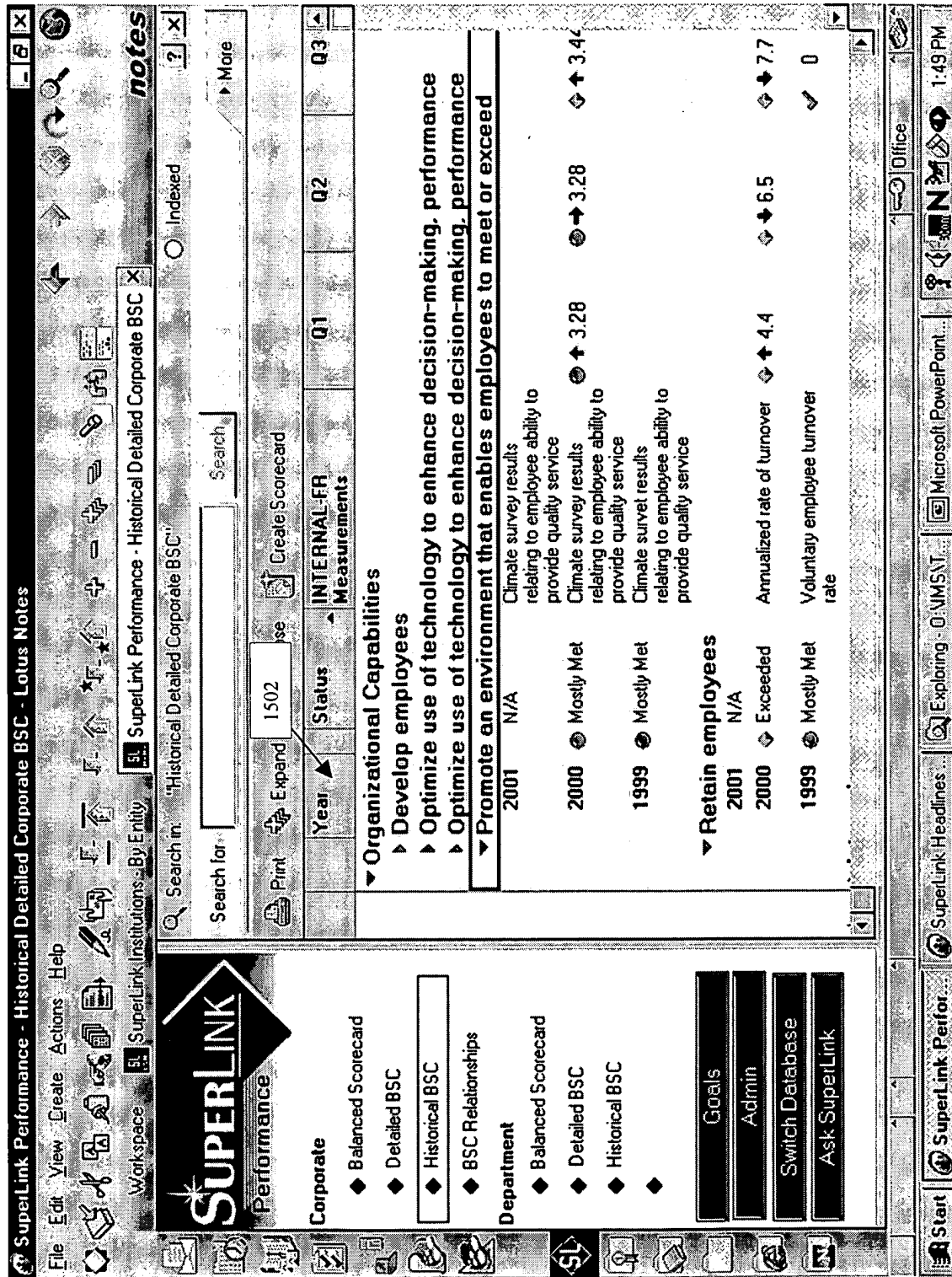


FIG. 15

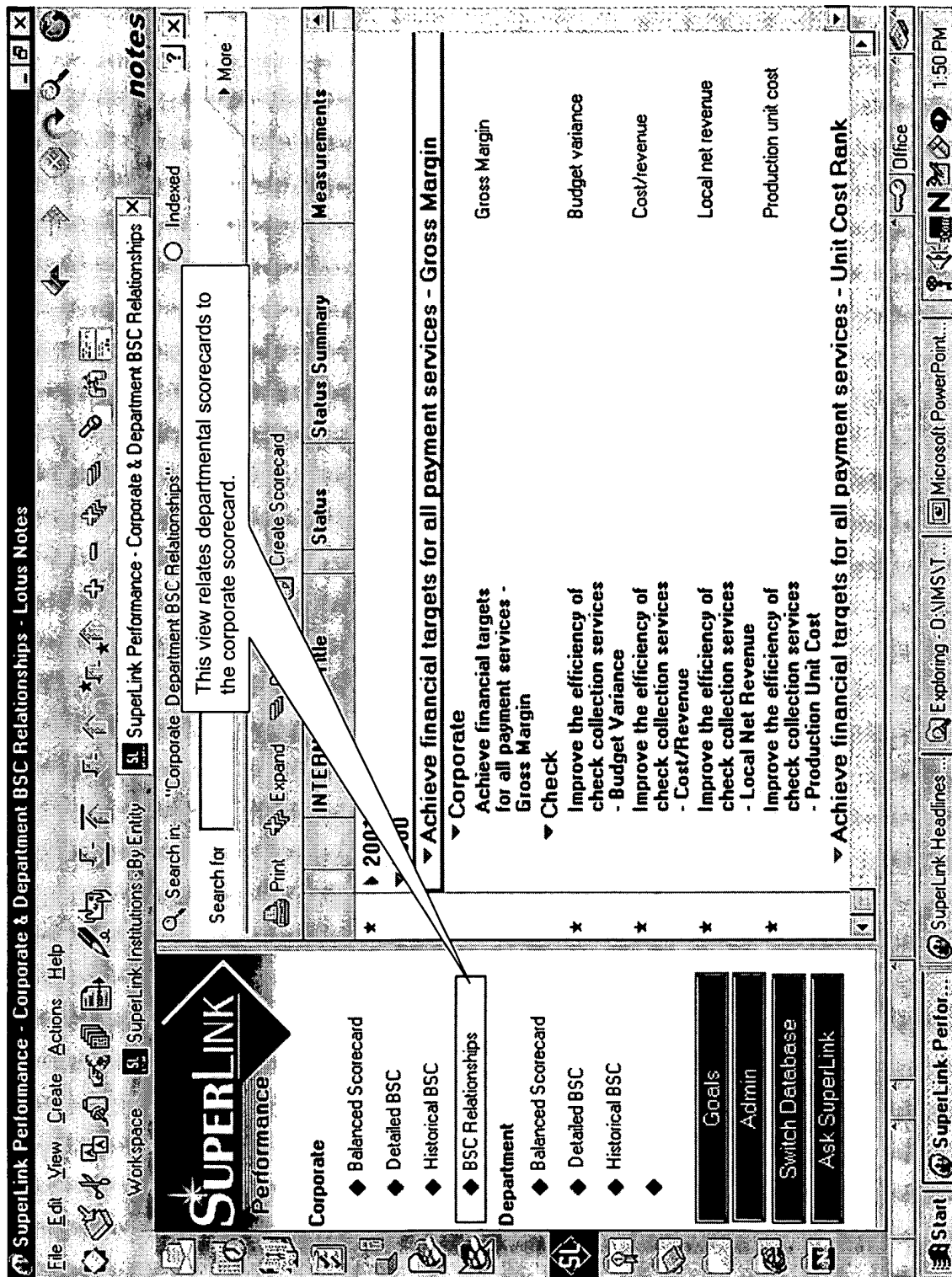
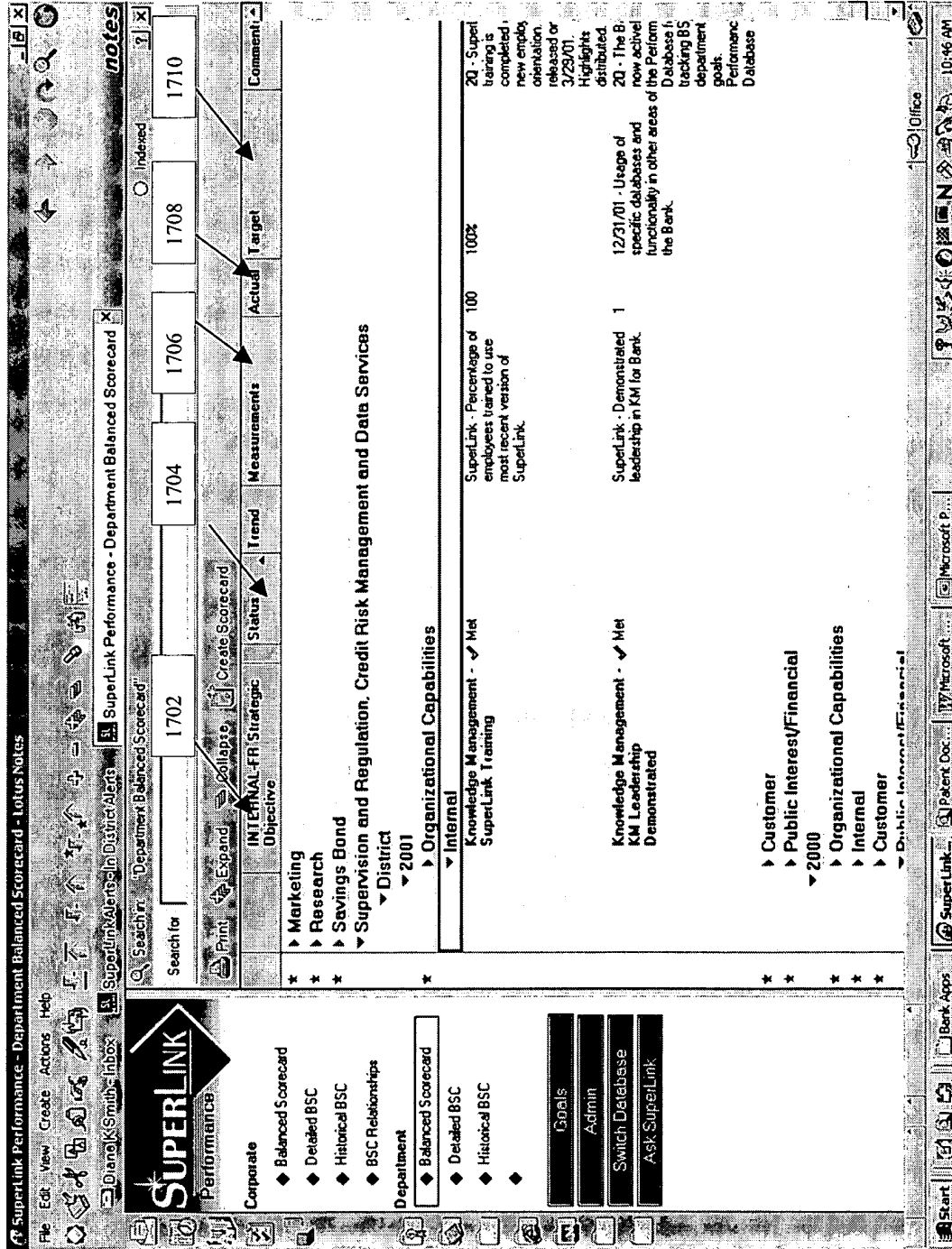


FIG. 16

T03260" T366660



1700

FIG. 17

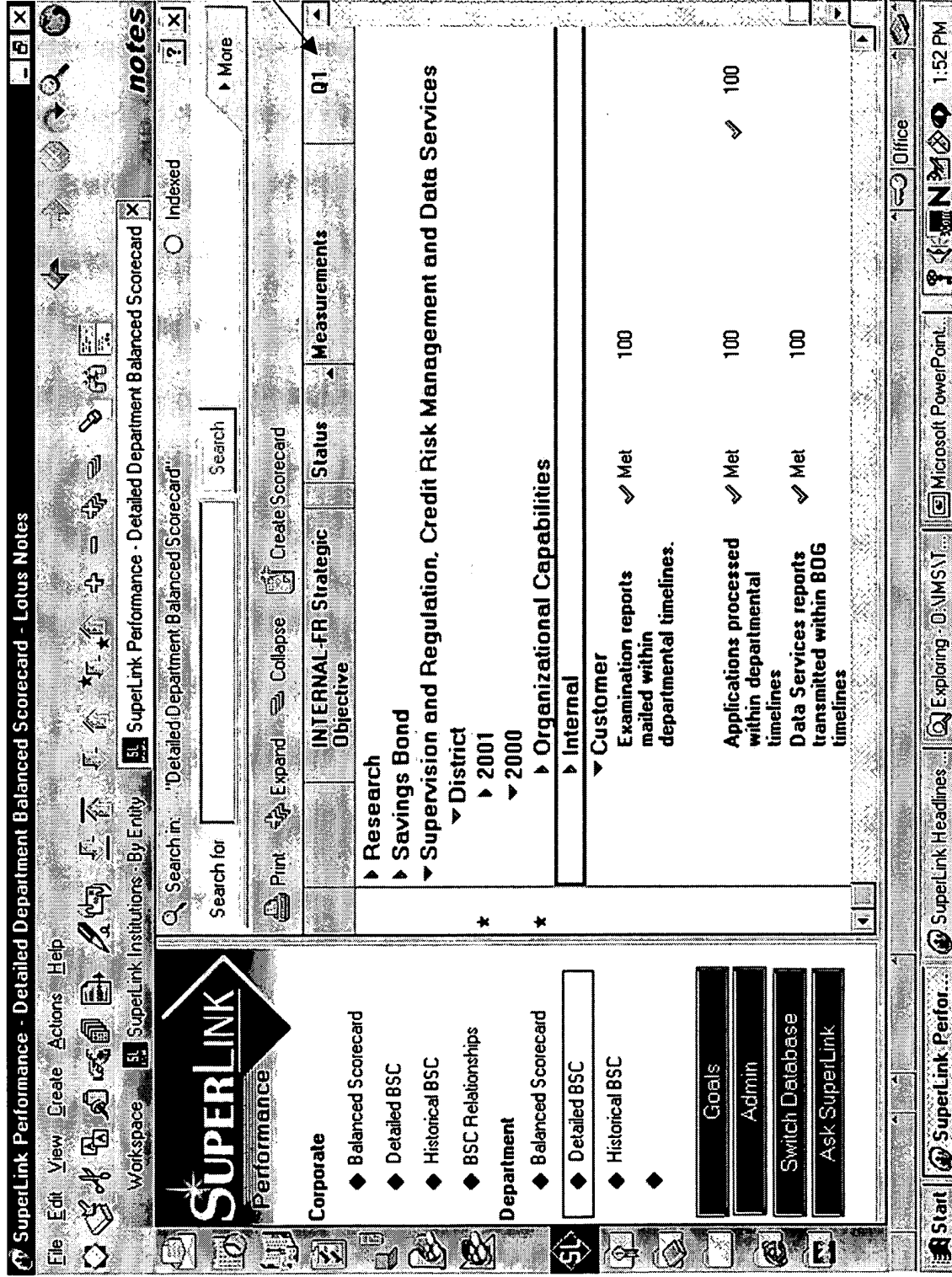
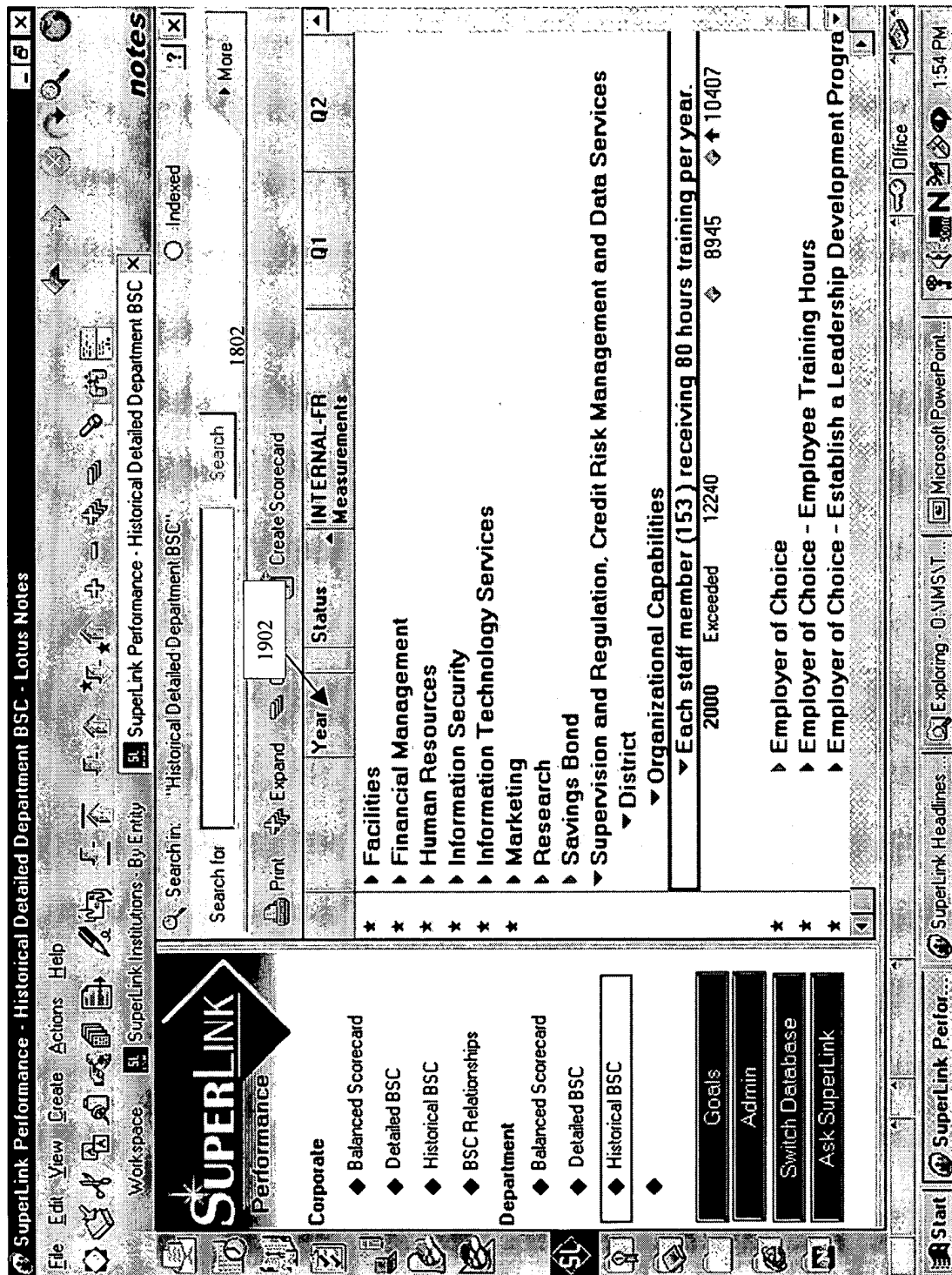


FIG. 18



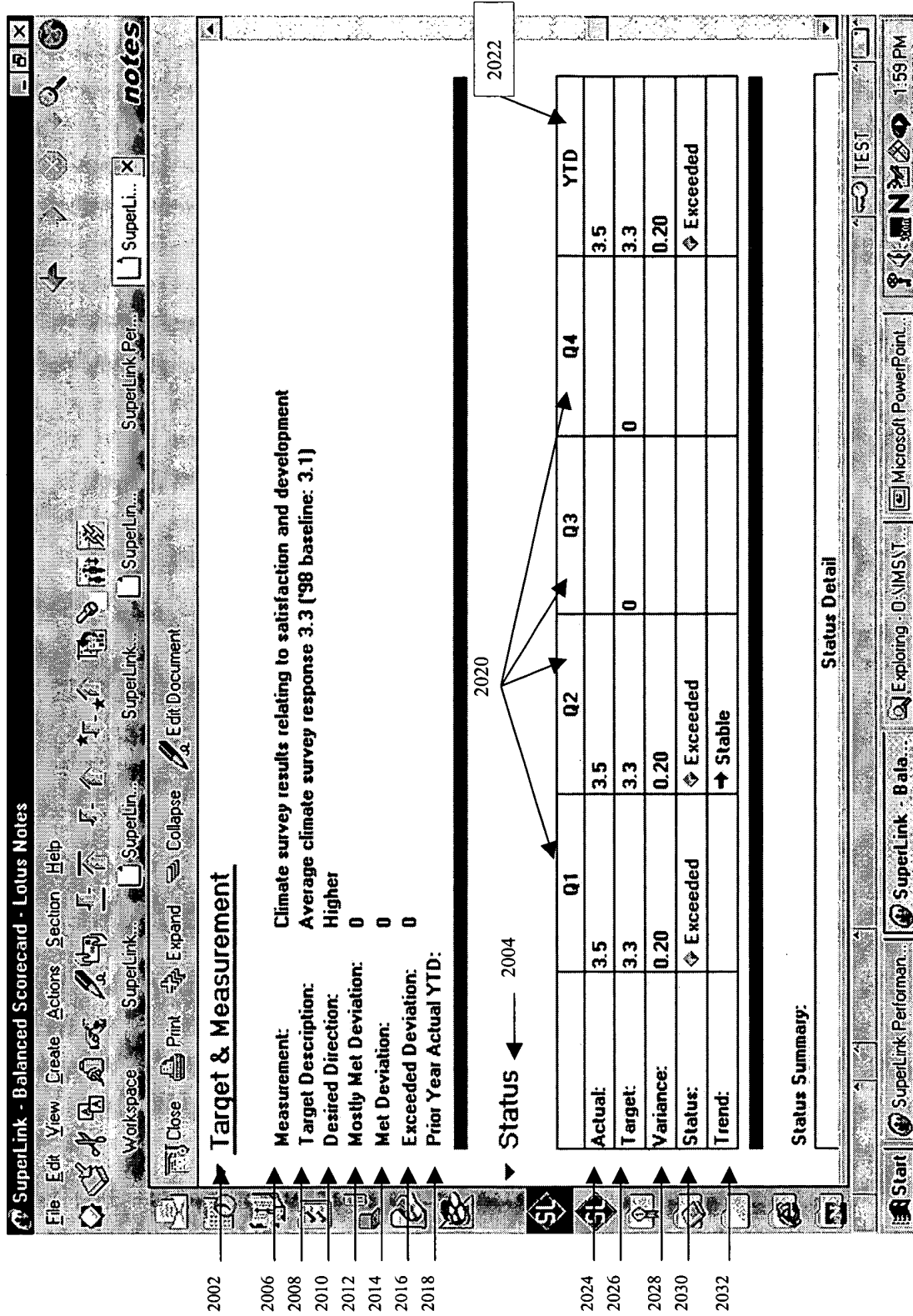
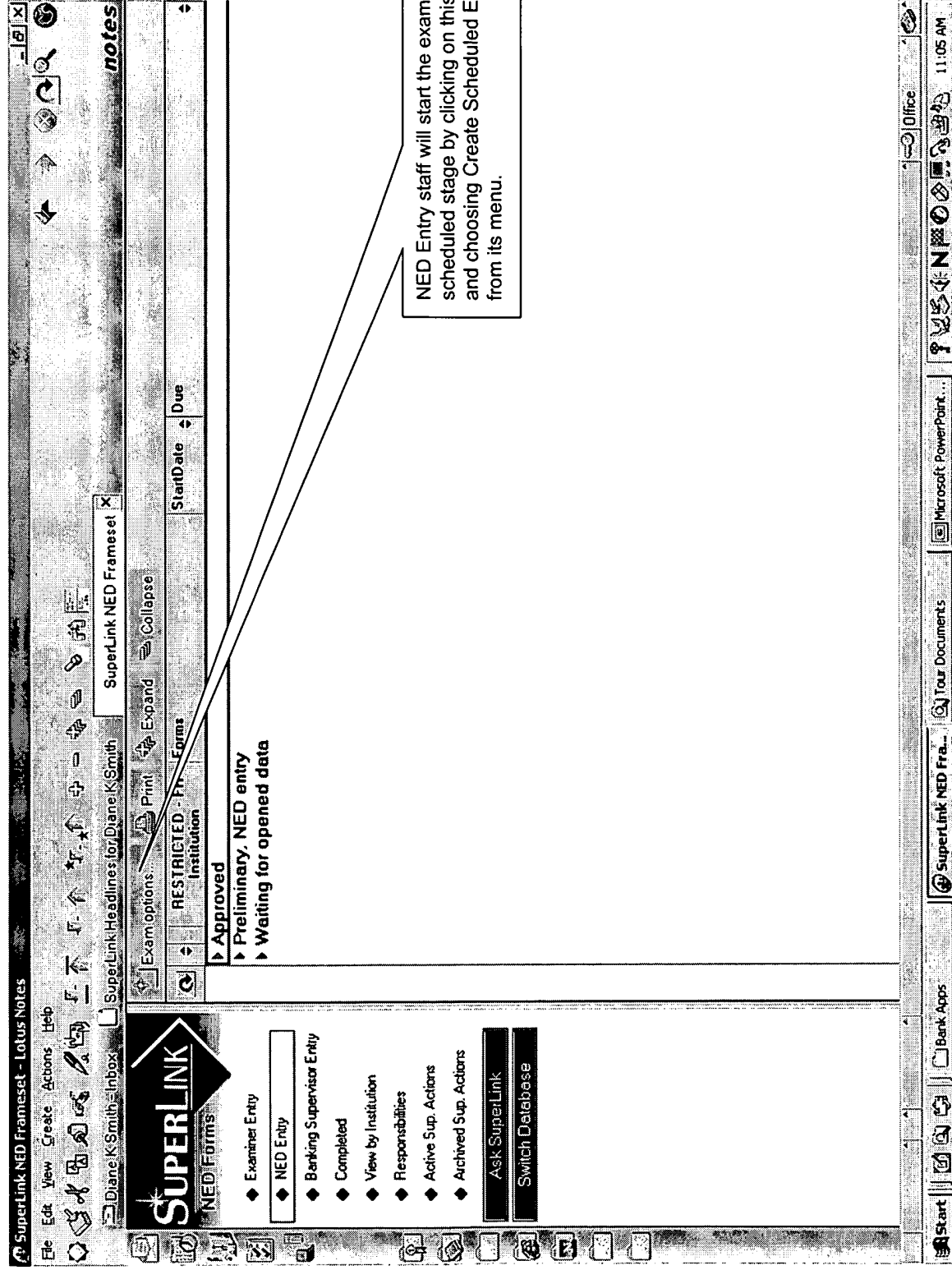


FIG. 20





**NED Exam Forms (new) - Lotus Notes**

File Edit View Create Actions Text Window Help

**NED Examination forms**

**Institution**  
 RSSD ID  
 Entity Type:

ABC Bank Holding Company  
 BHC - Bank Holding Company

Select Institution

**Examiner**

One A User1  
 Select Examiner

**Scheduled Start Date (MM/DD/CCYY):** 09/18/2000

Please choose the type(s) of examination performed:

☒ BHC - Large (>\$150 million)  
☐ BHC - Small (<\$150 million)  
☐ Commercial  
☐ Compliance  
☐ CRA  
☐ Edge/Agreement Branch  
☐ Edge/Agreement Corporation  
☐ Government Securities Dealer  
☐ International Branch of US Bank  
☐ International Subsidiary of US Bank  
☐ ITS  
☐ Municipal Securities Dealer  
☐ Nonbank Subsidiary of Foreign Bank  
☐ Representative/Administrative Office  
☐ Transfer Agent  
☐ Trust  
☐ U Registrant  
☐ US Branch/Agency of a Foreign Bank

**Scheduled entry complete**

The institution is selected from a list by clicking on this button. 2202

The examiner is chosen from a list by clicking on this button. 2204

The start date is typed into this space 2206

The exam type(s) are selected by checking the desired ones. 2208

This button is clicked to complete the scheduled stage of the exam. 2210

FIG. 22



NED Entry will fill out the appropriate data in the Open section of each exam-specific form.

Then, clicking on this button will send the exam to the next stage.

**This is the protected text area of the form.**

FIG. 23

2300

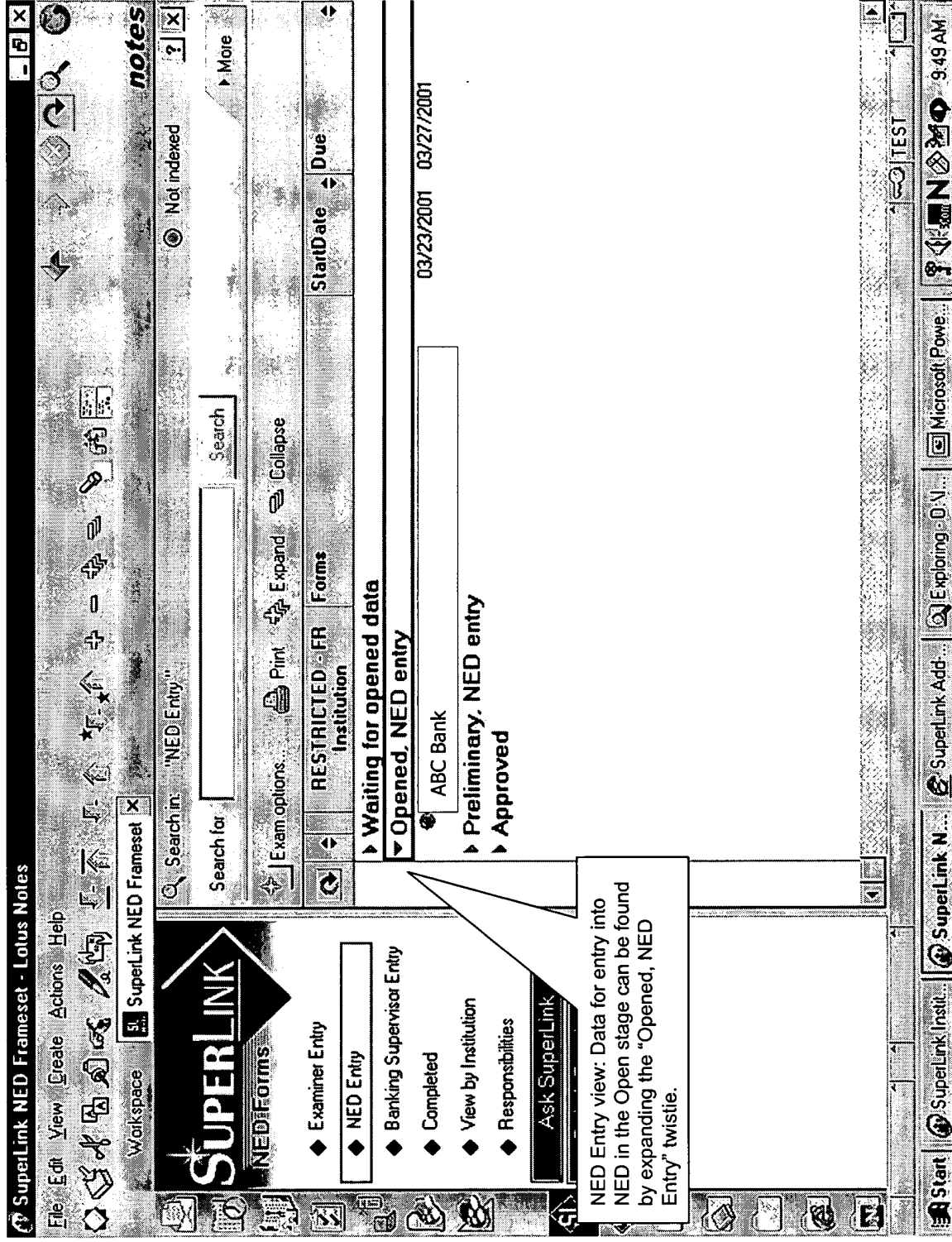


FIG. 24

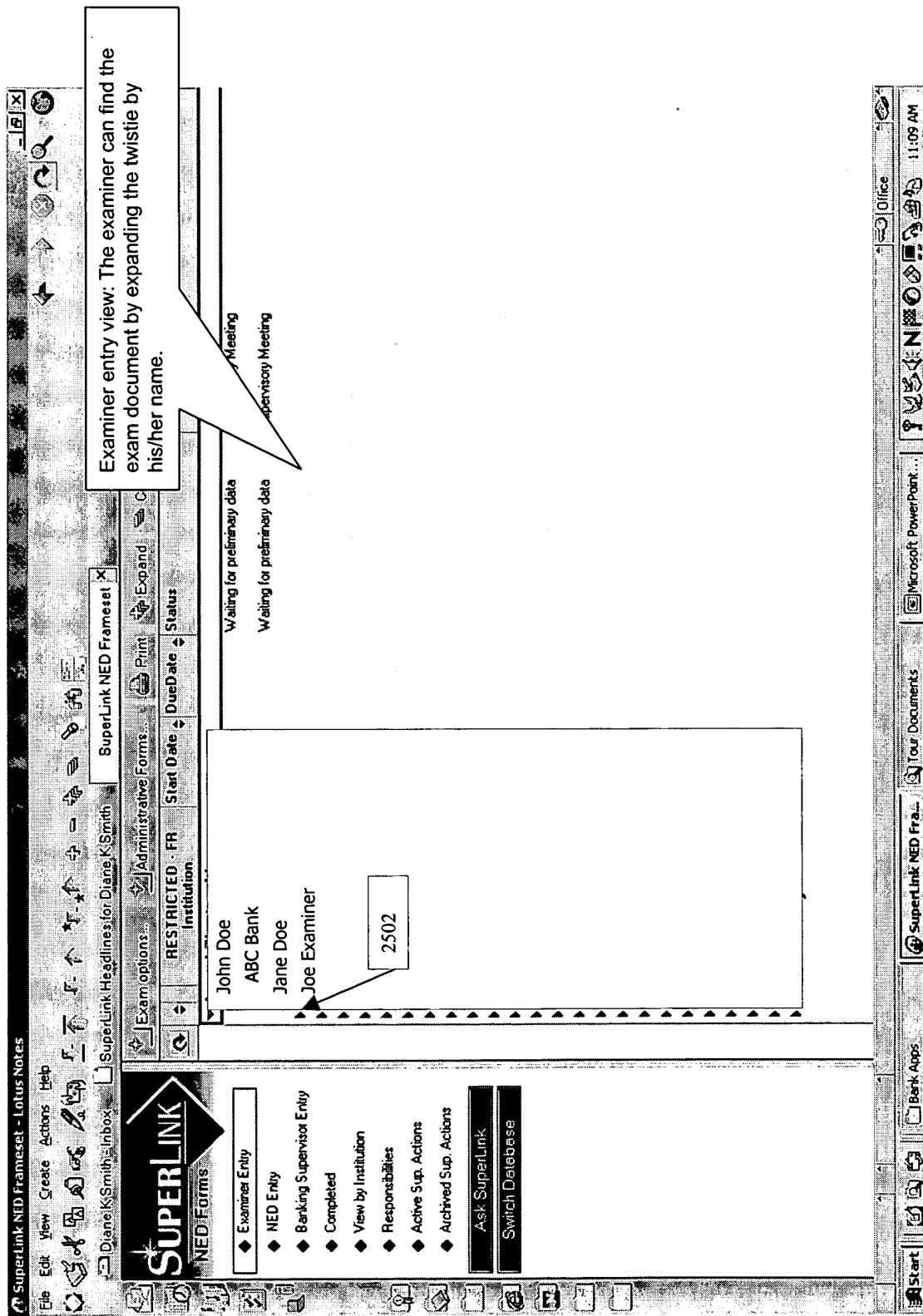


FIG. 25

P. (Preliminary) - Lotus Notes

File Edit View Create Actions Text Window Help

Close Expand Collapse Print

**RESTRICTED - EE**

**SUPERLINK** NED Examination forms

Institution: ABC Bank Holding Company  
 RSSD ID: BHC - Bank Holding Company  
 Entity Type: 09/18/2000  
 Scheduled Start Date:

This Exam is waiting for Preliminary data.

Examiner: One A User1  
 Approver: Select Approver

☐ During the examination/inspection, a risk assessment was conducted as part of the scope  
☐ The supervisory attributes of the institution were verified as part of the scope.  
☐ There was a meeting with the board of directors or a committee thereof as a result of the examination/inspection.

2606 —▶ General Exam Information:  
 2608 —▶ Exam-Specific Forms:

The examiner picks a banking supervisor by clicking this button. 2602

These boxes are checked if relative to the exam. 2604

The General Exam Information and Exam-Specific Forms sections can be expanded for more data to be entered.

Altogether

[None] Development

FIG. 26

JP. (Preliminary) - Lotus Notes

File Edit View Create Actions Section Window Help

Close Expand Collapse Print

These buttons can be used to add or remove exam forms from the document.

General Exam Information:

FORMS SELECTED:

BHC Inspection - Large 2714 2716

Add forms to exam Remove forms from exam

NAME OF PARTICIPATING AGENCY(IES)	OFFICE (if applicable)	ROLE
2720		2722

11 BANK EXAMINATION NARRATIVE SUMMARY REQUIREMENTS:

Discussion of Examination Findings:

Check this box if one or more of these conditions is present:

- Any targeted examination, giving a brief description of the examination scope.
- Any "3" or worse CAMELS composite rating.
- Any component or composite rating that is inconsistent with Board guidelines.
- Any significant changes in financial condition.
- Any notable impact of a top tier entity on the condition of, or prospects for, the organization.
- Any unsafe and unsound, speculative, or abusive practices noted during the examination.
- Any material violations of law or regulations noted during the examination, including repeat violations or situations involving questionable integrity or disregard for regulations or internal company policy.

Supervisory Actions:

The narrative page is also required if the bank is subject to an outstanding or proposed supervisory action. The supervisory action section should discuss the degree of compliance with any existing action, and note whether any upgraded or additional action is proposed as a result of the examination findings. If there has been a lack of compliance with an existing supervisory action, the Reserve Bank's proposed course of action should be presented.

Chain Banking Relationships:

The narrative page is also required for a bank involved in a chain banking relationship. The narrative page should discuss whether the chain organization has any notable impact on the condition of, or prospects for, the bank. Additionally, the following data items are to be presented for the chain organization as of the bank's examination date (indicate if a different date is used for any of these items):

- Chain Name
- Number of Banks or BHCs Rated 3, 4 or 5
- Total Banking Assets

FIG. 27

**File Edit View Create Action Window Help**

**Print Close Expand Collapse Print**

**Financial As Of Date (MM/DD/YYYY):** 09/18/2000

**Mandated?** ☒ Yes ☐ No

**Conducted:** ☐ Concurrent ☒ Independent ☐ Joint

**Scope:** ☒ Full ☐ Limited ☐ Supervisory Assessment

☐ Offsite Review ☐ Target ☐ Risk Assessment

**OPEN/STAGE EXAM DATA INPUT**

**Start Date (MM/DD/YYYY):** 09/18/2000

**Continuous?** ☒ Yes ☐ No

**Location:** ☐ Onsite ☒ Offsite

**Purpose:** ☐ Change in Control ☐ Membership ☒ Routine ☐ Surveillance ☐ De Novo ☐ Due Diligence

**Lead Agency:** FRB

**Lead Office:** Cleveland

**STAFF RESOURCES FOR EACH AGENCY**

Agency (e.g., FRB Boston):	Lead	Participant	Review
FRB - Cleveland Examiner in Charge: One A User1			
Reviewer:			

**Lead BOPEC/FM Rating:** ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

**Risk Management Rating:** ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

**Problem Bank(s)?** ☐ Yes ☒ No

**Reviewer BOPEC/FM Rating:** ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

**Risk Management Rating:** ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

**Problem Bank(s)?** ☐ Yes ☒ No

**ASSET QUALITY** *Enter Value in Thousands*

This is the protected text area of the form.

**File Edit View Create Action Window Help**

**Print Close Expand Collapse Print**

**Financial As Of Date (MM/DD/YYYY):** 09/18/2000

**Mandated?** ☒ Yes ☐ No

**Conducted:** ☐ Concurrent ☒ Independent ☐ Joint

**Scope:** ☒ Full ☐ Limited ☐ Supervisory Assessment

☐ Offsite Review ☐ Target ☐ Risk Assessment

**OPEN/STAGE EXAM DATA INPUT**

**Start Date (MM/DD/YYYY):** 09/18/2000

**Continuous?** ☒ Yes ☐ No

**Location:** ☐ Onsite ☒ Offsite

**Purpose:** ☐ Change in Control ☐ Membership ☒ Routine ☐ Surveillance ☐ De Novo ☐ Due Diligence

**Lead Agency:** FRB

**Lead Office:** Cleveland

**STAFF RESOURCES FOR EACH AGENCY**

Agency (e.g., FRB Boston):	Lead	Participant	Review
FRB - Cleveland Examiner in Charge: One A User1			
Reviewer:			

**Lead BOPEC/FM Rating:** ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

**Risk Management Rating:** ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

**Problem Bank(s)?** ☐ Yes ☒ No

**Reviewer BOPEC/FM Rating:** ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

**Risk Management Rating:** ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

**Problem Bank(s)?** ☐ Yes ☒ No

**ASSET QUALITY** *Enter Value in Thousands*

This is the protected text area of the form.

**File Edit View Create Action Window Help**

**Print Close Expand Collapse Print**

**Financial As Of Date (MM/DD/YYYY):** 09/18/2000

**Mandated?** ☒ Yes ☐ No

**Conducted:** ☐ Concurrent ☒ Independent ☐ Joint

**Scope:** ☒ Full ☐ Limited ☐ Supervisory Assessment

☐ Offsite Review ☐ Target ☐ Risk Assessment

**OPEN/STAGE EXAM DATA INPUT**

**Start Date (MM/DD/YYYY):** 09/18/2000

**Continuous?** ☒ Yes ☐ No

**Location:** ☐ Onsite ☒ Offsite

**Purpose:** ☐ Change in Control ☐ Membership ☒ Routine ☐ Surveillance ☐ De Novo ☐ Due Diligence

**Lead Agency:** FRB

**Lead Office:** Cleveland

**STAFF RESOURCES FOR EACH AGENCY**

Agency (e.g., FRB Boston):	Lead	Participant	Review
FRB - Cleveland Examiner in Charge: One A User1			
Reviewer:			

**Lead BOPEC/FM Rating:** ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

**Risk Management Rating:** ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

**Problem Bank(s)?** ☐ Yes ☒ No

**Reviewer BOPEC/FM Rating:** ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

**Risk Management Rating:** ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

**Problem Bank(s)?** ☐ Yes ☒ No

**ASSET QUALITY** *Enter Value in Thousands*

This is the protected text area of the form.

**File Edit View Create Action Window Help**

**Print Close Expand Collapse Print**

**Financial As Of Date (MM/DD/YYYY):** 09/18/2000

**Mandated?** ☒ Yes ☐ No

**Conducted:** ☐ Concurrent ☒ Independent ☐ Joint

**Scope:** ☒ Full ☐ Limited ☐ Supervisory Assessment

<

FIG. 28

RP. (Preliminary) - Lotus Notes

File Edit View Create Actions Section Window Help

Close Expand Collapse Print

Select Select Select Select

Hours for Exam:

Lead Agency	On-site	Off-site	Commissioned:	Non-commissioned:	Training:	Total By Category:	GRAND TOTAL (+Travel):	YES	NO
Preparation:								<input type="radio"/>	<input type="radio"/>
Assessment:								<input type="radio"/>	<input type="radio"/>
Report Development:								<input type="radio"/>	<input type="radio"/>
Total By Stage:	0							<input type="radio"/>	<input type="radio"/>
Travel:								<input type="radio"/>	<input type="radio"/>

2902

Participating Agency	On-site	Off-site	Commissioned:	Non-commissioned:	Training:	Total By Category:	GRAND TOTAL (+Travel):
Preparation:							
Assessment:							
Report Development:							
Total By Stage:	0						
Travel:							

2904

Once all the data has been entered and is correct, the Change to Final button should be clicked. This checks to make sure all the data that is required has been entered.

Change to Final

2906

This document is in Draft mode. (Please complete all the data above before changing this mode to Final and sending for approval.)

This is the protected text area of the form.

2900

FIG. 29



(Preliminary) - Lotus Notes

File Edit View Create Actions Text Window Help

Close Expand Collapse Print Send Preliminary for Entry 3002

## SUPERLINK NED Examination forms

RESTRICTED - FR

Institution RSSD ID	ABC Bank Holding Company		
Entity Type	BHL - Bank Holding Company		
Scheduled Start Date:	09/18/2000		

**This Exam is waiting for Preliminary data.**

Examiner	One A User1
Approver	Three C User3

[Select Approver](#)

- ☐ During the examination/inspection, a risk assessment was conducted as part of the scope
- ☐ The supervisory attributes of the institution were verified as part of the scope.
- ☐ There was a meeting with the board of directors or a committee thereof as a result of the examination/inspection.

► General Exam Information:

Exam-Specific Form:

Once the exam has been changed from Draft, this button will appear. Clicking it will set the exam to be entered into NED for the Preliminary stage.

Development







Lotus Notes (Opened, NED entry) - Lotus Notes

File Edit View Create Actions Section Window Help

Close Expand Collapse Print Opened entry complete 3302

**RESTRICTED - FR**

**SUPERLINK**

**NED Examination forms**

Institution: ABC Bank Holding Company  
 RSSD ID: BHC - Bank Holding Company  
 Entity Type: 09/18/2000  
 Scheduled Start Date:

This Exam has been opened, waiting for entry into NED.

Examiner: One A User1

Once entry into the NED system is done, clicking on this button will send the exam document to the Preliminary stage.

General Exam Information:

Exam-Specific Forms:

BHC Inspection - Large

**-RESTRICTED-**  
**NATIONAL EXAMINATION DATA**  
 BHC INSPECTION - LARGE INPUT FORM

OPEN STAGE: EXAM DATA INPUT

Start Date (MM/DD/CCYY): 09/18/2000  
 Financial As Of Date (MM/DD/CCYY): 09/18/2000  
 Continuous? ☒ Yes ☐ No  
 Location: ☐ Onsite ☒ Offsite  
 Purpose: ☐ Change in Control ☐ Membership ☒ Routine ☐ Compliance ☐ De Novo ☐ Surveillance ☐ Due Diligence  
 Mandated? ☒ Yes ☐ No  
 Conducted: ☐ Concurrent ☒ Independent ☐ Joint  
 Scope: ☒ Full ☐ Limited ☐ Supervisory Assessment ☐ Target ☐ Risk Assessment ☐ Offsite Review

This is the protected text area of the form.

[None] Development

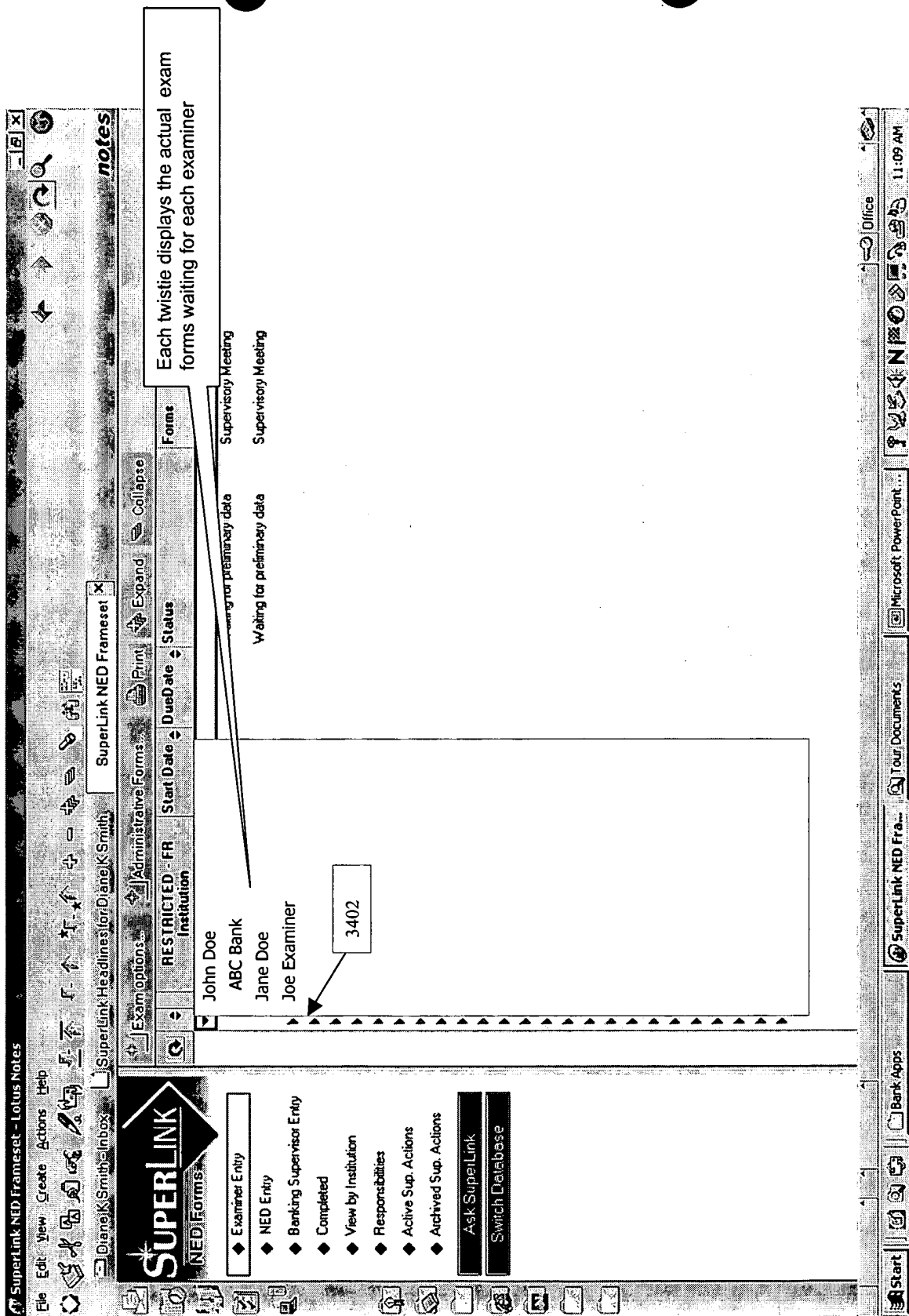


FIG. 34

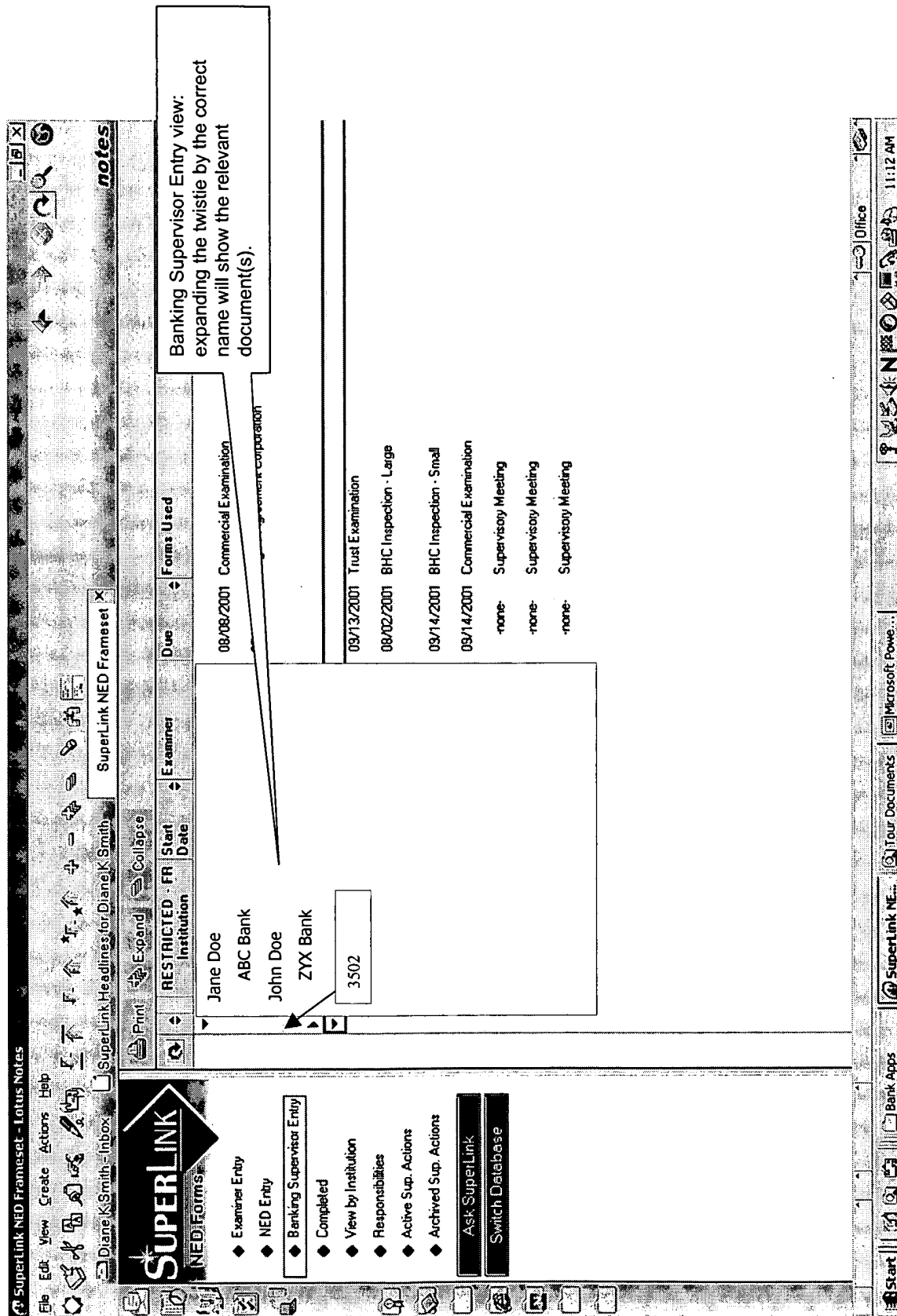


FIG. 35



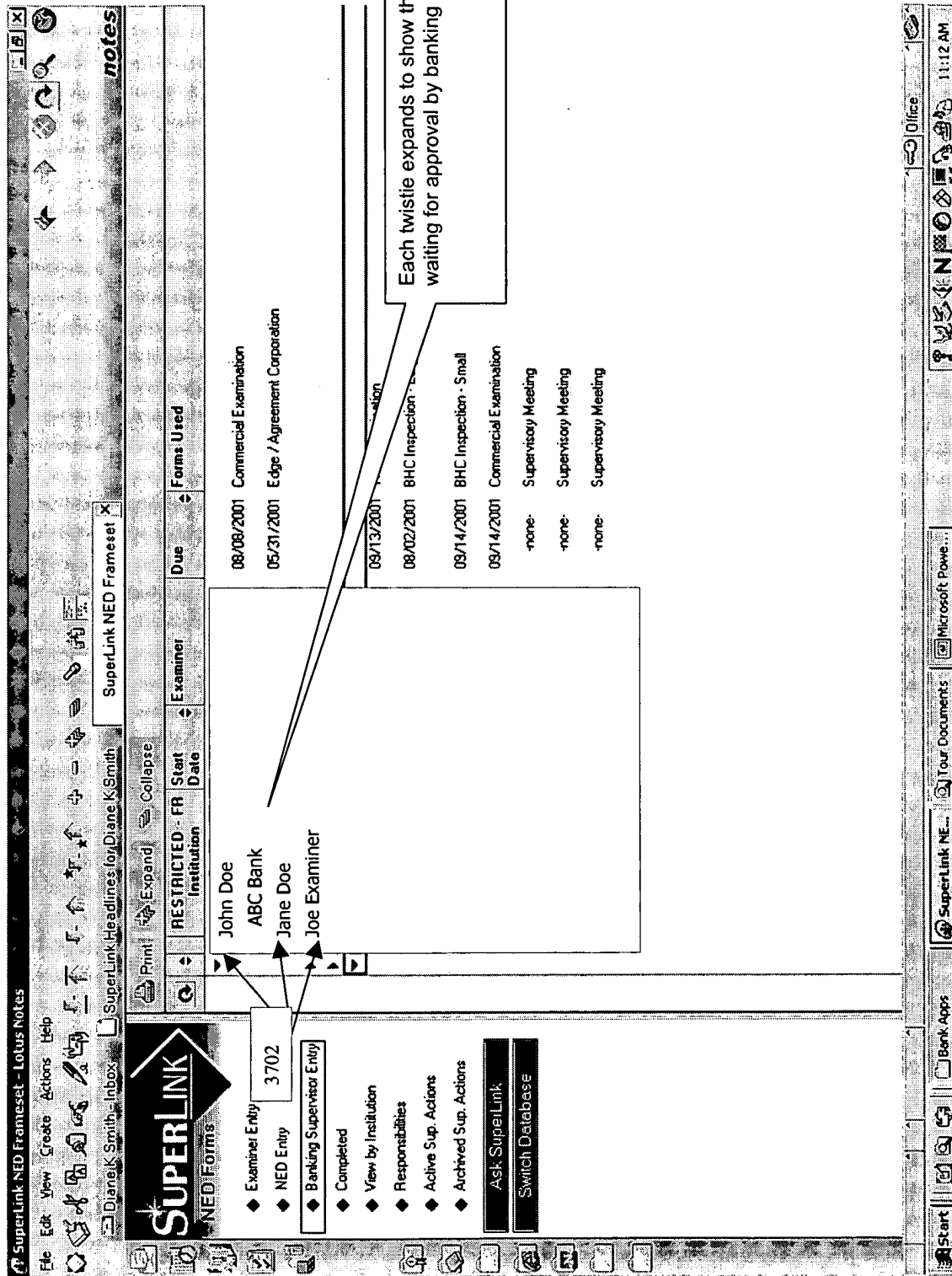


FIG. 37



SuperLink Institutions - By Entity - Lotus Notes

File Edit View Create Actions Help

Workspace

SuperLink Institutions

◆ By Entity Type

◆ By Name

◆ By RSSD ID

◆ Top US (>100)

◆ Bank Holding Companies

◆ State Member Banks

◆ Financial Alert Compar.

◆ Financial Comparisons

◆

Ask SuperLink

Switch Database

Search in: "By Entity"

Search for:

Print Expand Collapse

SuperLink Institutions - By Entity

Search

Not Indexed

More

State City State Count Contact Phone Asset Size RSSD ID

Agreement Corporation-Investment

Bank Holding Company

Data Processing Servicer

Domestic Entity Other

Edge Act Investment

Federal Credit Union

Federal Savings Banks

Finance Company

Financial Holding Bank

International Branch of a US Depository

International Nonbank Subsidiaries of

Multi District Processing Center

National Banks

Nondeposit Trust Co. Member

Nondeposit Trust Co. Nonmember

Nonmember Banks

Representative Office

Savings & Loan Association

Securities Broker/Dealer

State Credit Union

State Member Banks

In District

6

222

32

15

3

686

56

81

30

17

4

4

153

9

9

155

5

95

66

314

64

54

ABC Bank

Anywhere

OH

John Doe

555-555-5555

\$211,768

\$121,817

\$76,669

\$28,074

\$49,195

notes

Full text searches are also available to quickly locate text anywhere within the database.

Start

SuperLink Agent Log: Ag

Exploring: O:\MS\Tou.D

SuperLink Institutions

Microsoft PowerPoint (Su

TEST

7:41 AM

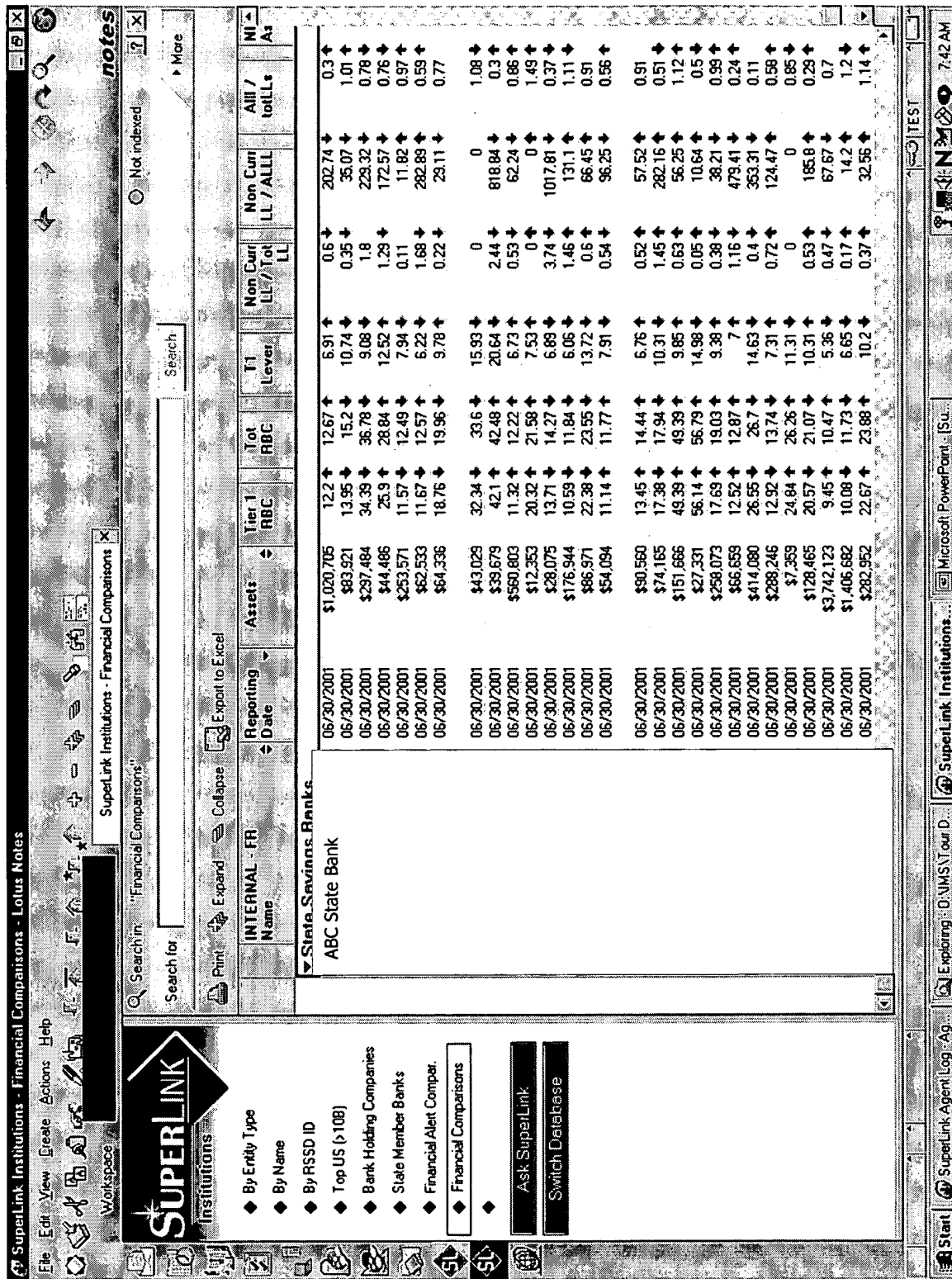


FIG. 39

102260" T2659660

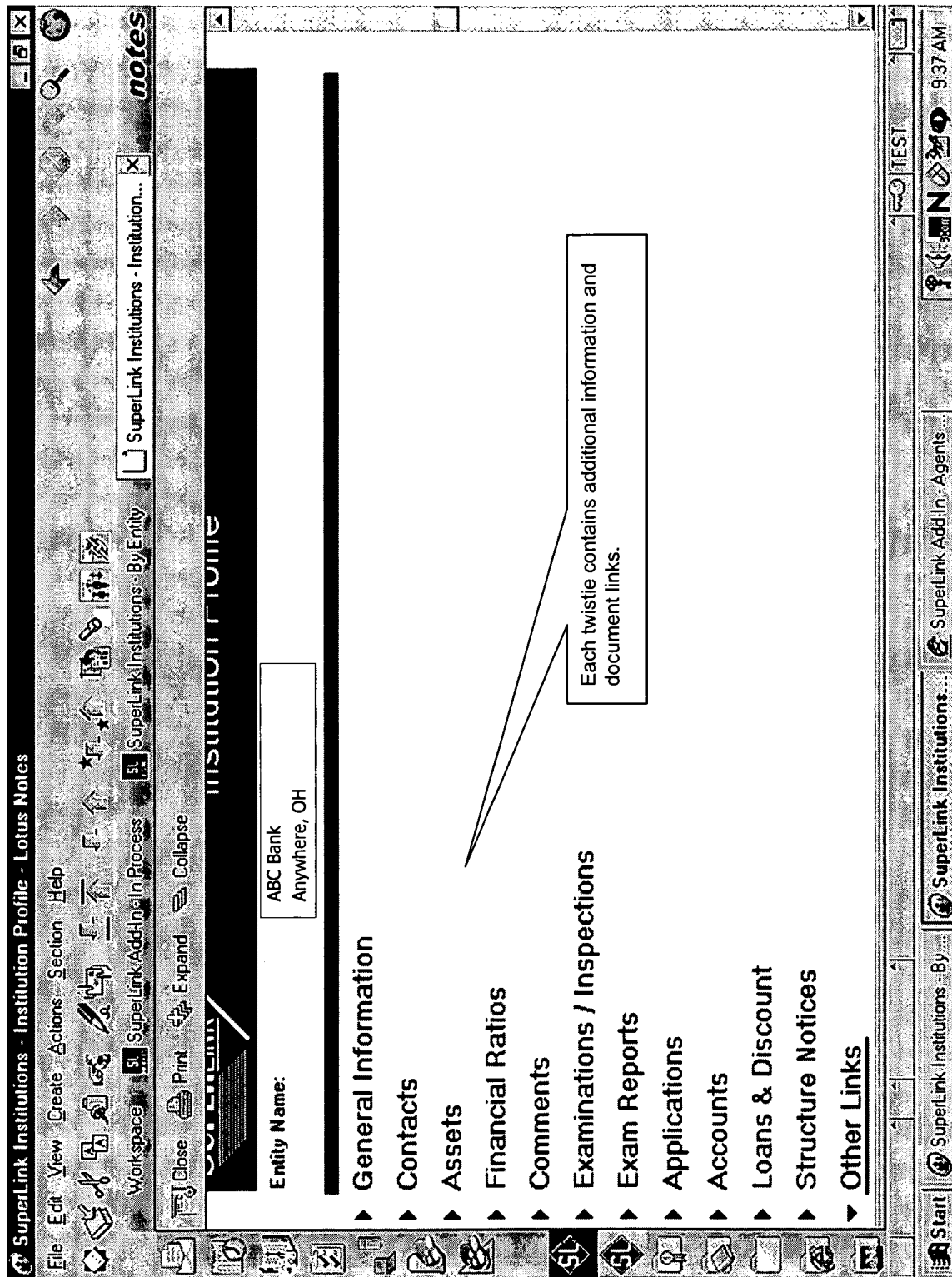


FIG. 40

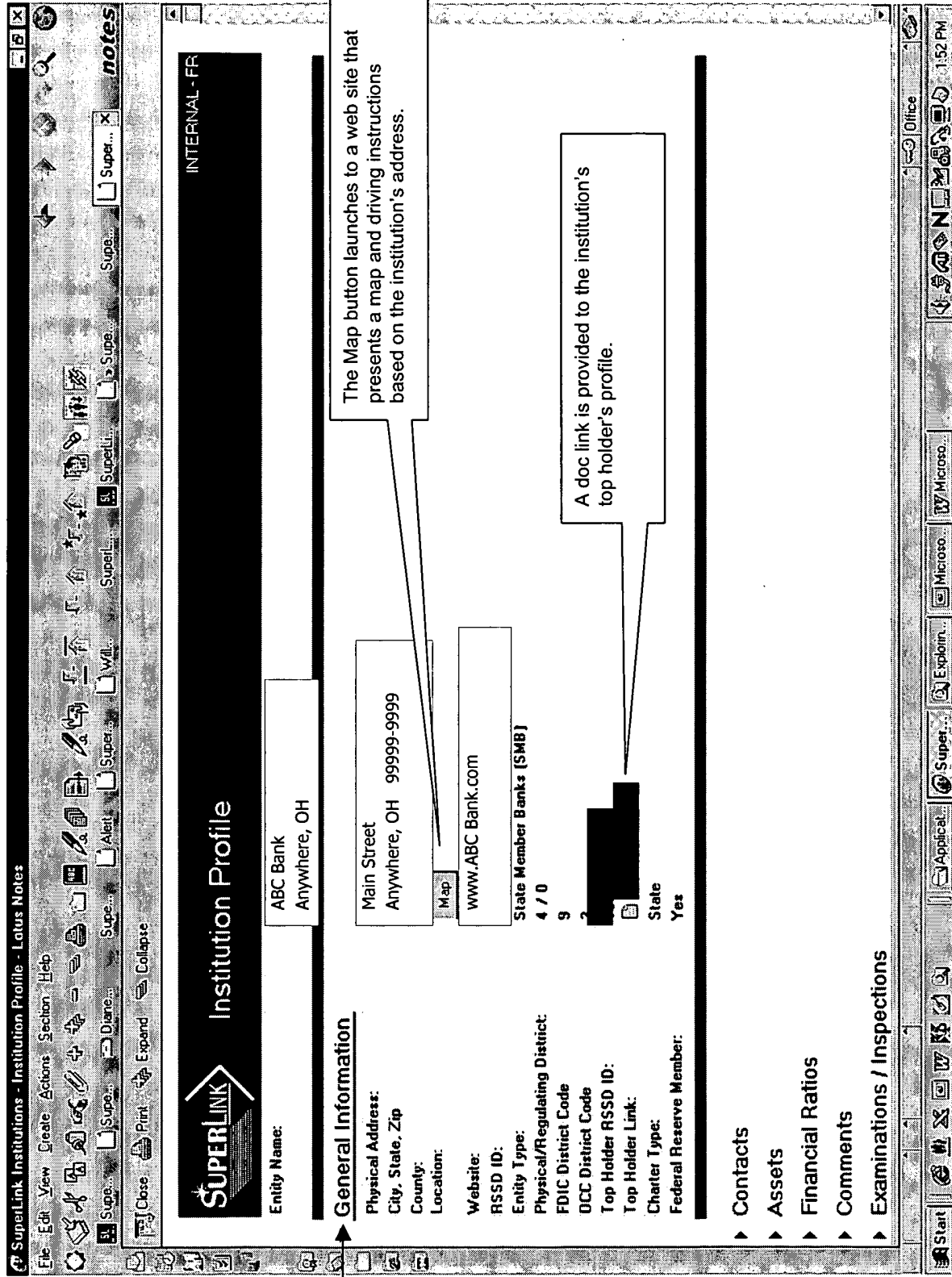


FIG. 41

SuperLink Institutions - Institution Profile - Lotus Notes

File Edit View Create Actions Section Window Help

Close Print Expand Collapse

INTERNAL-FR

**SUPERLINK** Institution Profile

Entity Name: ABC Bank  
Anywhere, OH

4202

General Information

Contacts

Contact Type	Name	Phone	Title
President	Joe Blow	555-555-5555	Pres/Director
Supervisory Contact	John Doe	555-555-5555	Pres/Director

Contact information is from other legacy systems.

Contact Type	Name	Title	Area
Central Point of Contact	Jane Doe		
Primary Contact	Joe Blow	Examiner	Safety and Soundness
Reserve Bank Officer	John Doe	Vice President	Safety and Soundness

Federal Reserve Bank

Other Agency

Agency	Name	Phone	Title
STATE	Jack Doe	555-555-5555	NE Regional Supervisor

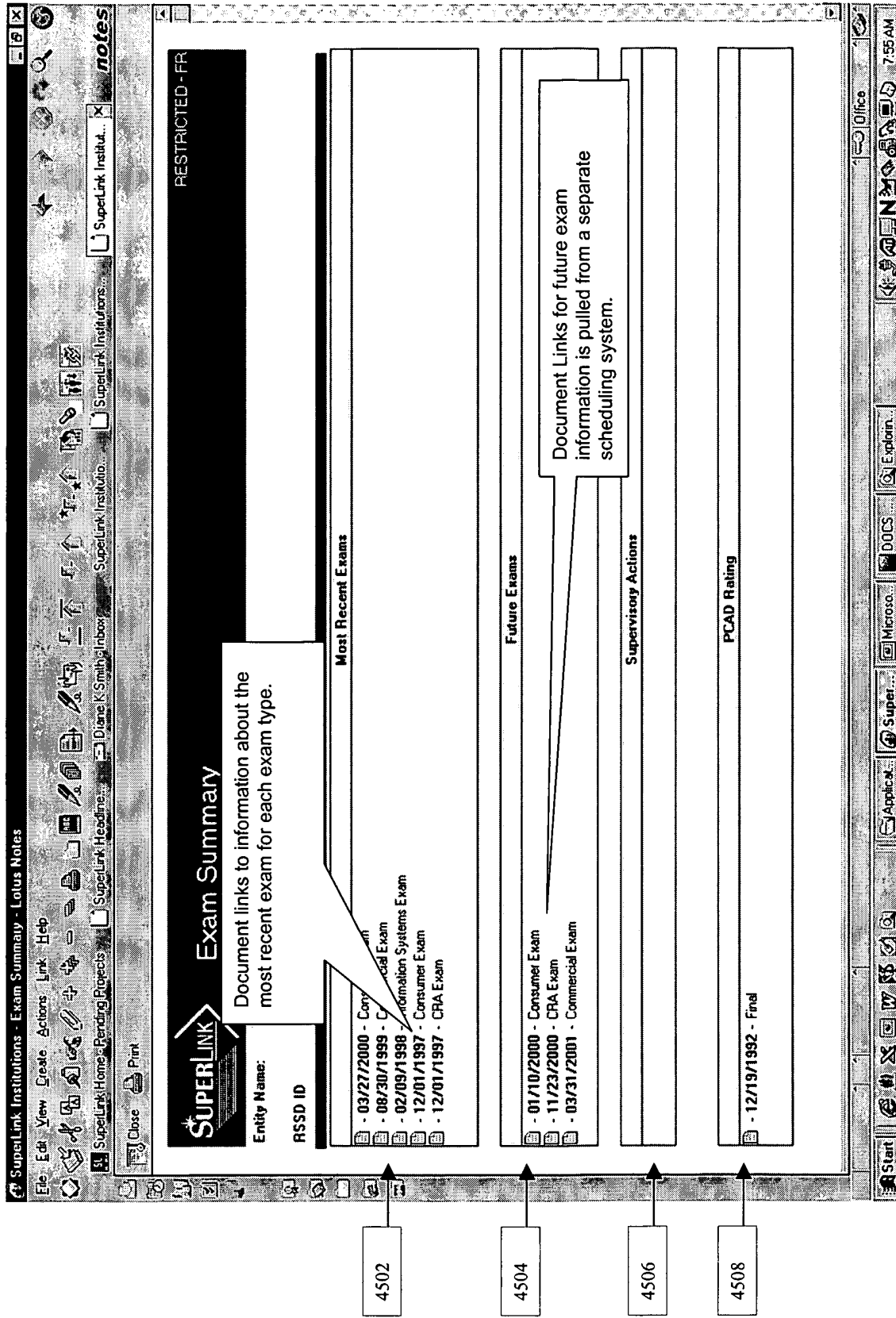
Office



FIG. 43

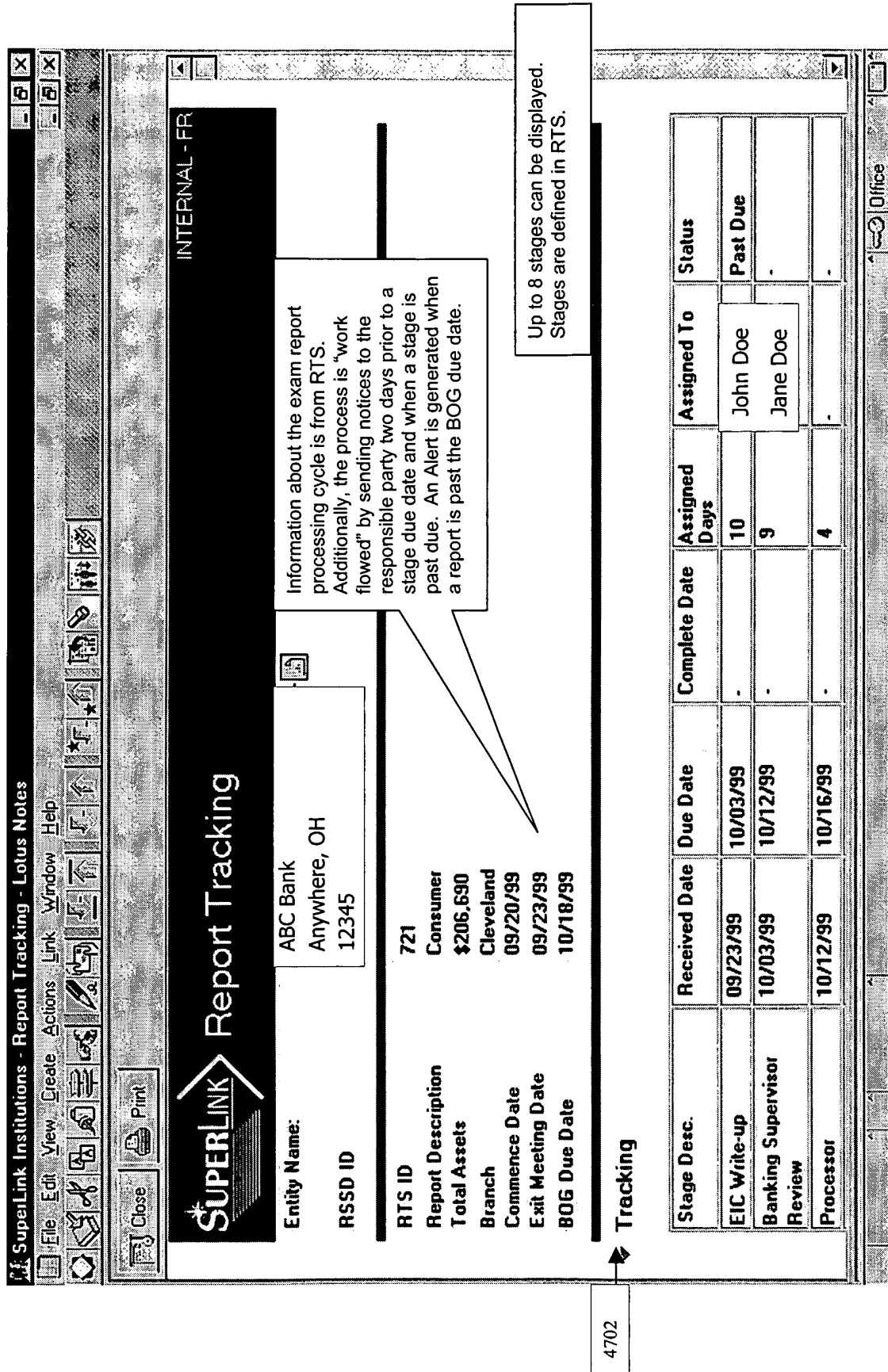




[illegible]

4500







SuperLink Institutions - Loans & Discount Summary - Lotus Notes

File Edit View Create Actions Section Help

Work-space SuperLink Institutions: BIC Categories SuperLink Institutions: Loans & Discount Summary

Notes

Close Print Expand Collapse

**Collateral**

	Lendable Value	Par Value	Date (as of)
Book Entry:	No Collateral Found		
Definitive:	\$17,581,672.14	\$21,977,090.17	09/04/2001
Total:	\$17,581,672.14	\$21,977,090.17	

**Loans**

Loan History

- 12/8/99 - Adjustment Credit

**Overnight Overdrafts**

Date	No Overdrafts Found	Amount	Charge	Disposition	Reason Code

**Borrower In Custody Arrangements**

Collateral Value	Collateral Percentage	Security Description	Account Number	Cat. Code	Maturity Date
\$17,581,672.14	80	MTG LOAN (COMM) COMMERCIAL LOANS COMMERCIAL REAL ESTATE LOANS	U101	880	09/15/2001

**Borrower In Custody Surveillance**

BIC Flag (Computed): Very Low Risk

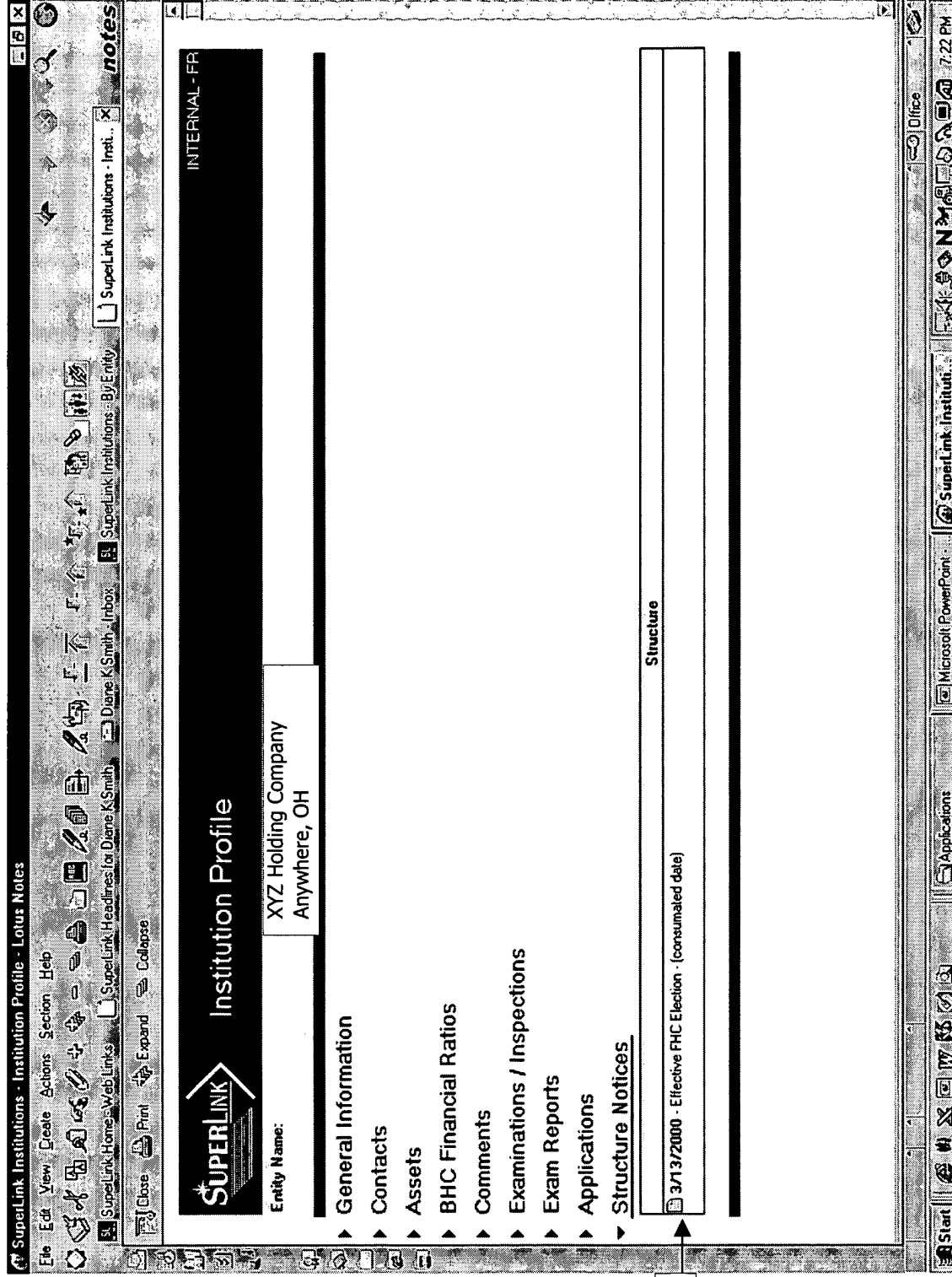
BIC Total Collateral Value: \$17,581,672.14

Criteria for BIC Flag:

CAMELS rating of 1  
Asset quality rating of 1  
Management rating of 2  
PCAD rating of Well Capitalized

Start SuperLi Super... Lotus D... Microsoft... N.Y. app... Explor... Microsoft... TEST 11:01 AM

FIG. 49

[illegible]

5002

5000

FIG. 50

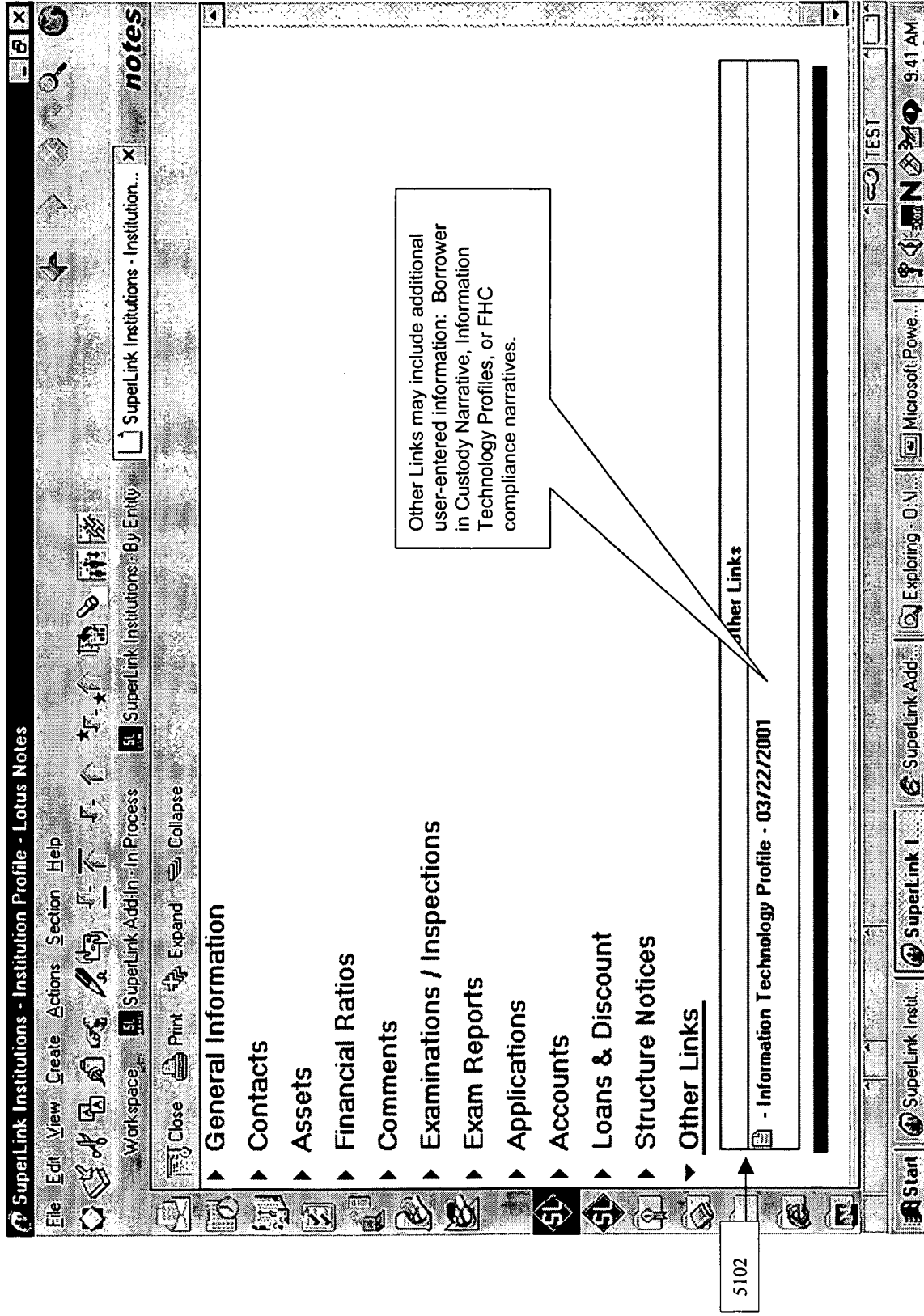


FIG. 51





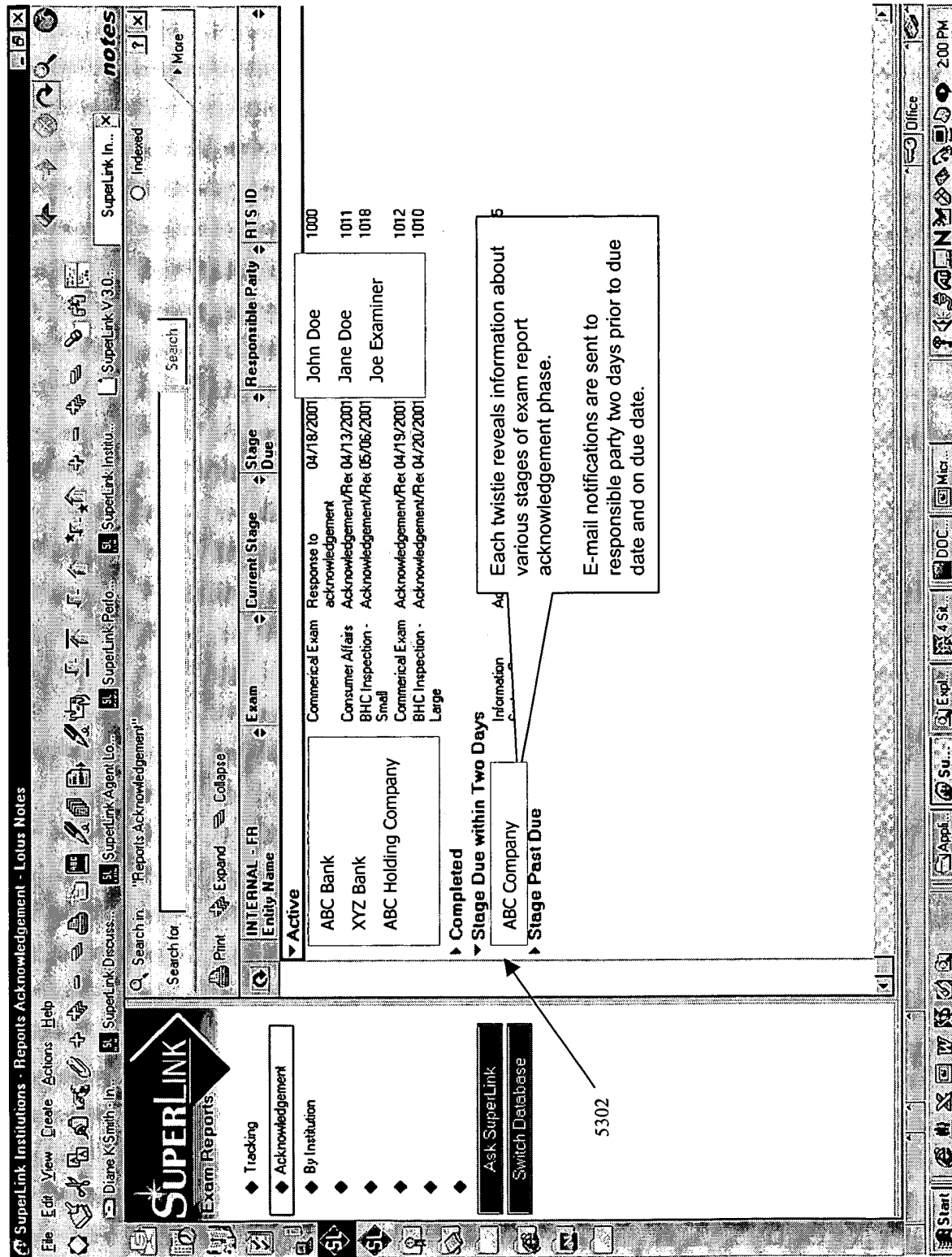


FIG. 53

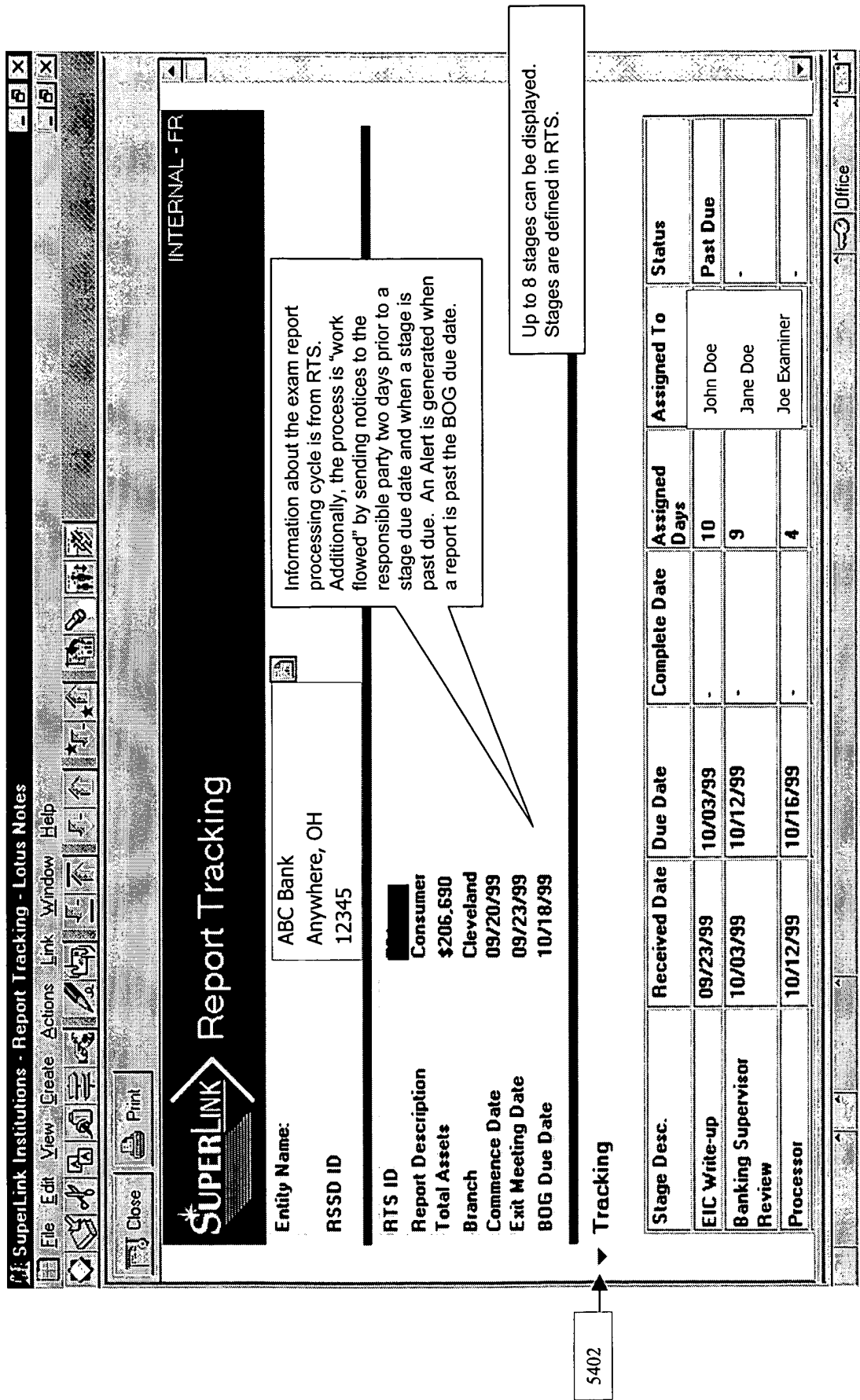


FIG. 54

5500

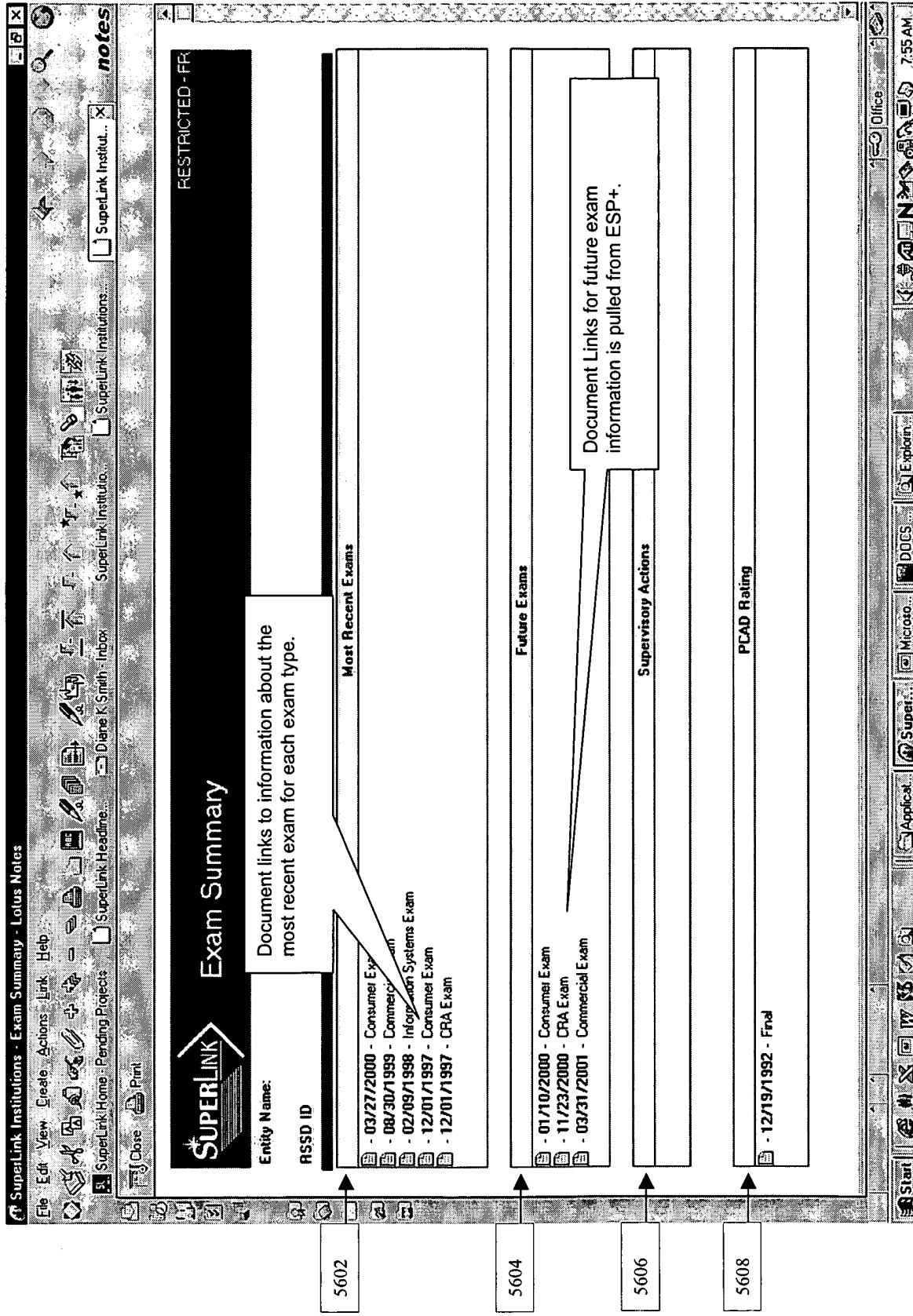
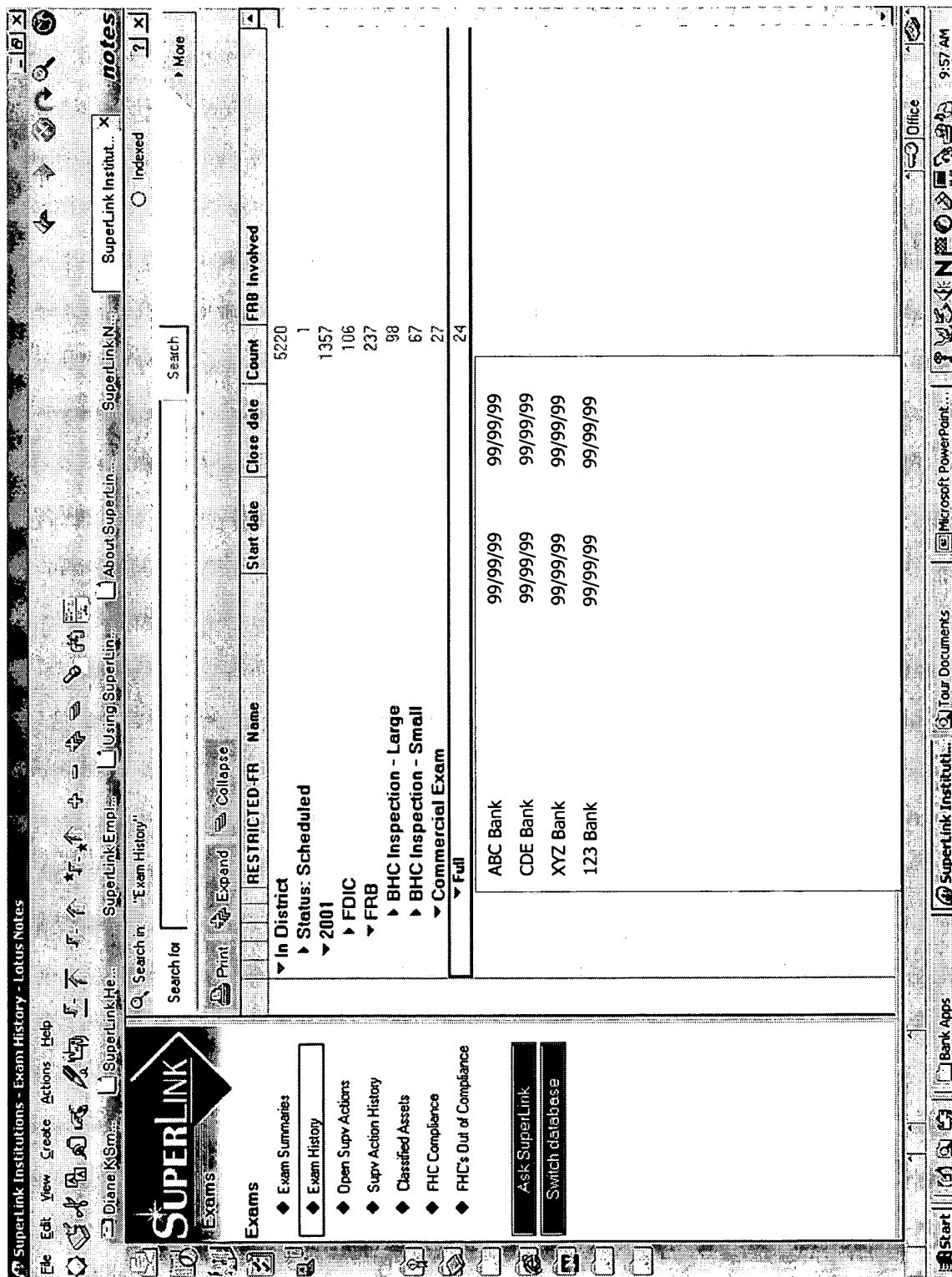


FIG. 56

[illegible]

5700

FIG. 57

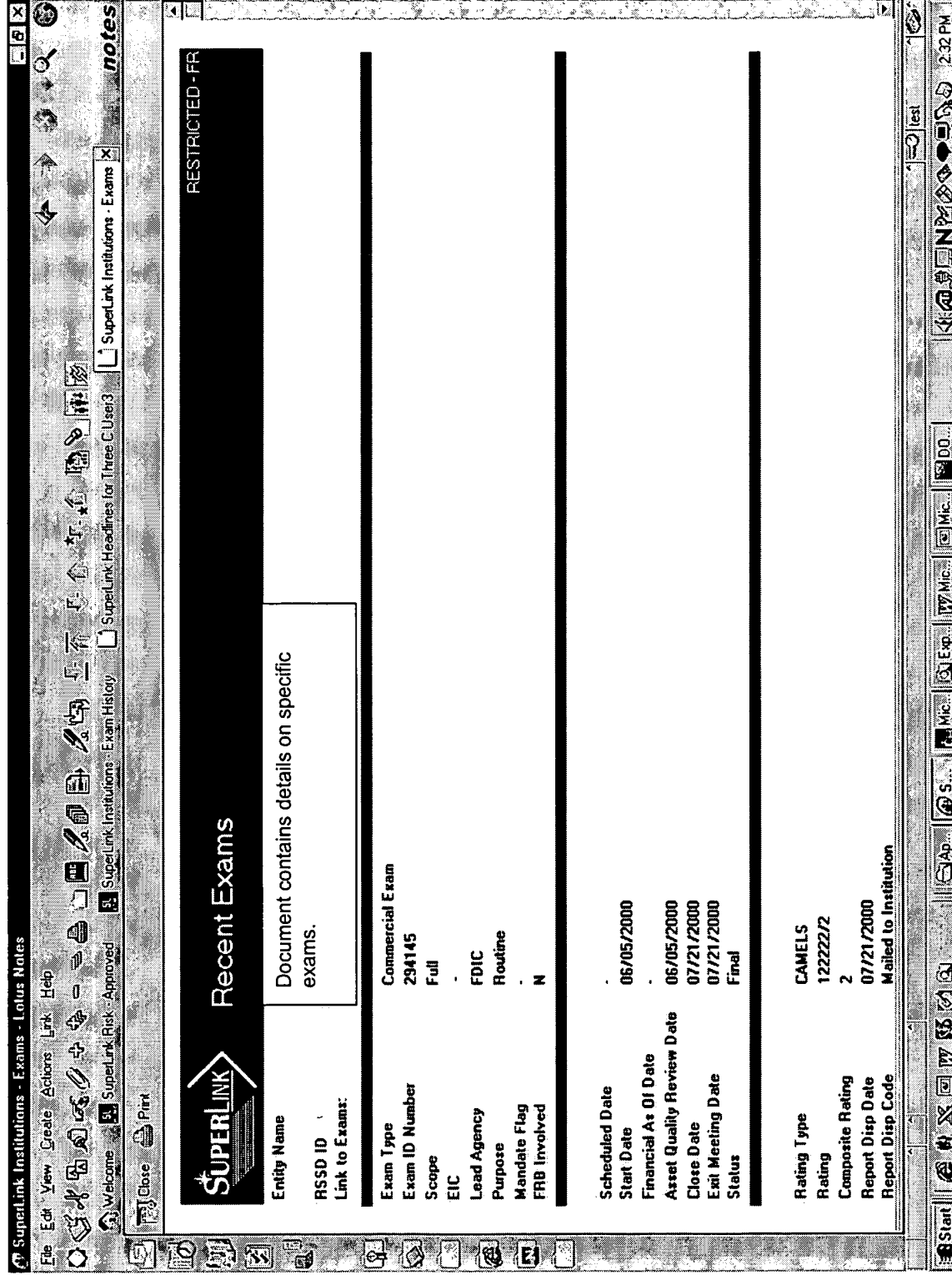


FIG. 58





**SuperLink Institutions - Supervisory Action History**

File Edit View Create Actions Help

Diane K Sm... SuperLink Headl... SuperLink Empl... About SuperLin... SuperLink In... notes

Search in "Supervisory Action History"

Search for [ ] Search

Print Expand Collapse

In District	Name	Entity Type	Area	Initiated	Effective	Termination
▼ In District						
▼ 2001						
▶ Effective						
▶ Board Resolution						
▶ Memorandum of Understanding						
▶ Supervisory Letter						
▼ 2000						
▶ Effective						
▶ Board Resolution						
▶ Cease and Desist						
▶ Commitment Letter						
▶ Memorandum of Understanding						
▶ Supervisory Letter						
▶ Written Agreement						
▶ Terminated						
▶ Board Resolution						
▶ Memorandum of Understanding						
▶ Supervisory Letter						
▶ Written Agreement						
▼ 1999						
▶ Effective						
▶ Board Resolution						
▶ Cease and Desist						
▶ Commitment Letter						
▶ Formal Agreement						
▶ Written Agreement						
▶ Terminated						
▼ 1998						

Exams

- Exam Summaries
- Exam History
- Open Supv Actions
- Supv Action History
- Classified Assets
- FHC Compliance
- FHC's Out of Compliance

Ask SuperLink  
Switch database

Office Microsoft PowerPoint... Tour Documents SuperLink Institutional Bank Apps Start

9:59 AM

FIG. 59b

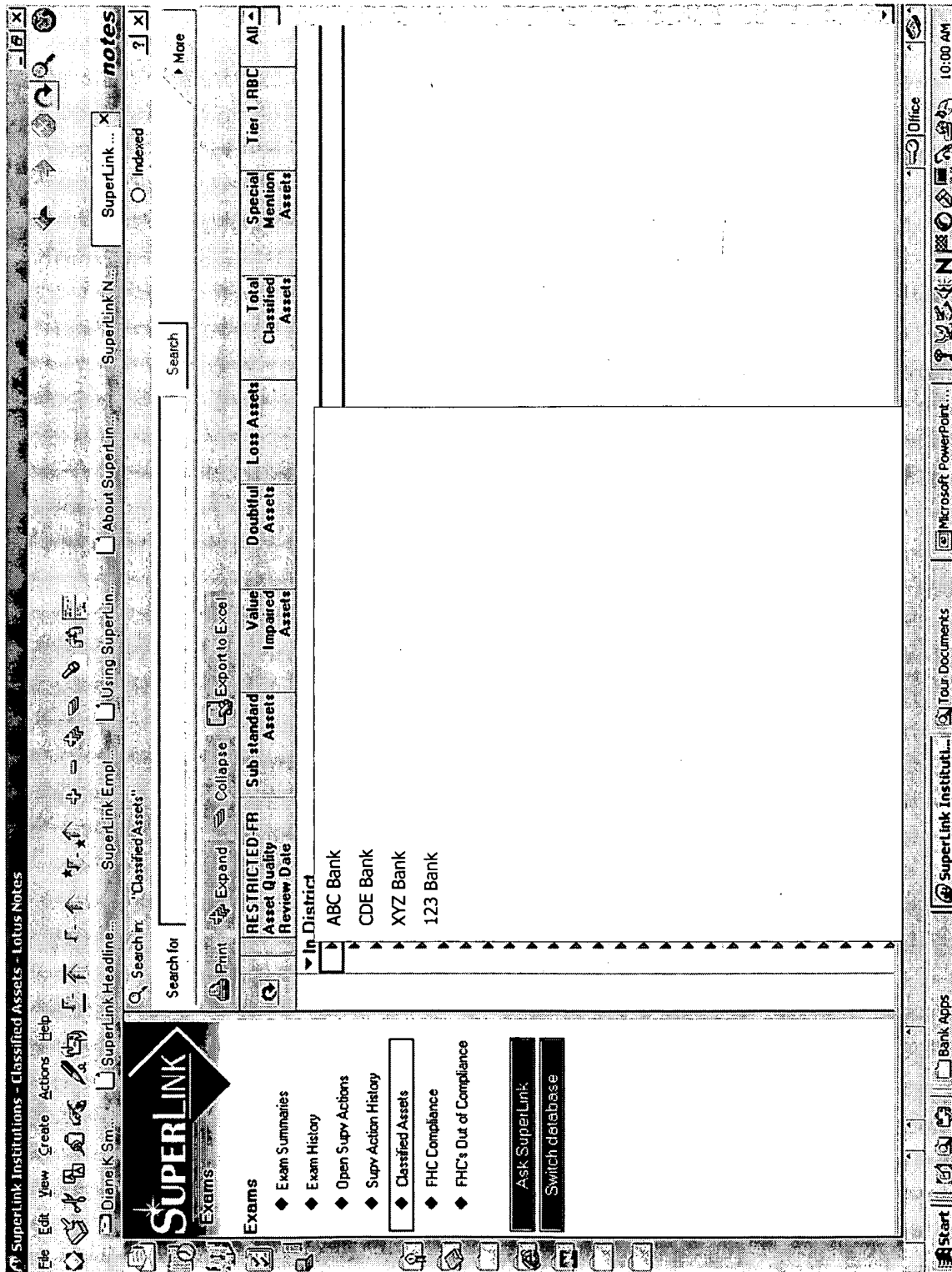


FIG. 59c

Scheduled Date	
06/30/2000	
Start Date	
Financial As Of Date	
Asset Quality Review Date	
Close Date	
Exit Meeting Date	
Status	Final

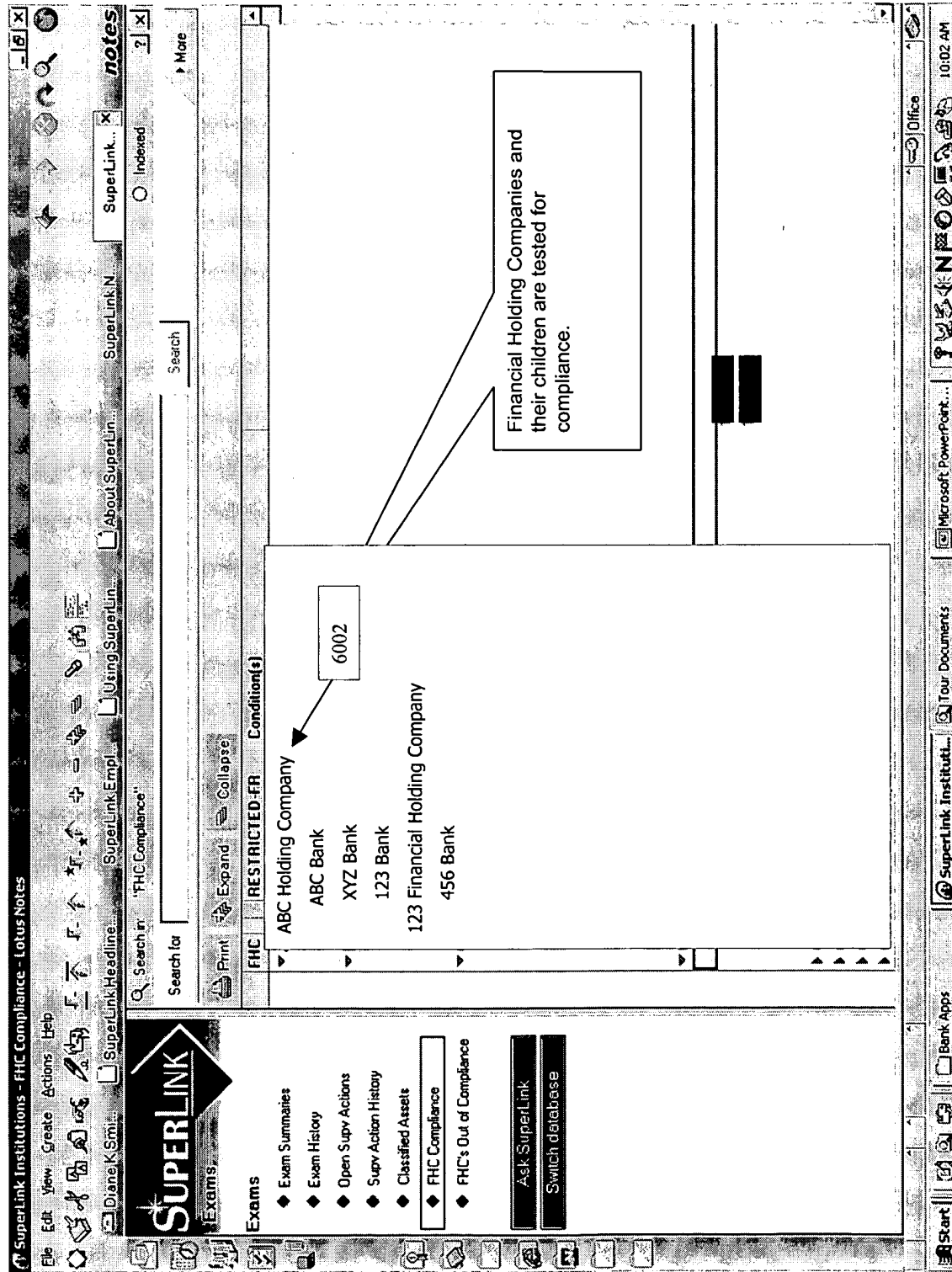
Rating Type	BOPEC
Rating	00000/ON
Composite Rating	0
Report Disp Date	08/14/2000
Report Disp Code	For Internal Use Only

### Classified Assets

Total Substandard Assets	\$233,398
Total Value Impaired Assets	\$0
Total Doubtful Assets	\$20,594
Total Loss Assets	\$14,068
<b>Total Classified Assets</b>	<b>\$268,060</b>
Special Mention Assets	\$131,394
Tier 1 Risk-Based Capital	\$2,203,264
Allowances for Loans and Lease losses	\$186,194
Total Classifications Ratio	11.22
Weighted Classifications Ratio	2.97

FIG. 59d



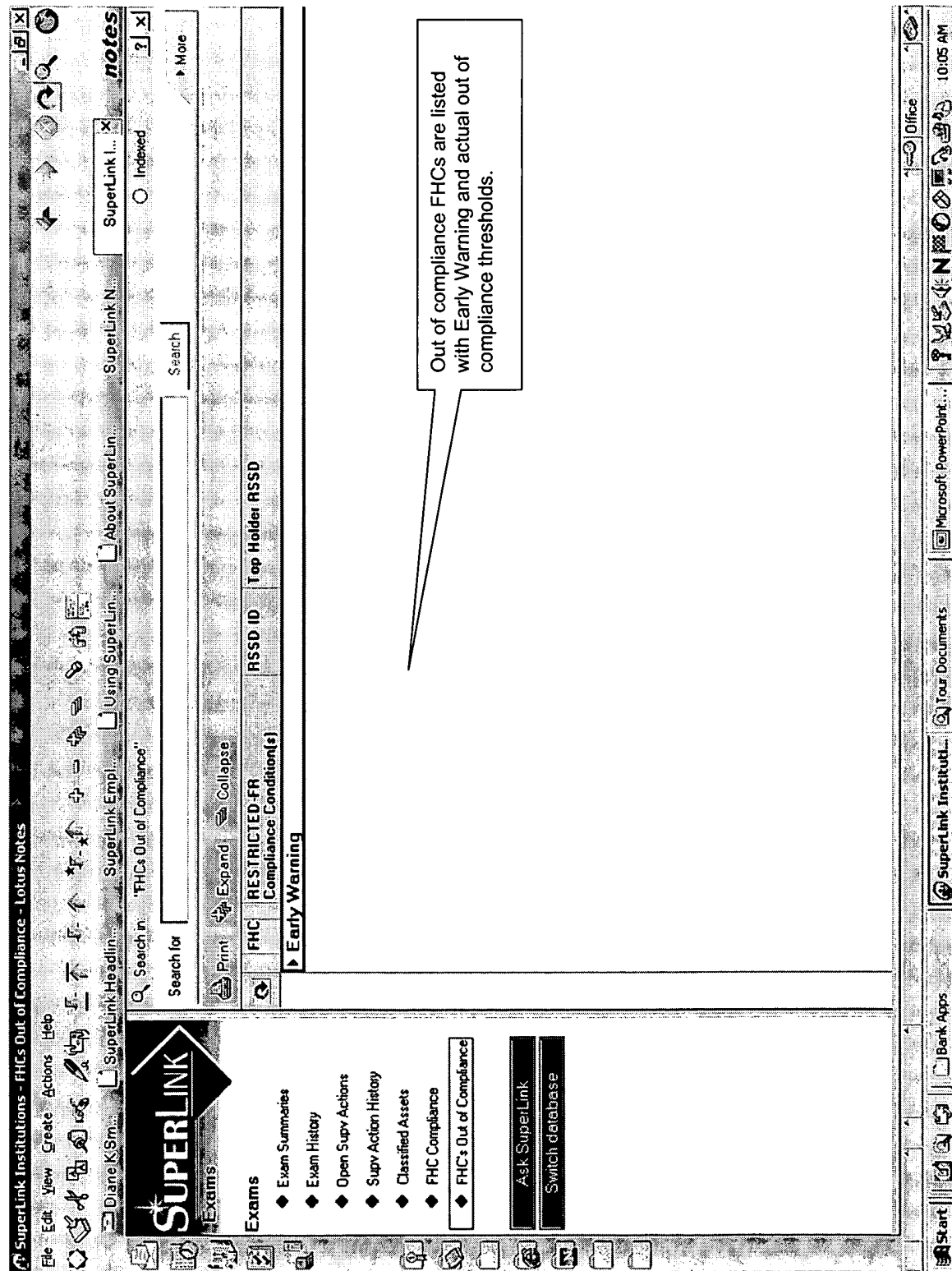
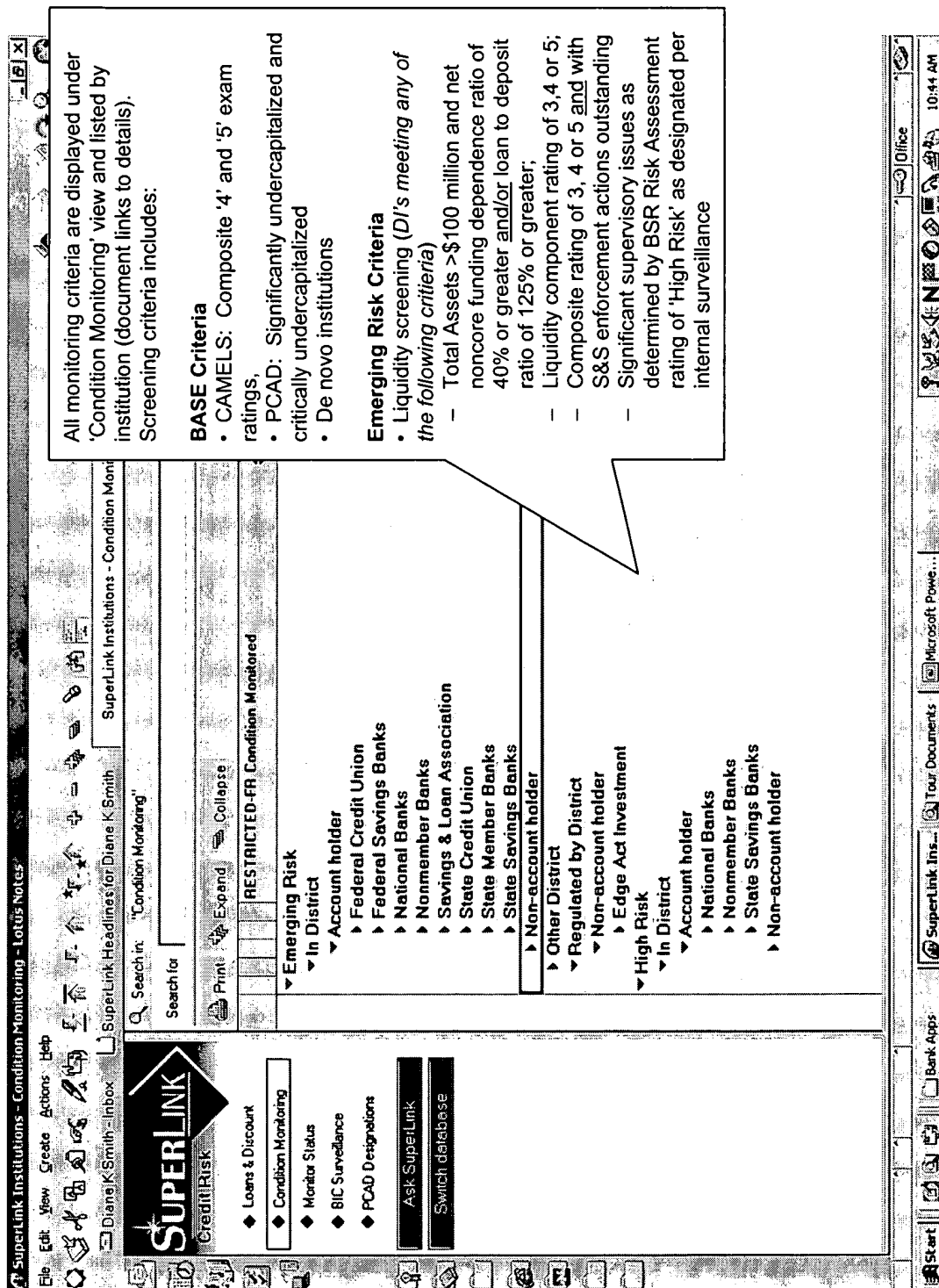


FIG. 60b



6100

FIG. 61a



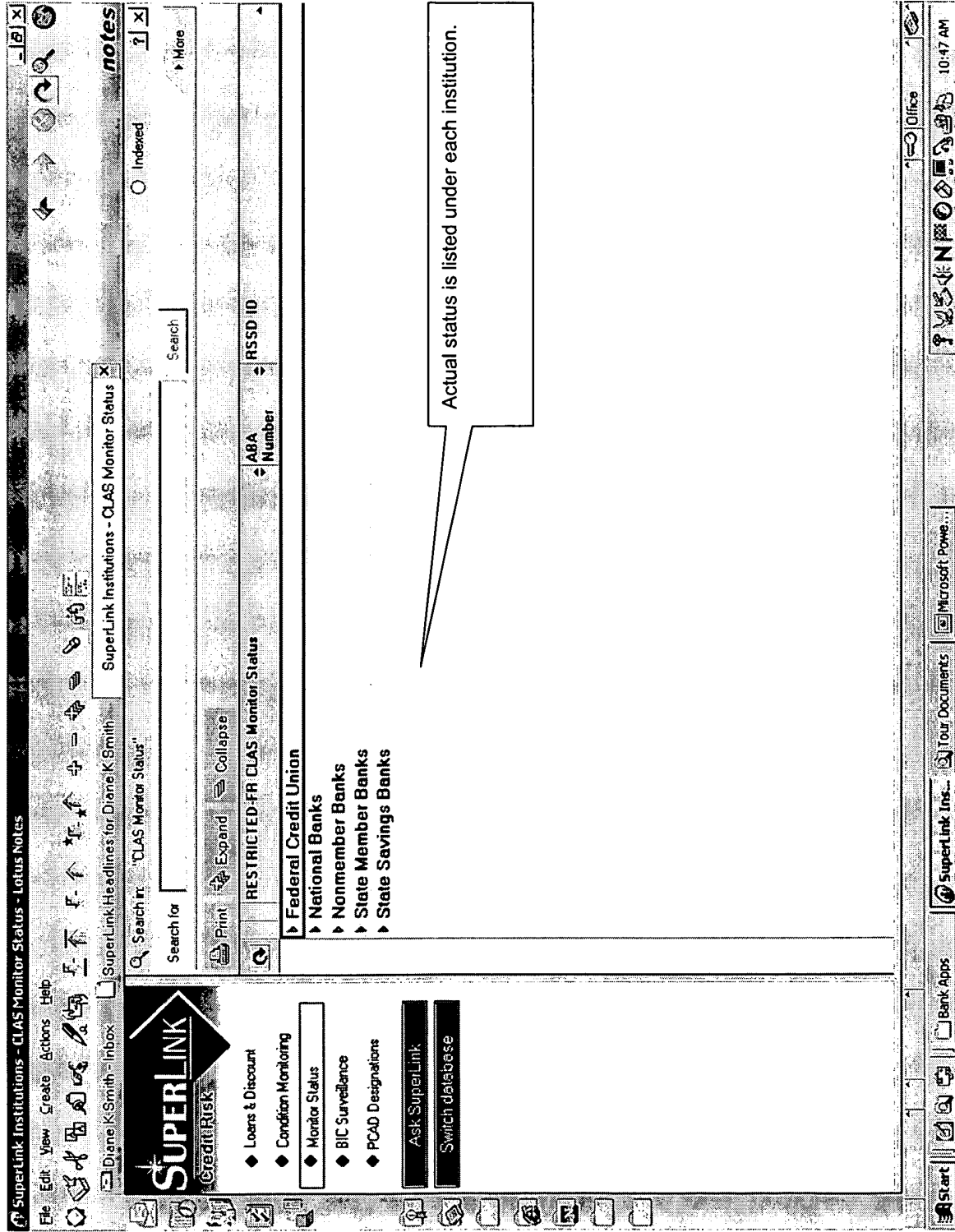
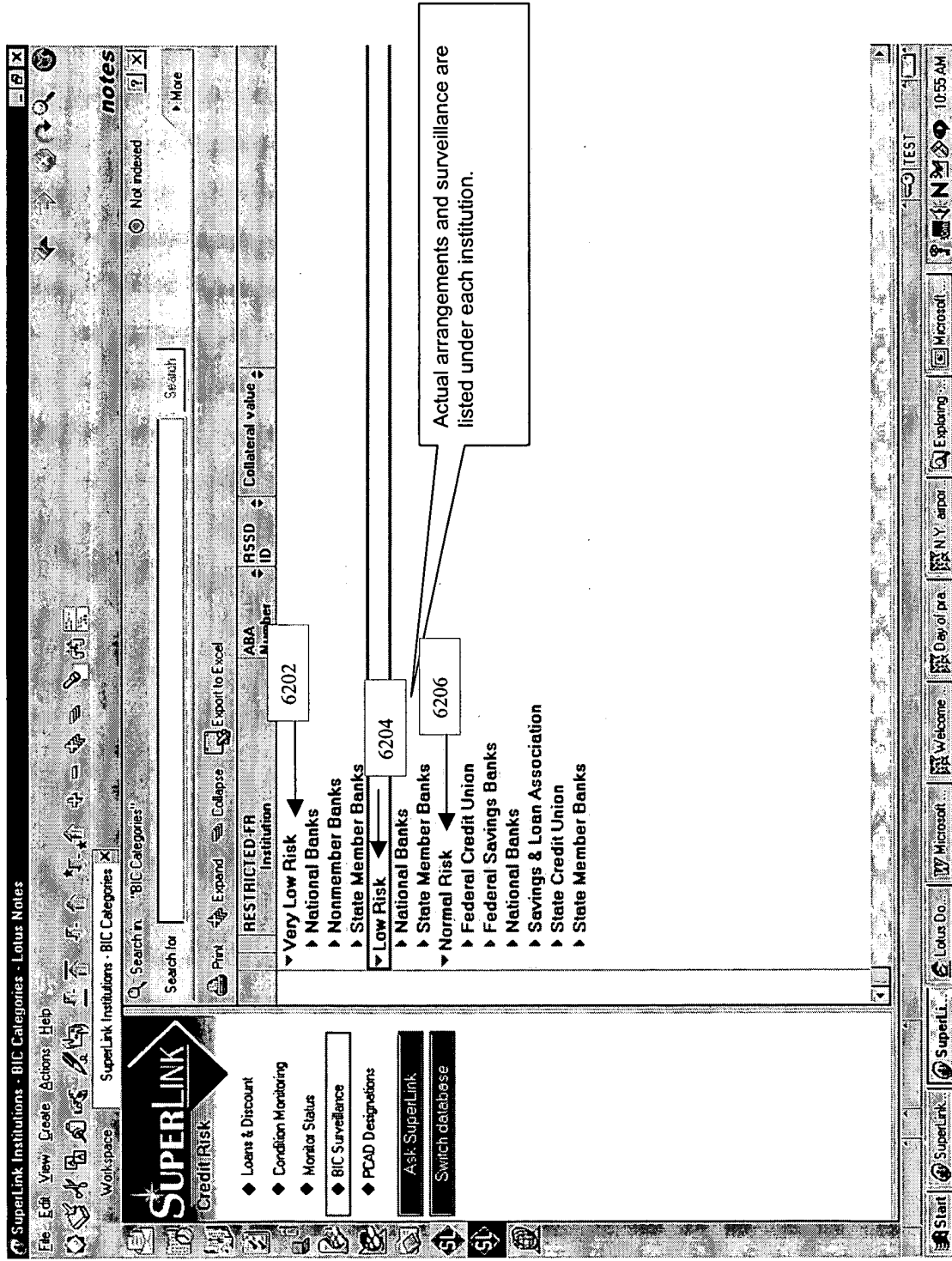


FIG. 61b



13659660 "13659660

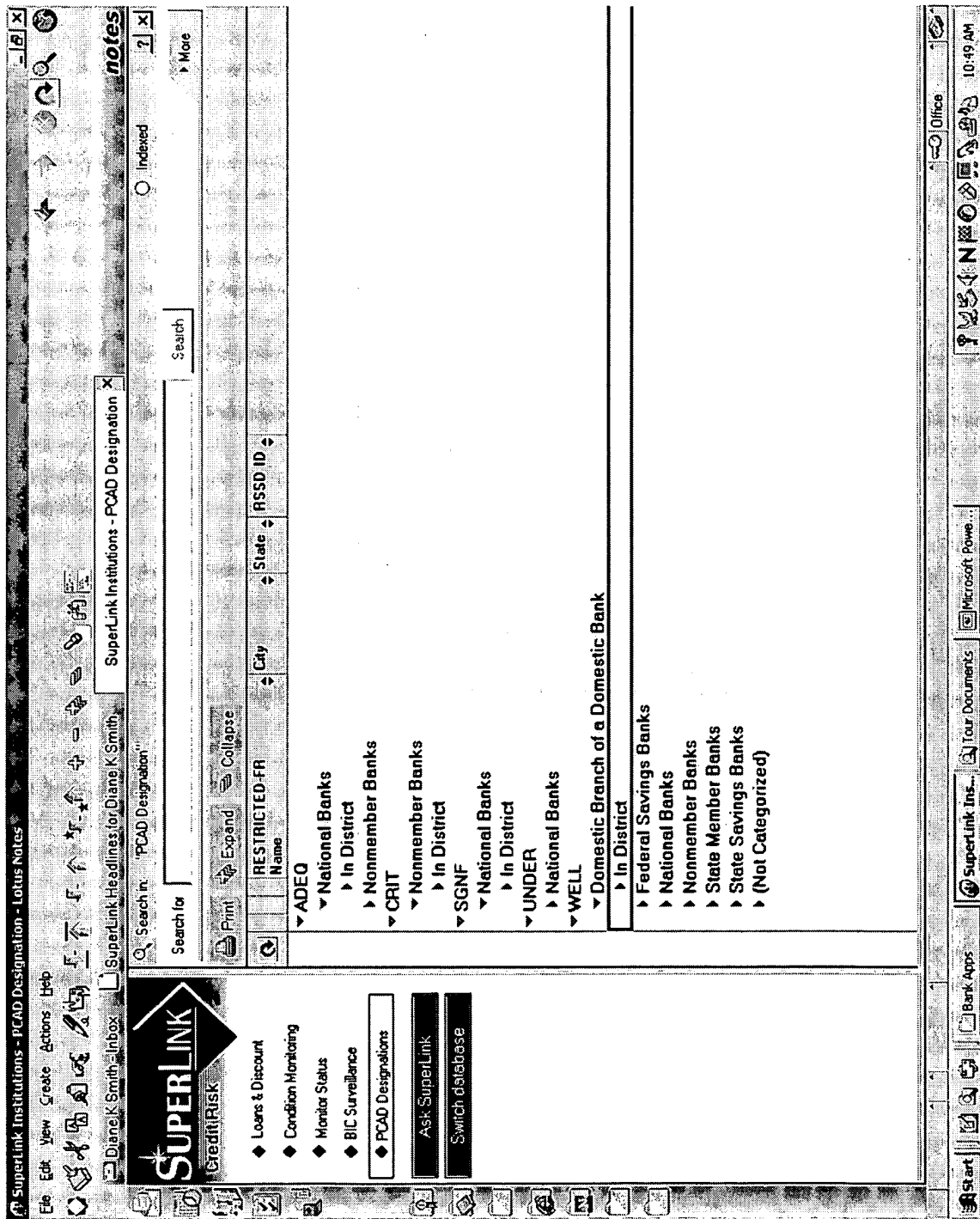
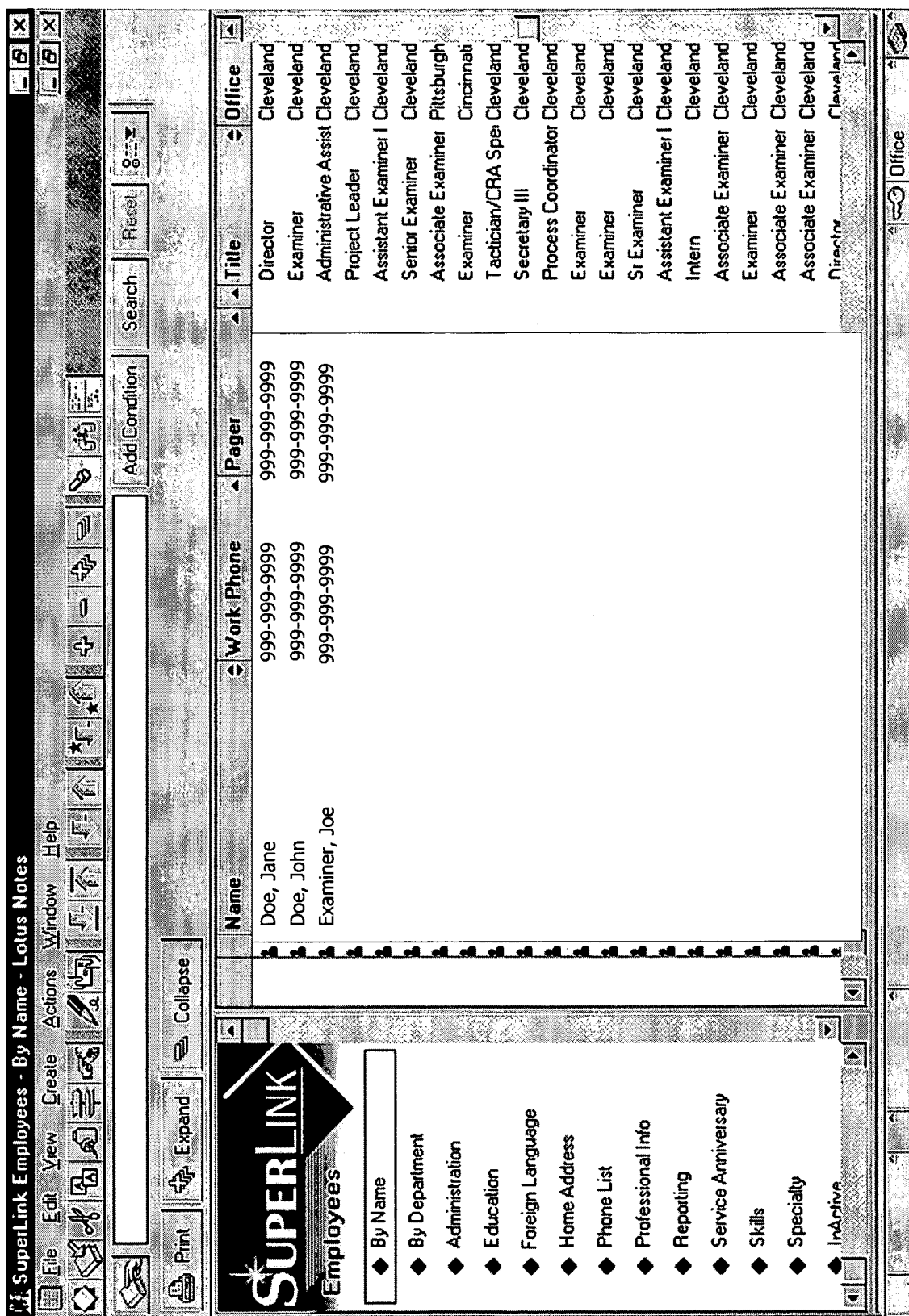


FIG. 62b

[illegible]

6300

FIG. 63

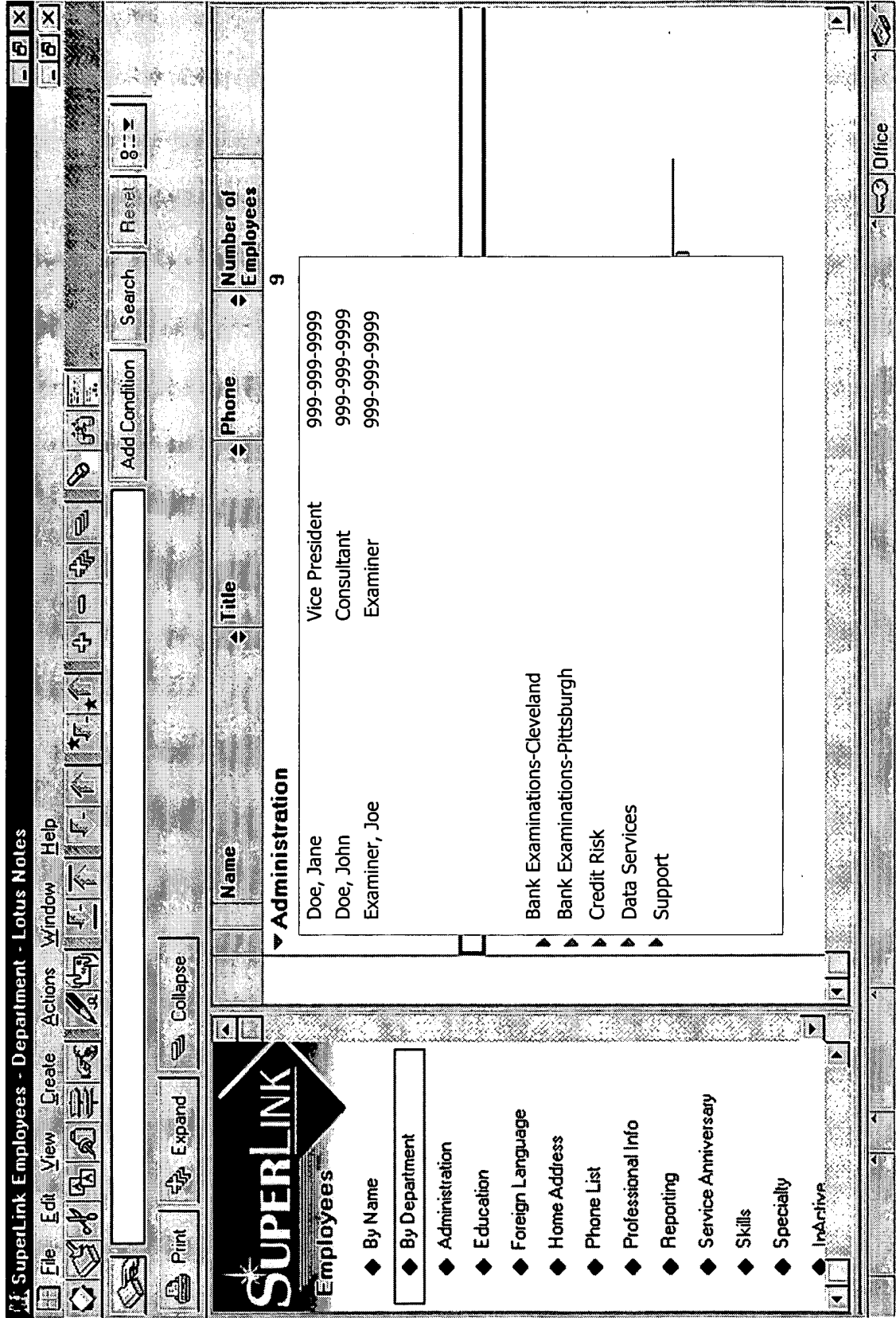


FIG. 64

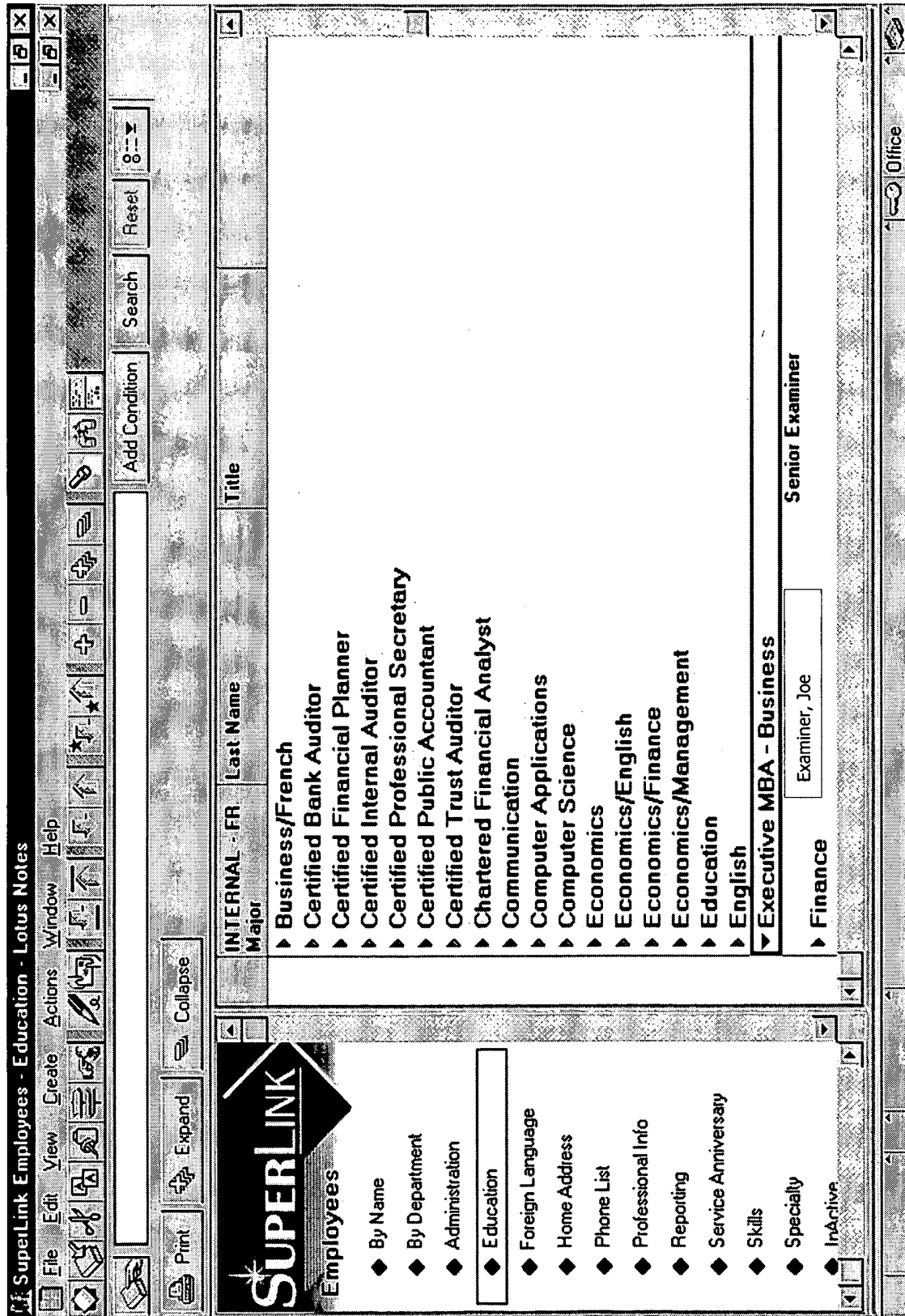
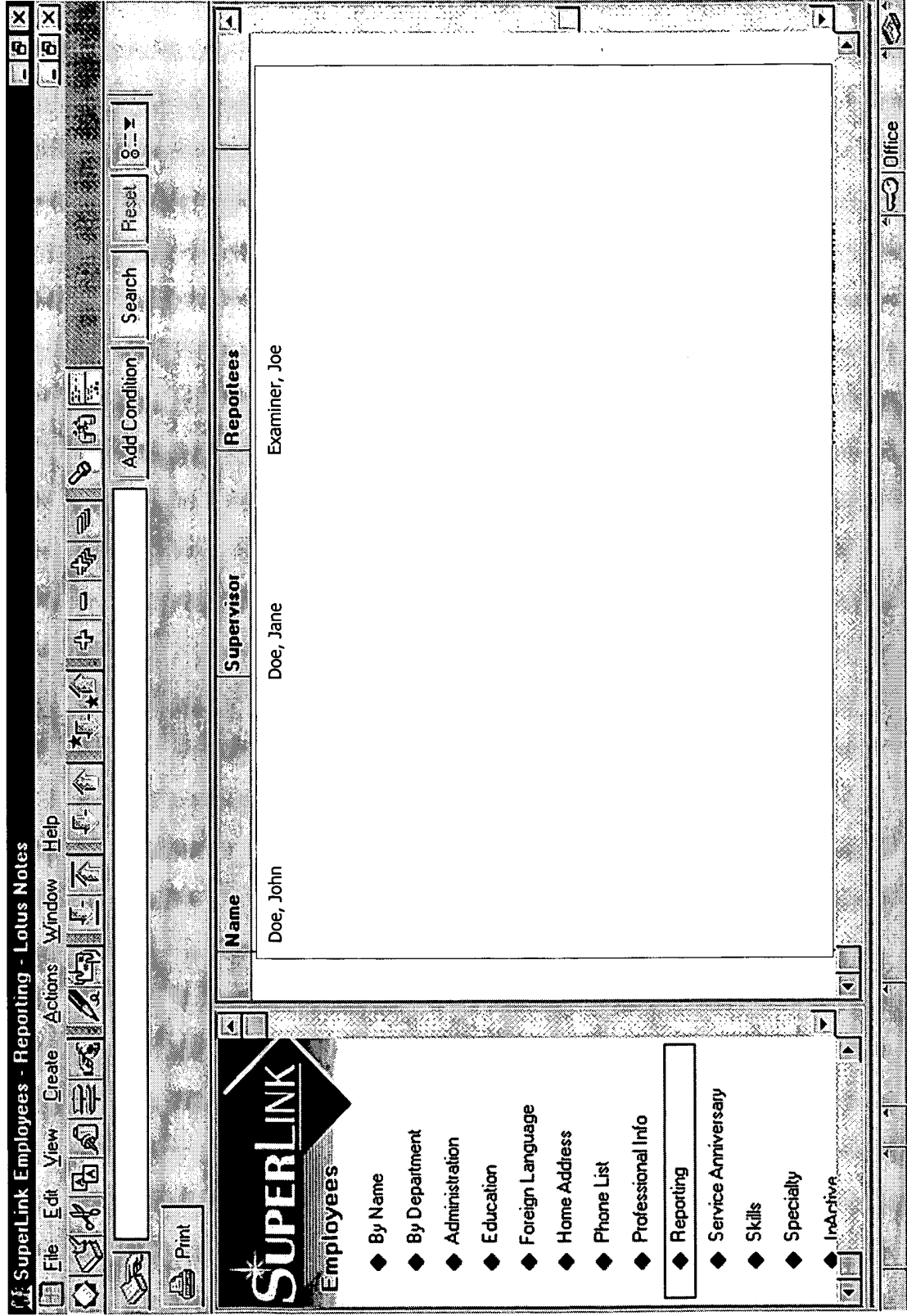


FIG. 65





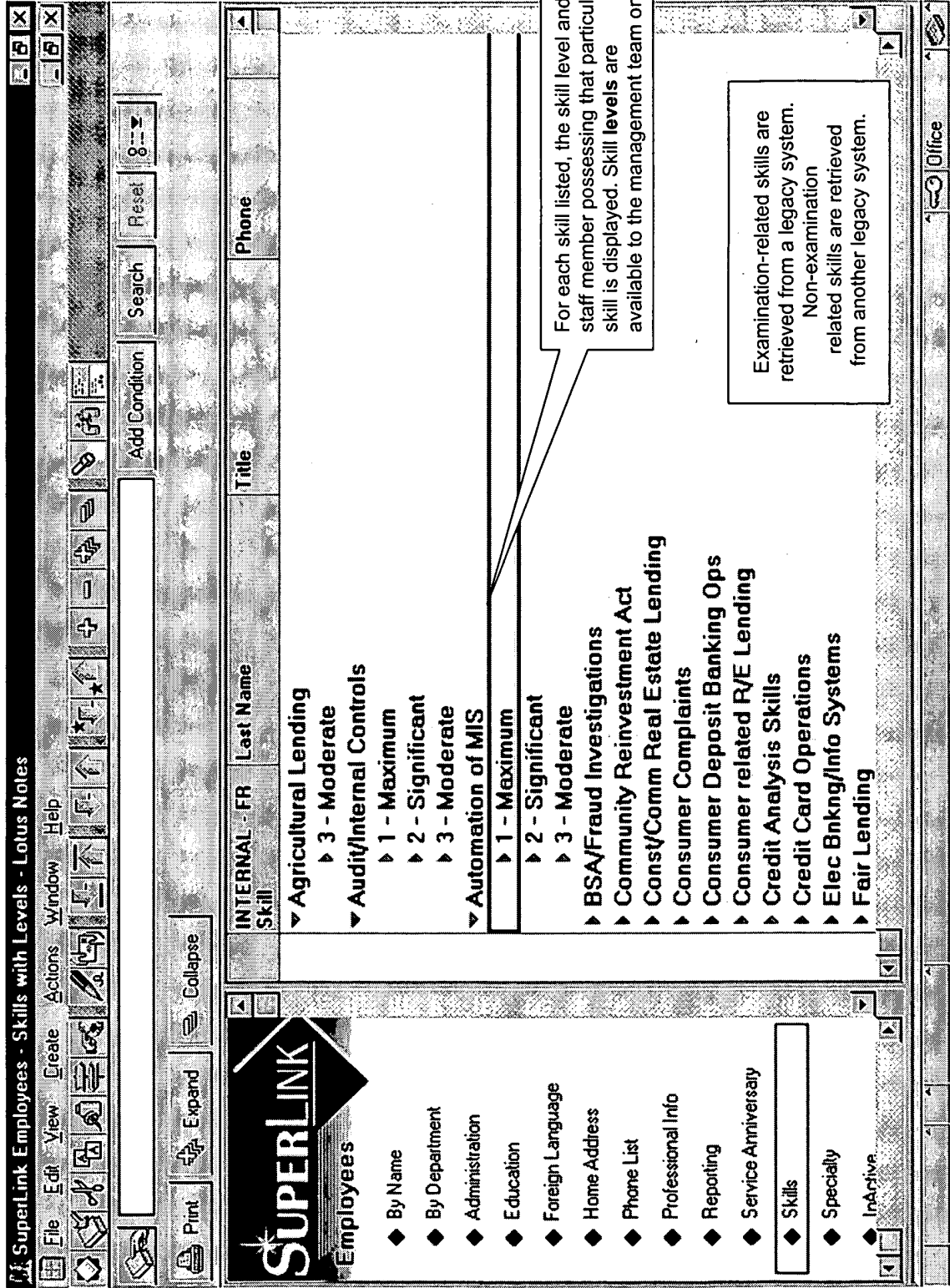


FIG. 67

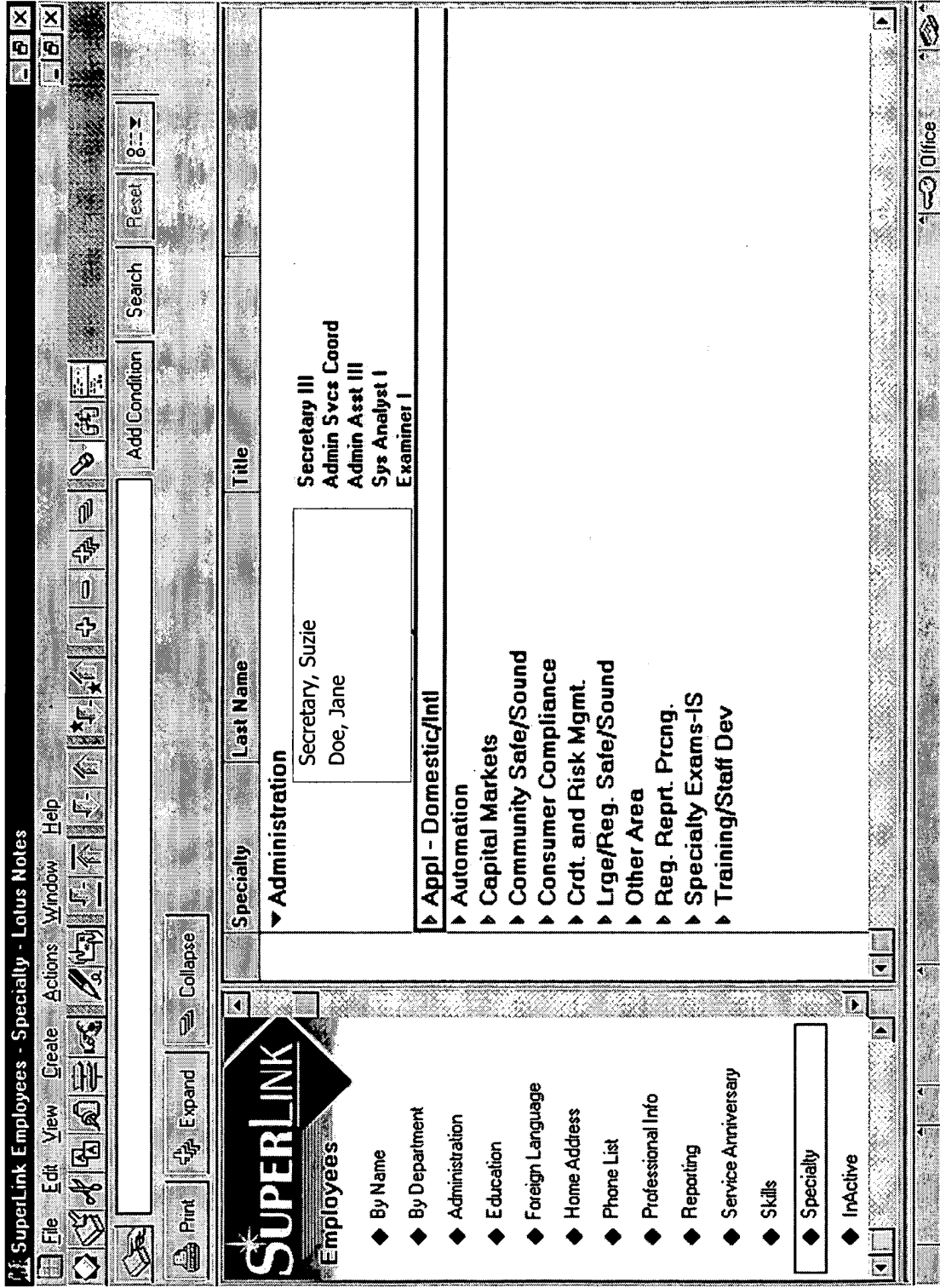


FIG. 68

Employee Profile - Lotus Notes

File Edit View Create Actions Section Help

Diane K Smith - Inbox

Diane K Smith - Calendar

SuperLink Employees - By Name

Employee Profile X

Close Save Print Expand Sections Collapse Sections Encrypt

INTERNAL - FR

Employee Profile

John Doe

Senior Vice President

Cleveland

Administration

Administration

Work Phone

Manual Entry Work Phone

Cell Phone

Pager

999-999-9999

999-999-9999

Address

Professional Skills

Education

Biography

Administration

Documents

Picture

[None]

Microsoft Powe...

Bank Apps

Employee Pro...

Myfiles

7:33 AM

[illegible]

FIG. 70

11:00 AM 11:00 AM

Employee Profile - Lotus Notes

File Edit View Create Actions Text Help

Close Save Print Expand Collapse Encrypt

Diane K Smith - Inbox SuperLink Alerts... SuperLink Per... SuperLink Employees - By N Employee Profile X Employee Profile notes

Professional Skills

Education

Date	College	Degree	Major
05/1977	Southern Methodist University	BBA	Finance
05/1982	Case Western Reserve - Weatherhead School of Management	MBA	Banking/Finance

Foreign Language

Comments

Speak

Write

Biography

Biography

John Doe is an officer of the Federal Reserve Bank of Cleveland. He is also a member of the Bank's executive management team.

Mr. Doe joined the Bank in 1977 as an assistant examiner and was promoted to examiner in 1979. He was named director in 1981, directing officer in 1985, vice president in 1988.

Mr. Doe is an advisory board member and a past president of the Cleveland Chapter of the Bank Administration Institute. He is on the Cleveland United Way Community Investment Committee.

A native of Cleveland, Ohio, Mr. Doe holds a B.B.A. in finance from Southern Methodist University and an M.B.A. in banking and finance from Case Western Reserve University and is a graduate of the Stonier Graduate School of Banking, Rutgers University. Mr. Doe is married and enjoys golf, cycling, and running.

Administration

Documents

Default Sans 8 [None]

Start Bank Apps Employee Patent Doc... Microsoft P... Microsoft P... Office 11:00 AM

7100

FIG. 71

**Employee Profile - Lotus Notes**

File Edit View Create Actions Section Help

Diane K Smit... Diane K Smit... SuperLink Em... Employee Profile Employee Profile X

Close Edit Print Expand Collapse

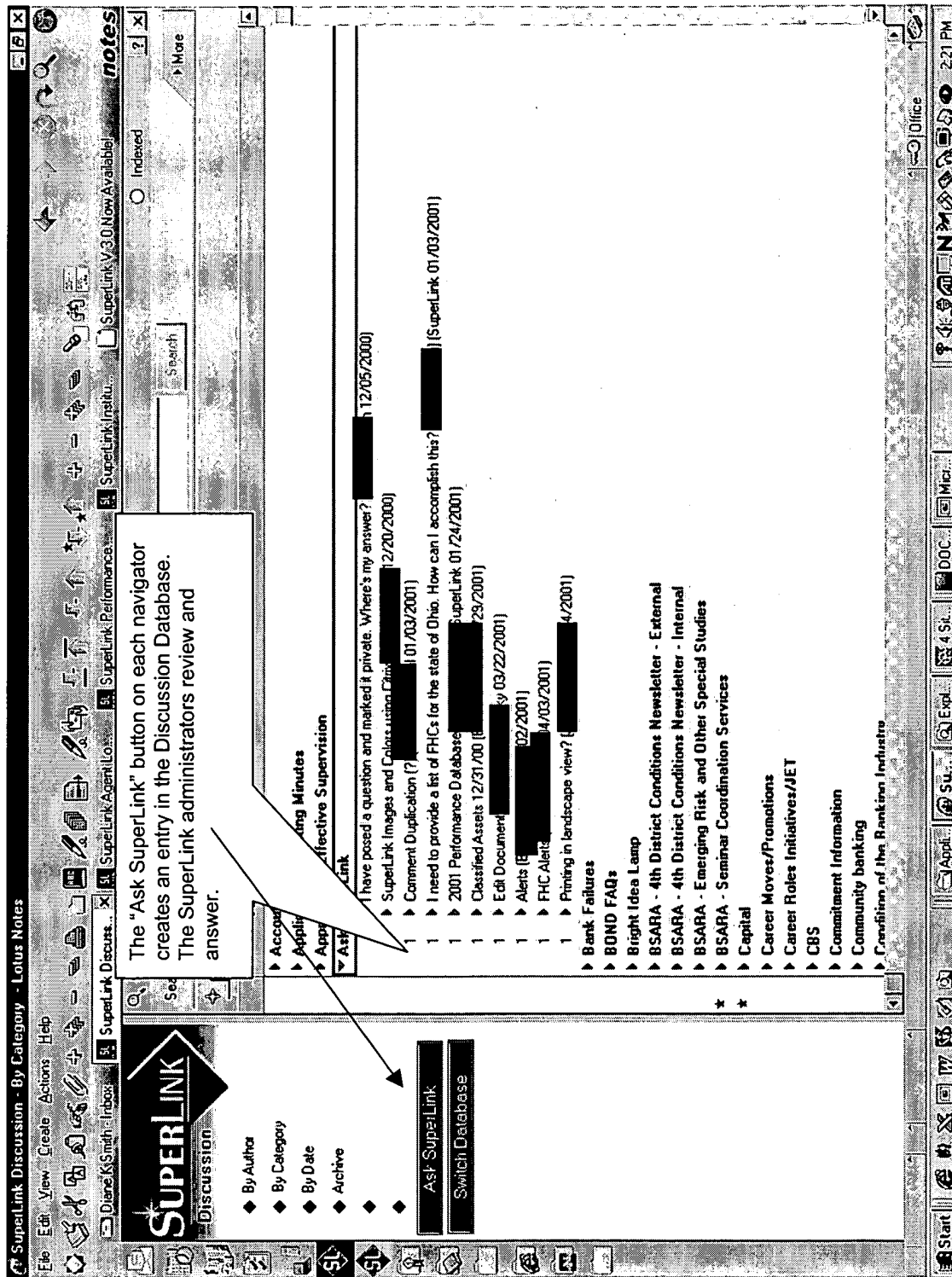
### Professional Skills

- Education
- Biography
- Administration

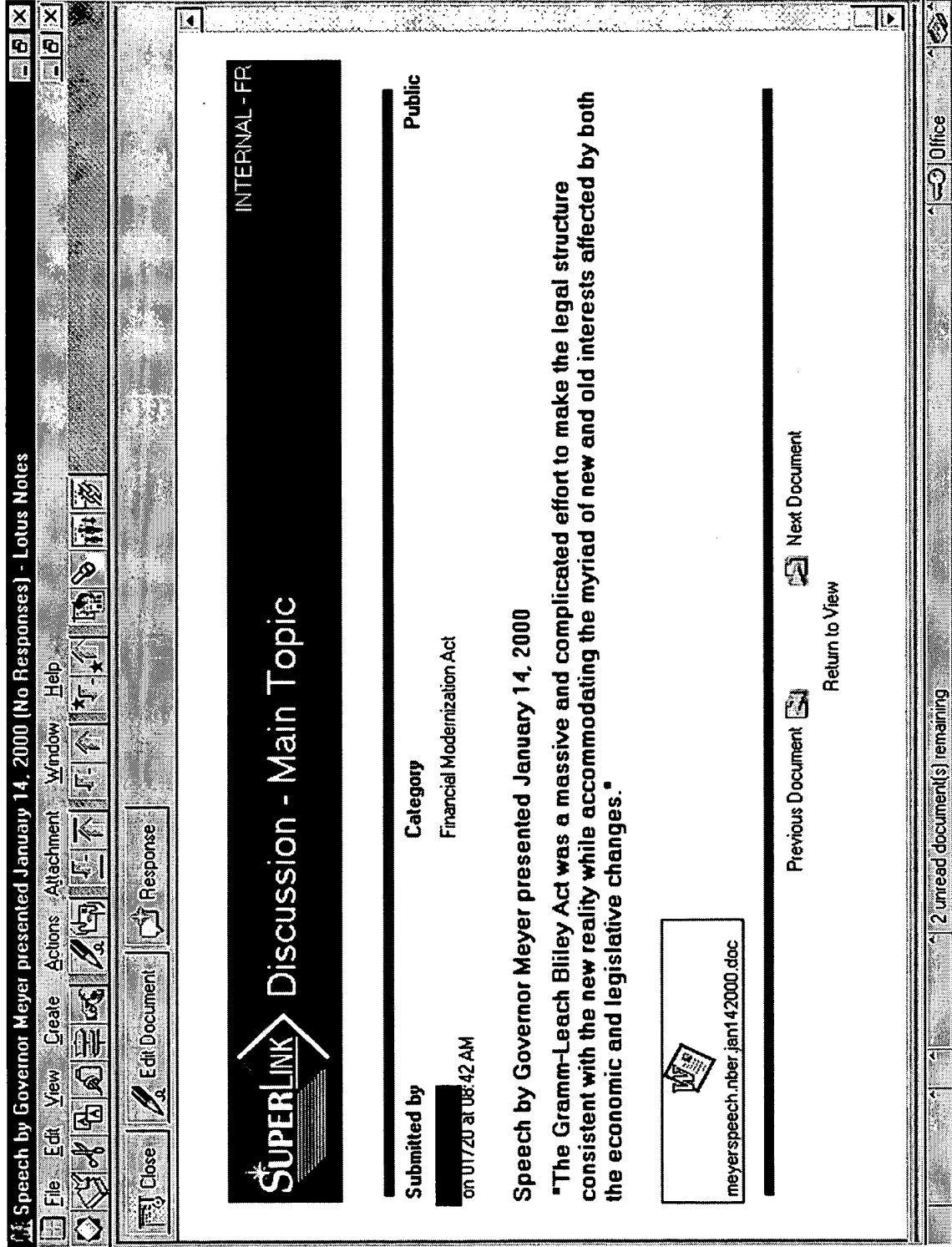
HRIS Employee Number PEX Job Title HRIS Job Title BSR Job Title Logon ID ID Number Notes Full Name Notes Mail File Active Full / Part Time Office / Field Supervisor Name Reportee's Name(s) Date of Hire Adjusted Date of Hire Latest Department Start Date Separation Date	1648 Project Manager  D1JXD01 Jane Doe 999-99-9999 Y Full Time Office [REDACTED] 03/25/1966 03/25/1966 06/01/1998	Approval Flags  <input type="checkbox"/> BIC Narrative Approver <input checked="" type="checkbox"/> Composite Risk Approver <input type="checkbox"/> FHC Compliance Approver <input type="checkbox"/> IT Profile Approver <input checked="" type="checkbox"/> NED Form Approver <input checked="" type="checkbox"/> Section Risk Approver <input checked="" type="checkbox"/> Watchlist Commentor
--	---	---

Start Microsoft PowerPoint Bank Apps Employee Pro... Office 7:47 AM

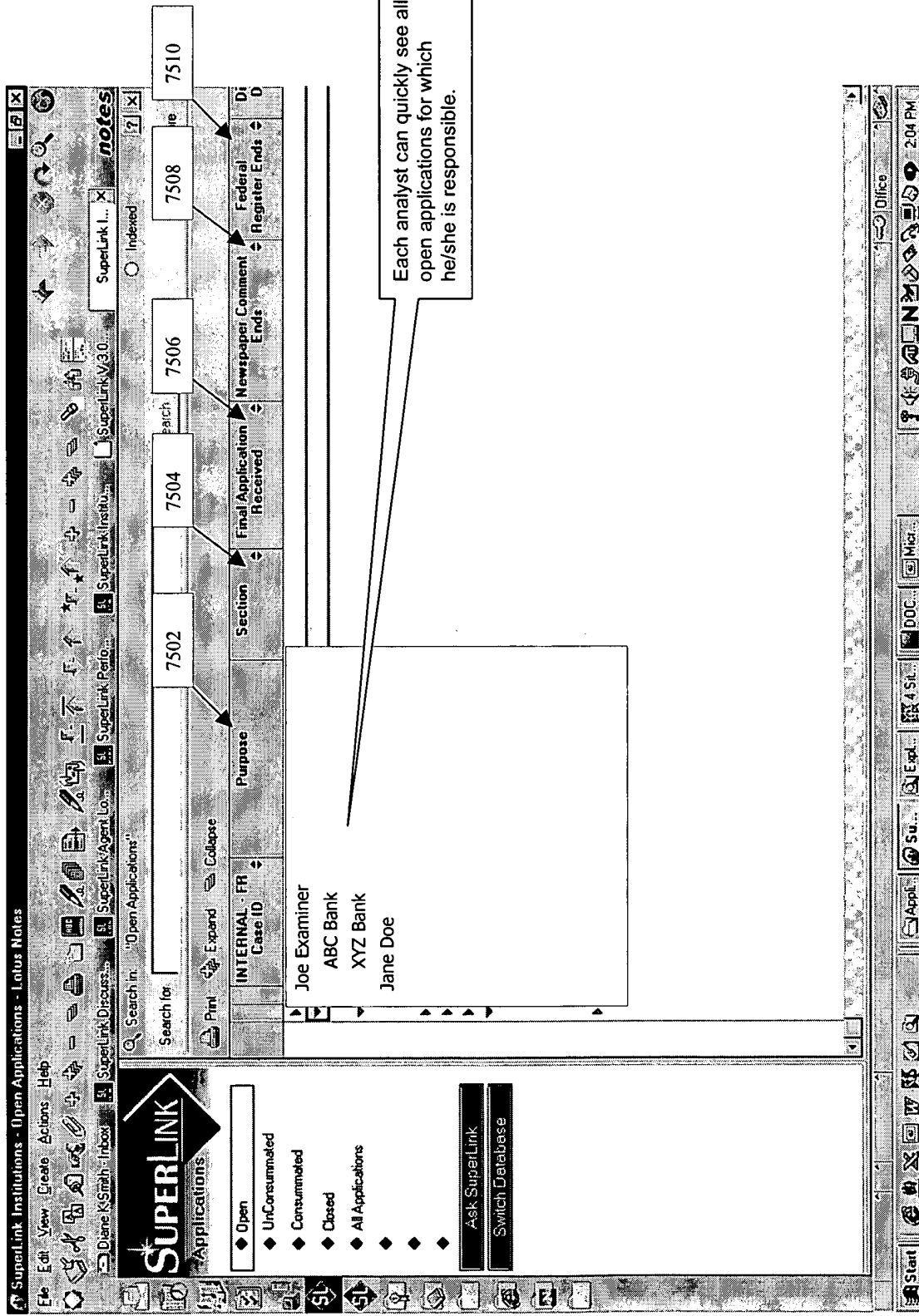
FIG. 72





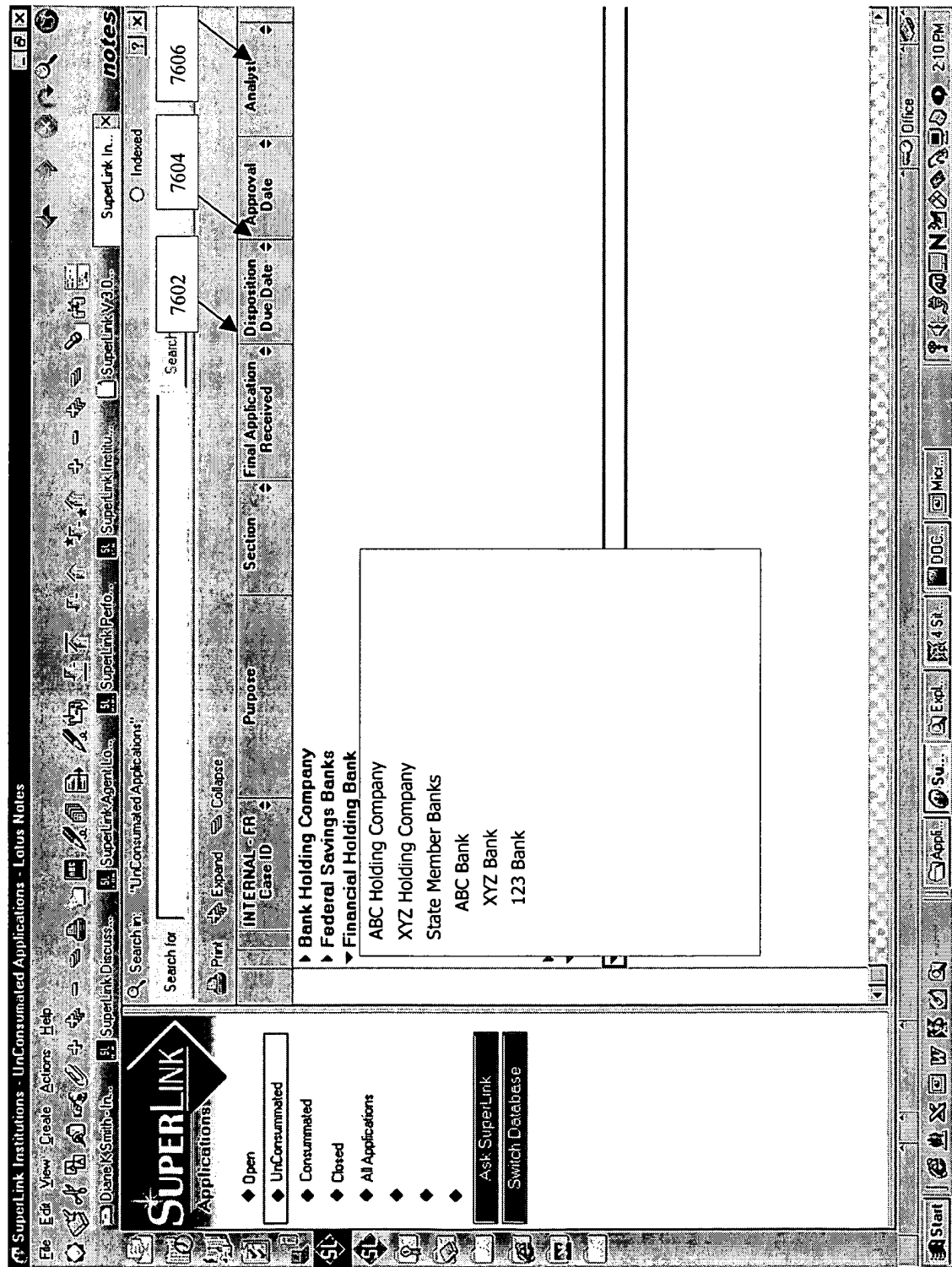


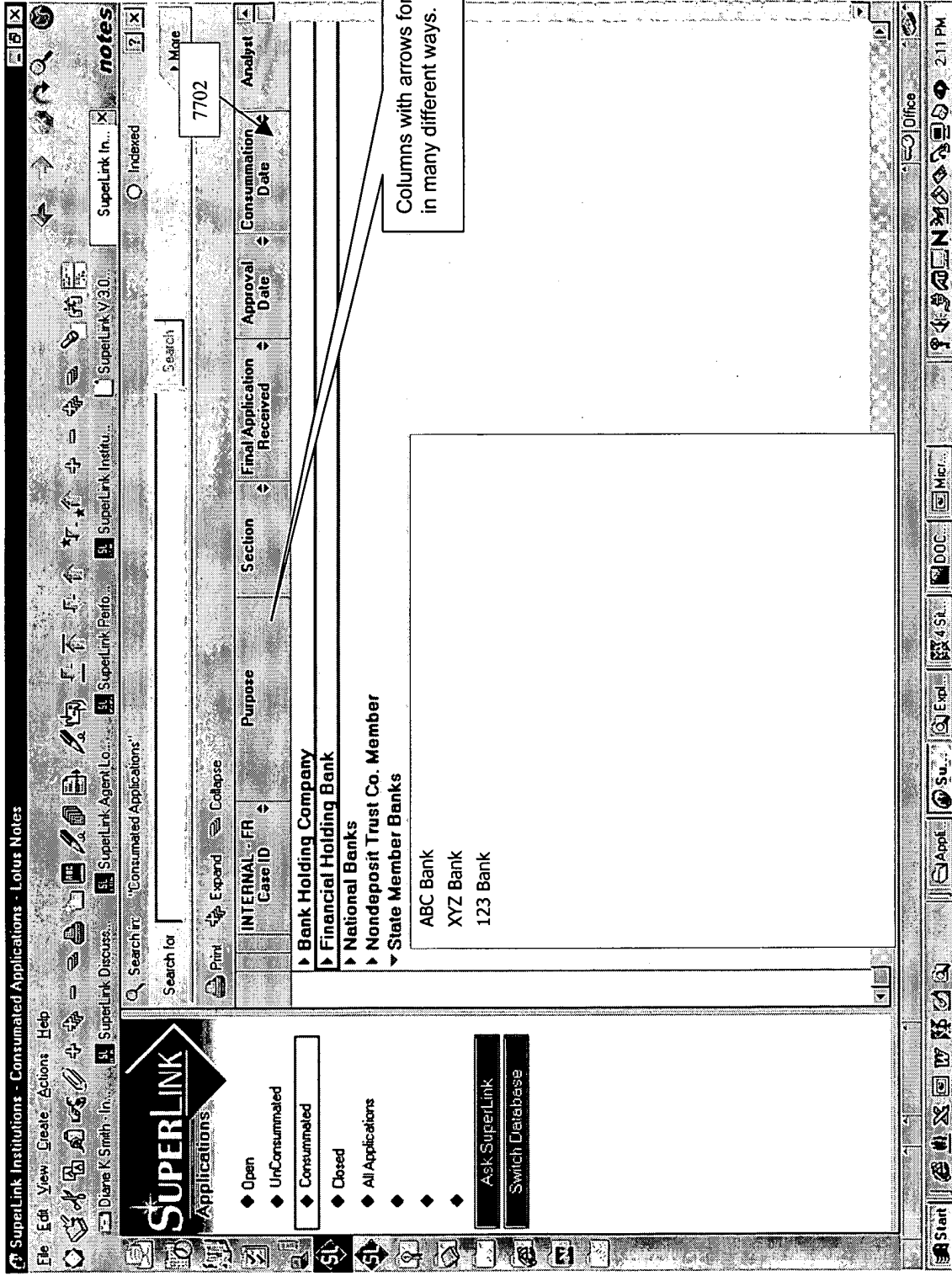
103260" 18699660

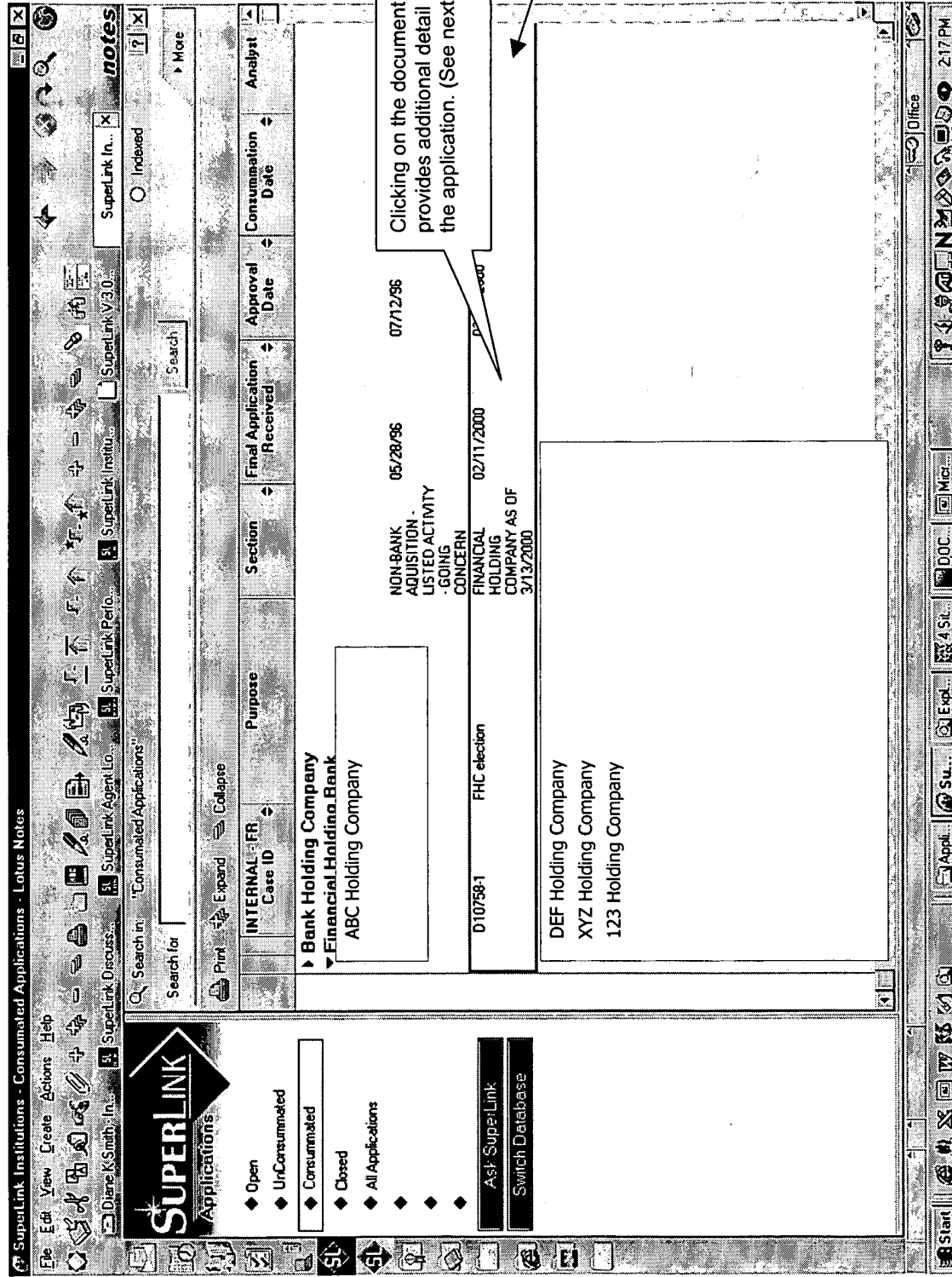


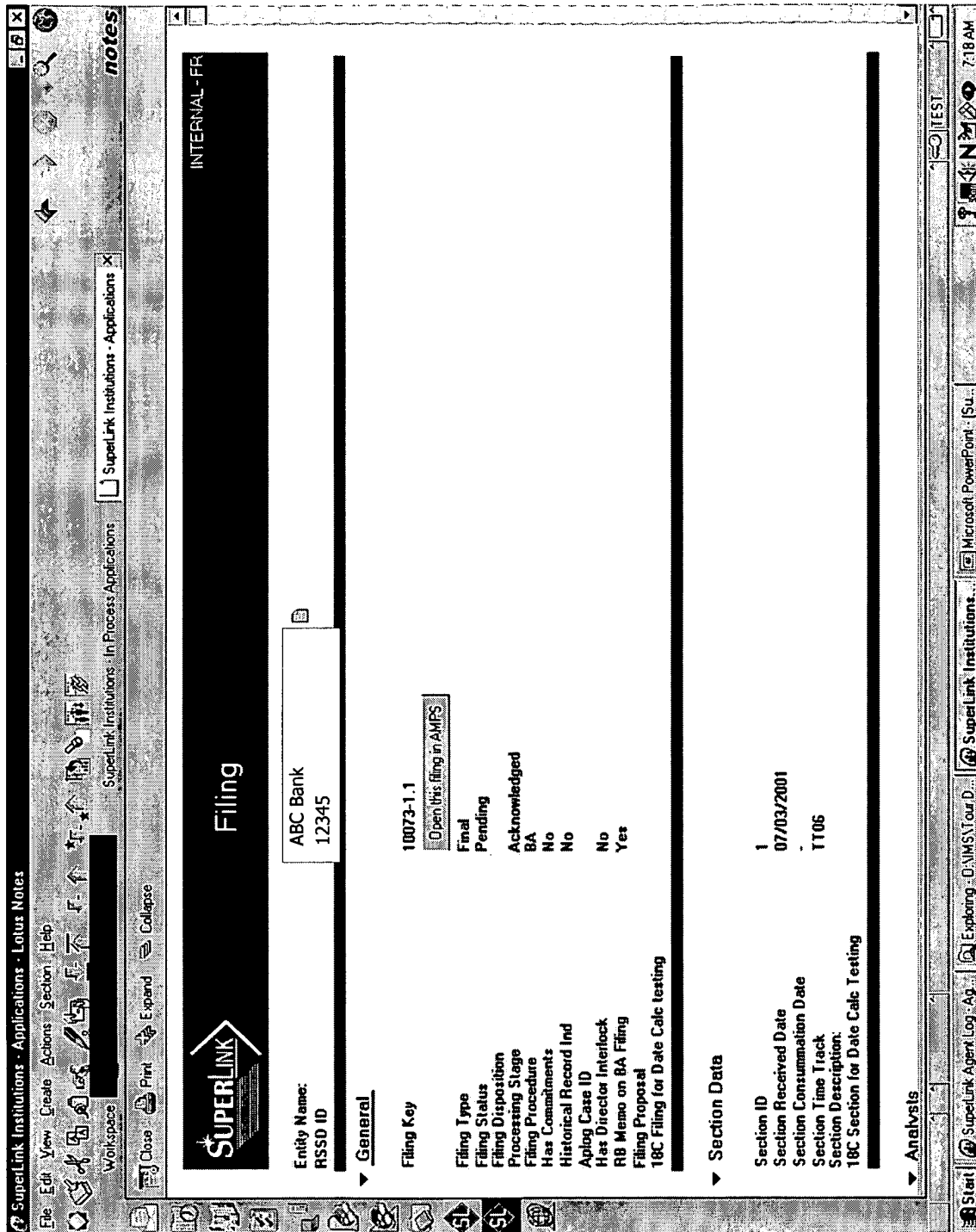
7500

FIG. 75



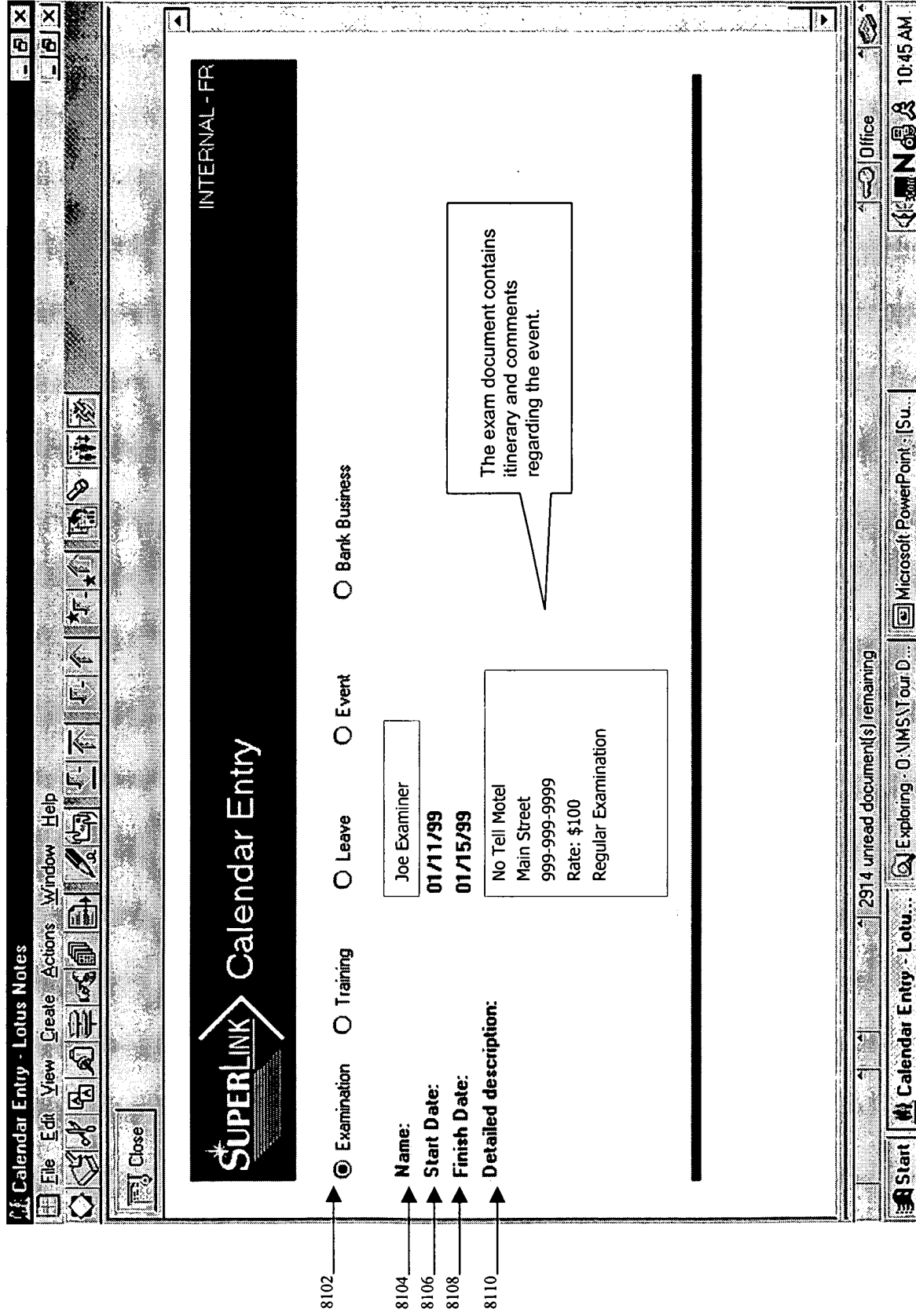




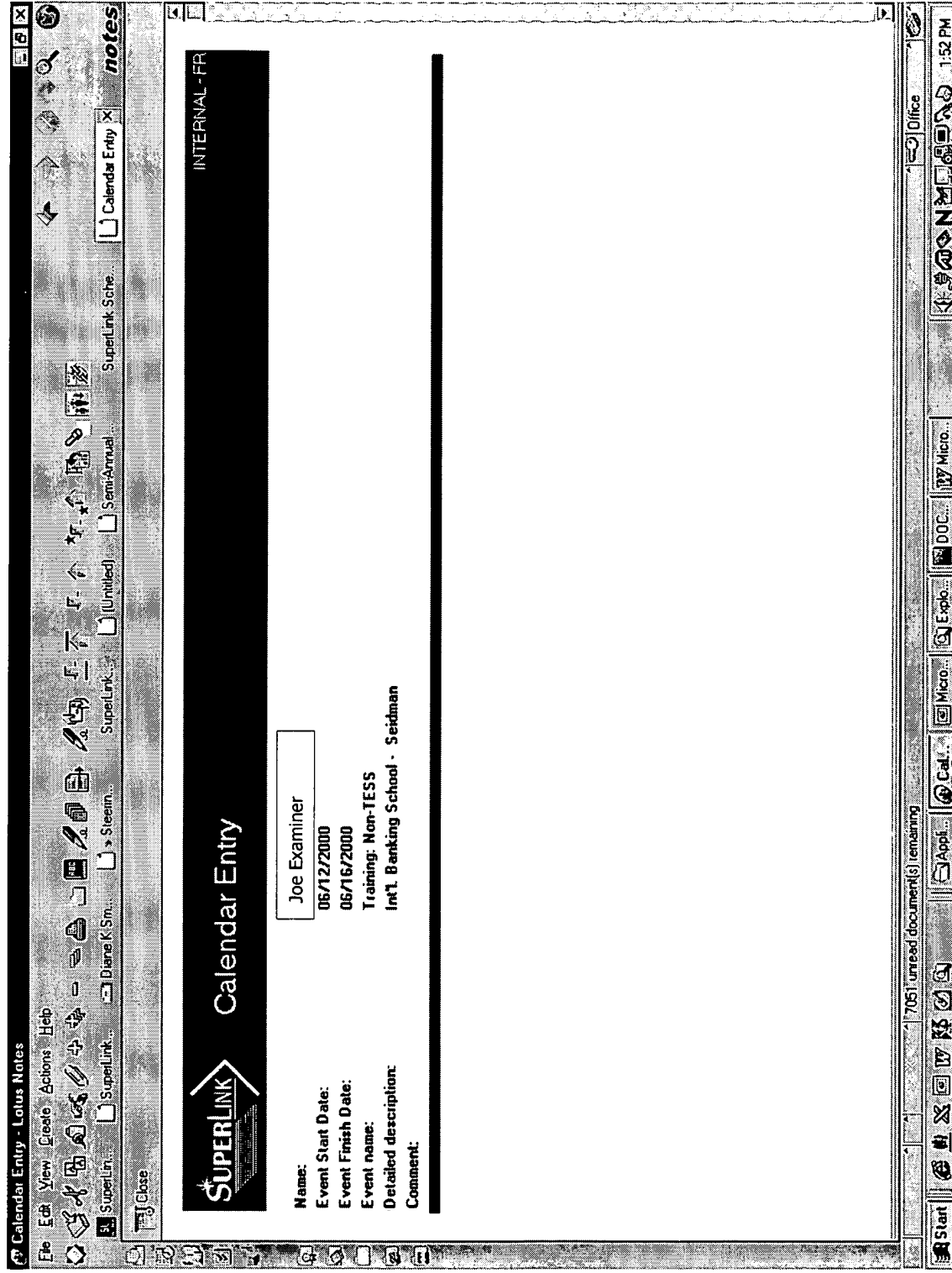






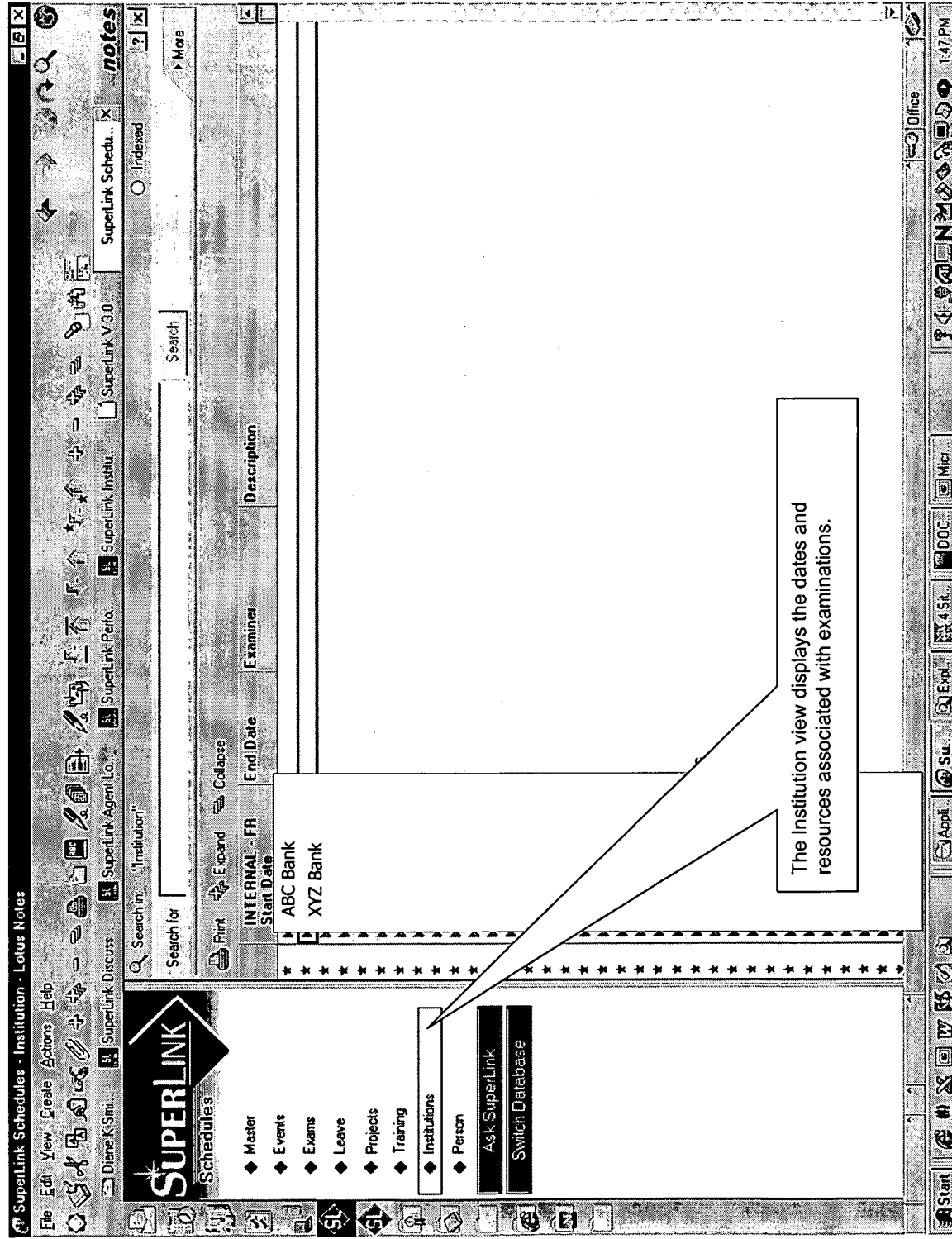


8100 FIG. 81



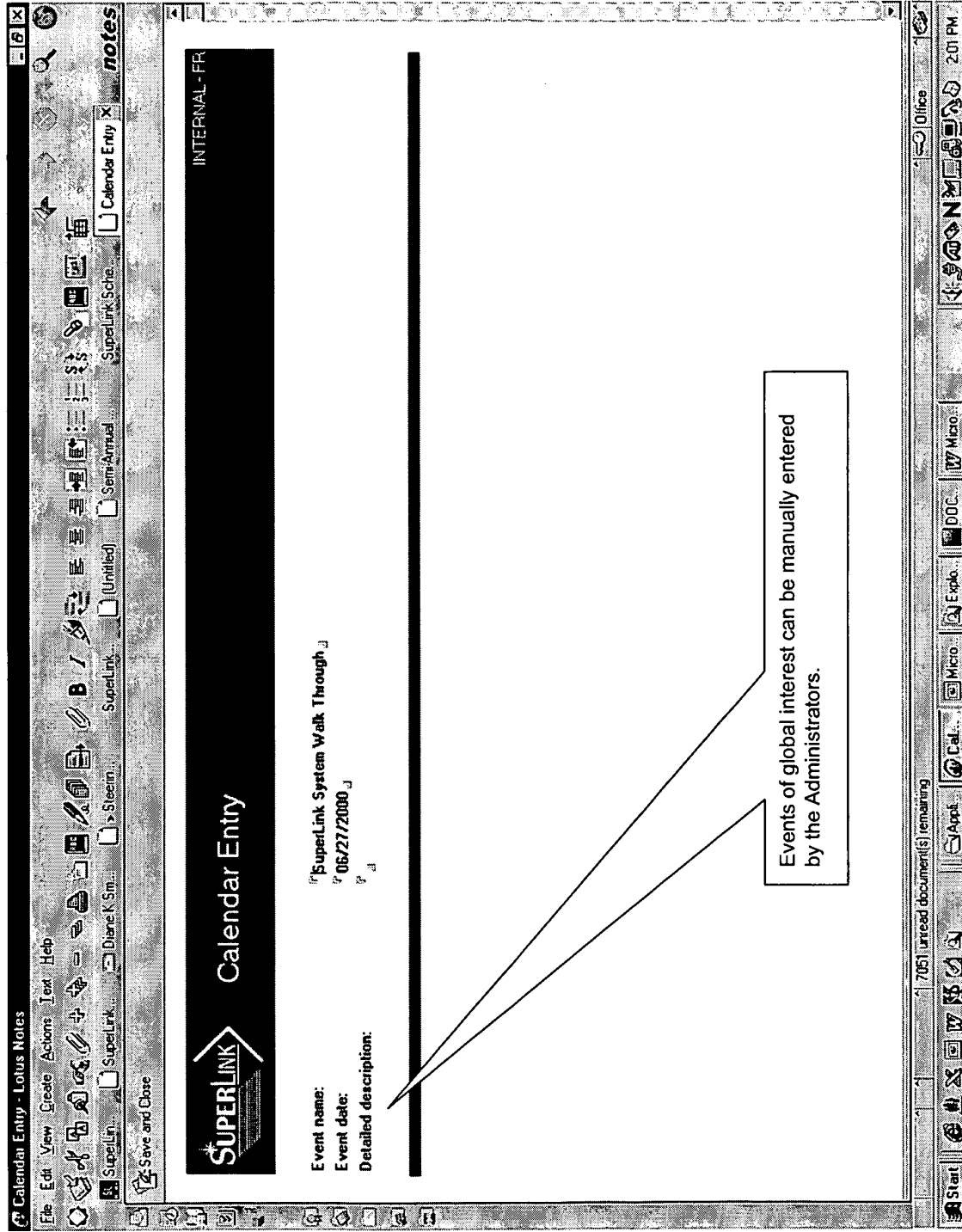


100260 13659660



8400

FIG. 84





# SuperLink V 3.1

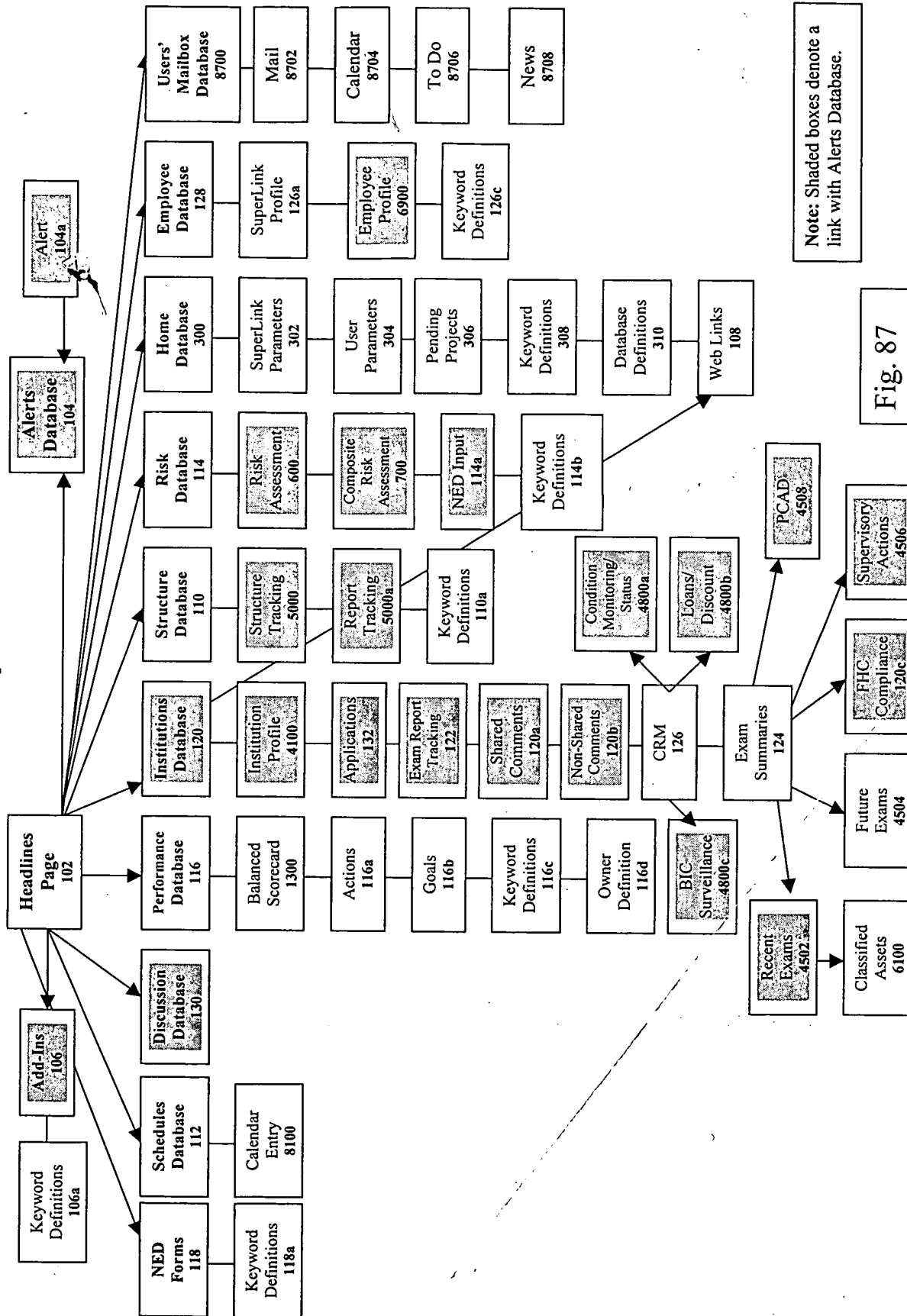


Fig. 87